

Manitoba Securities Commission Reporting Issuer List – Cover Page

Reporting Issuers

Default

When a reporting issuer is noted as in default, standardized code symbols (disclosed in the legend below) will appear in the column 'Nature of Default'.

Every effort is made to ensure the accuracy of this list. A reporting issuer that does not appear on this list or that has inappropriately been noted in default should contact the Manitoba Securities Commission (MSC) promptly. If appropriate, the list will be revised as necessary.

A reporting issuer may be in default and be subject to a Management or Insider Cease Trade Order, but that order will NOT be shown on the list.

Legend

1. The reporting issuer has failed to file the following continuous disclosure prescribed by Manitoba securities laws:
 - (a) annual financial statements;
 - (b) interim financial statements/report;
 - (c) annual or interim management's discussion and analysis (MD&A) or an annual or interim management report of fund performance (MRFP);
 - (d) annual information form (AIF);
 - (e) certification of annual or interim filings under National Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings (NI 52-109);
 - (f) proxy materials or a required information circular;
 - (g) issuer profile supplement on the System for Electronic Disclosure by Insiders (SEDI);
 - (h) material change report;
 - (i) written update as required after filing a confidential report of a material change;
 - (j) business acquisition report;
 - (k) annual oil and gas disclosure prescribed by National Instrument 51-101 Standards of Disclosure for Oil and Gas Activities (NI 51-101) or technical reports for a mineral project required under National Instrument 43-101 Standards of Disclosure for Mineral Projects (NI 43-101);
 - (l) mandatory news releases;
 - (m) corporate governance disclosure as required by National Instrument 58-101 Disclosure of Corporate Governance Practices;
 - (n) audit committee disclosure as required by National Instrument 52-110 Audit Committees; or
 - (o) disclosure in a reporting issuer's MD&A relating to disclosure controls and procedures and their effectiveness that is referred to in a certificate filed under NI 52-109.

2. The reporting issuer's continuous disclosure is deficient because:
 - (a) financial statements of the reporting issuer, or the auditor's report accompanying the financial statements, do not comply with the requirements of National Instrument 51-102 Continuous Disclosure Obligations (NI 51-102), National Instrument 81-106 Investment Fund Continuous Disclosure (NI 81-106) or National Instrument 52-107 Acceptable Accounting Principles and Auditing Standards;
 - (b) the reporting issuer has acknowledged that its financial statements, or the auditor's report accompanying the financial statements, may not longer be relied upon;

- (c) the reporting issuer's AIF, MD&A, MRFP, information circular, or business acquisition reports do not contain information for each of the content items required by NI 51-102 or NI 81-106; or
 - (d) the reporting issuer's technical disclosure or other reports do not comply with the disclosure requirements of NI 43-101 or NI 51-101;
3. The reporting issuer has failed to pay a fee required by Manitoba securities laws.
 4. The reporting issuer has failed to comply with any other requirement related to continuous disclosure.

Cease Trade Order

If a reporting issuer is subject to a cease trade order issued by the MSC under subsection 147.1(1) or 148(1), the cease trade order will be disclosed beside the name of the reporting issuer. Securities that are subject to a cease trade order of the MSC may not be traded in Manitoba.

To determine if a person or company is the subject of a management or insider cease trade order issued by the MSC, please refer to the 'Securities Law & Instruments, Order and Exemptions' section under the 'Law & Policy' tab on the MSC website (at www.mbsecurities.ca).

Additional information may be obtained by:

- reviewing the filings made by the reporting issuer on SEDAR (at www.sedar.com);
- reviewing the reports made by insiders of the reporting issuer on SEDI (at www.sedi.ca);
- reviewing the cease trade orders made by provincial securities commissions on the national cease trade order database (at www.securities-administrators.ca); and
- contacting the reporting issuer directly.

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
01 Communique Laboratory Inc.	
0944460 B.C. Ltd.	
10557404 Canada Corp.	
10557501 Canada Corp.	
10557510 Canada Corp.	
10557536 Canada Corp.	
10557544 Canada Corp.	
10557633 Canada Corp.	
10617059 Canada Corp.	
1608557 Ontario Inc.	Cease Traded
2055 McPhillips Street	Cease Traded
2242749 Ontario Limited	Cease Traded
2980304 Canada Inc. (Jazz Golf Equipment Inc.)	Cease Traded
360networks Inc.	Cease Traded
407 International Inc.	
4504020 Canada Inc.	Cease Traded
49 North Resources Inc.	
55 North Mining Inc.	
5813906 Manitoba Ltd. (San Gold Corporation)	Cease Traded
5N Plus Inc.	
9342-8530 Québec Inc.	Cease Traded
@rgentum Canadian Equity Portfolio	1a, 1b, 1c
@rgentum Canadian L/S Equity Portfolio	1a, 1b, 1c
@rgentum Canadian Performance Portfolio	1a, 1b, 1c
@rgentum Income Portfolio	1a, 1b, 1c
@rgentum International Master Portfolio	1a, 1b, 1c
@rgentum Short Term Asset Portfolio	1a, 1b, 1c
@rgentum U.S. Market Neutral Portfolio	1a, 1b, 1c
@rgentum U.S. Master Portfolio	1a, 1b, 1c
A&W Revenue Royalties Income Fund	
A.H.A. Automotive Technologies Corporation	Cease Traded
Aavangen Industries Inc.	Cease Traded
Abacus Mining & Exploration Corp	
Aberdeen Asia-Pacific Income Investment Company Limited	
Aboriginal Global Investment Fund	Cease Traded
Absolute Software Corporation	
Acadian Core International Equity Fund	
Acadian Timber Corp.	
Acasta Enterprises Inc.	
Acasti Pharma Inc.	
ACE Aviation Holdings Inc.	
Acerus Pharmaceuticals Corporation	
Acme Metals Incorporated	Cease Traded
Acorn Energy, Inc.	1a, 1b, 1c, 1e
Action Energy Inc.	Cease Traded
ACTIVEnergy Income Fund	
Adagio Investments Inc.	Cease Traded
Adaltis Inc.	Cease Traded
Added Capital Inc.	
ADF Group Inc.	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Admiral Inc.	Cease Traded
Advanced Education Savings Plan	
Advanced Folio Fund	
Advanced Micro Devices, Inc.	
Advantage Entertainment, Inc.	Cease Traded
Advantage Oil & Gas Ltd.	
Advantaged Canadian High Yield Bond Fund	
Aecon Group Inc.	
Aequus Pharmaceuticals Inc.	
AEterna Zentaris Inc.	
AEX Gold Inc.	
AFM Hospitality Corporation	Cease Traded
African Copper PLC	
Ag Growth International Inc.	
Agassiz Resources Ltd.	Cease Traded
Agellan Commercial Real Estate Investment Trust	
AGF American Growth Class	
AGF American Growth Fund	
AGF Asian Growth Class	
AGF Asian Growth Fund	
AGF Canadian Growth Equity Class	
AGF Canadian Growth Equity Fund	
AGF Canadian Large Cap Dividend Class	
AGF Canadian Large Cap Dividend Fund	
AGF Canadian Money Market Fund	
AGF Canadian Small Cap Fund	
AGF China Focus Class	
AGF Diversified Income Class	
AGF Diversified Income Fund	
AGF Elements Balanced Portfolio	
AGF Elements Balanced Portfolio Class	
AGF Elements Conservative Portfolio	
AGF Elements Conservative Portfolio Class	
AGF Elements Global Portfolio	
AGF Elements Global Portfolio Class	
AGF Elements Growth Portfolio	
AGF Elements Growth Portfolio Class	
AGF Elements Yield Portfolio	
AGF Elements Yield Portfolio Class	
AGF Emerging Markets Balanced Fund	
AGF Emerging Markets Bond Fund	
AGF Emerging Markets Class	
AGF Emerging Markets Fund	
AGF Equity Income Focus Fund	
AGF European Equity Class	
AGF European Equity Fund	
AGF Fixed Income Plus Class	
AGF Fixed Income Plus Fund	
AGF Flex Asset Allocation Fund	
AGF Floating Rate Income Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
AGF Global Bond Fund	
AGF Global Convertible Bond Fund	
AGF Global Dividend Class	
AGF Global Dividend Fund	
AGF Global Equity Class	
AGF Global Equity Fund	
AGF Global Resources Class	
AGF Global Resources Fund	
AGF Global Select Fund	
AGF Global Strategic Balanced Fund	
AGF Global Sustainable Growth Equity Fund	
AGF High Yield Bond Fund	
AGF Income Focus Fund	
AGF Management Limited	
AGF Precious Metals Fund	
AGF Short-Term Income Class	
AGF Strategic Income Fund	
AGF Tactical Fund	
AGF Tactical Income Fund	
AGF Total Return Bond Class	
AGF Total Return Bond Fund	
AGF U.S. Small-Mid Cap Fund	
AGFiQ Dividend Income Fund	
AGFiQ Enhanced Core Canadian Equity ETF	
AGFiQ Enhanced Core Emerging Markets Equity ETF	
AGFiQ Enhanced Core Global Multi-Sector Bond ETF	
AGFiQ Enhanced Core International Equity ETF	
AGFiQ Enhanced Core US Equity ETF	
AGFiQ Enhanced Global ESG Factors ETF	
AGFiQ Enhanced Global Infrastructure ETF	
AGFiQ Global Equity Rotation ETF	
AGFiQ MultiAsset Allocation ETF	
AGFiQ MultiAsset Income Allocation ETF	
AGFiQ U.S. Sector Class	
Aggressive Folio Fund	
AgJunction Inc.	
Agnico Eagle Mines Limited	
AGT Food and Ingredients Inc.	
Aiguebelle 1982 Commodity Society	Cease Traded
AimGlobal Technologies Company Inc.	Cease Traded
Aimia Inc.	
AIP Canadian Enhanced Income Class	
AIP Global Macro Class	
Air Canada	
AirIQ Inc.	
Akela Pharma Inc.	Cease Traded
Akumin Inc.	
Alacer Gold Corp.	
Alamos Gold Inc.	
Alaris Royalty Corp.	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Alcanna Inc.	
Alexco Resource Corp.	
ALGO Group Inc.	Cease Traded
Algold Resources Ltd.	
Algoma Central Corporation	
Algonquin Power & Utilities Corp.	
Alignvest Acquisition II Corporation	
Alignvest Top 20 Fund	
Alimentation Couche-Tard Inc.	
Alio Gold Inc.	
AlkaLi3 Resources Inc.	
All Equity Fund	
All in West! Capital Corporation	Cease Traded
Allbanc Split Corp. II	
Allegiant Gold Ltd.	
Allegro Balanced Growth Portfolio	
Allegro Balanced Growth Portfolio Class	
Allegro Balanced Growth Portfolio Class II	
Allegro Balanced Portfolio	
Allegro Balanced Portfolio Class	
Allegro Growth Portfolio	
Allegro Growth Portfolio Class	
Allegro Growth Portfolio Class II	
Allegro Income Balanced Portfolio	
Allegro Income Balanced Portfolio Class	
Allegro Income Portfolio	
Alliance Pipeline Limited Partnership	
Allied Properties Real Estate Investment Trust	
AlphaDelta Canadian Focused Equity Class	
AlphaDelta Canadian Growth of Dividend Income Class	
AlphaDelta Growth of Dividend Income Class	
AlphaDelta Tactical Growth Class	
AlphaNet Telecom Inc.	Cease Traded
Alstom	
AltaGas Ltd.	
AltaLink, L.P.	
Altitude Resources Inc.	
Altius Minerals Corporation	
Alto Monthly Income and Enhanced Growth Portfolio	
Alto Monthly Income and Global Growth Portfolio	
Alto Monthly Income and Growth Portfolio	
Alto Monthly Income Portfolio	
Altus Group Limited	
Alvopetro Energy Ltd.	
Ambria Biopharma Inc.	Cease Traded
Amca Resources Ltd.	Cease Traded
American Core Sectors Dividend Fund	
American Hotel Income Properties REIT LP	
American Natural Energy Corporation	Cease Traded
Amerigo Resources Ltd	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
AMT International Mining Corporation	Cease Traded
Anaconda Mining Inc.	
AnalytixInsight Inc.	
ANB Canada Inc.	
Ancom Atm International Inc.	Cease Traded
Antibe Therapeutics Inc.	
Aphria Inc.	
Aptose Biosciences Inc.	
Aqua-Pure Ventures Inc.	Cease Traded
Aquitaine Shores Apartment Project Phase I	Cease Traded
Aquitaine Shores Apartment Project Phase li	Cease Traded
Aralez Pharmaceuticals Inc.	1b, 1c, 1e
Arbutus Biopharma Corporation	
Arbutus Ridge Development Limited Partnership	Cease Traded
ARC Resources Ltd.	
Arcs of Fire Tactical Balanced Fund	
Arctic Glacier Income Fund	1a, 1b, 1c, 1e
Argent Energy Trust	Cease Traded
Argonaut Gold Inc.	
Argosy Energy Inc.	Cease Traded
Arimetco International Inc.	Cease Traded
ARISE Technologies Corporation	Cease Traded
Aritzia Inc.	
Arizona Mining Inc.	
Artis Real Estate Investment Trust	
Arura Pharma Inc	Cease Traded
Asanko Gold Inc.	
Ascalade Communications Inc.	Cease Traded
Ashurst Technology Ltd.	Cease Traded
Asian Mineral Resources Limited	
Asset Management Software Systems Corp.	Cease Traded
Associated Jojoba (Cdn. 86-1) Limited Partnership	Cease Traded
Astaware Technologies Inc.	Cease Traded
Atalaya Mining plc	
ATBIS Canadian Equity Pool	
ATBIS Fixed Income Pool	
ATBIS International Equity Pool	
ATBIS U.S. Equity Pool	
ATCO LTD.	
Ateba Resources Inc.	
Athabasca Oil Corporation	
Atico Mining Corporation	
Atlantic Power Corporation	
Atlantic Power Limited Partnership	
Atlantic Power Preferred Equity Ltd.	
Aton Resources Inc.	
Atrium Mortgage Investment Corporation	
ATS Automation Tooling Systems Inc.	
Aubrey Estates Limited Partnership	Cease Traded
Audax Gas & Oil Ltd.	Cease Traded

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Augusta Grove Estates Limited Partnership	Cease Traded
Aura Health Inc.	
Aura Minerals Inc.	
Aurex Resources Corp.	Cease Traded
Aurinia Pharmaceuticals Inc.	
Aurora Cannabis Inc.	
Austral Gold Limited	
Australian REIT Income Fund	
Australis Capital Inc.	
AutoCanada Inc.	
Automotive Finco Corp.	
Automotive Properties Real Estate Investment Trust	
Auxly Cannabis Group Inc.	
Avalon Advanced Materials Inc.	
Avcorp Industries Inc.	
Avesoro Resources Inc.	
Avinda Video Incorporated	Cease Traded
AVINO SILVER & GOLD MINES LTD.	
Avion Gold Corporation	
Avonhurst Plaza	Cease Traded
Axiom All Equity Portfolio	
Axiom Balanced Growth Portfolio	
Axiom Balanced Income Portfolio	
Axiom Canadian Growth Portfolio	
Axiom Diversified Monthly Income Portfolio	
Axiom Foreign Growth Portfolio	
Axiom Global Growth Portfolio	
Axiom Long-Term Growth Portfolio	
Axis.Port Inc.	Cease Traded
AXMIN Inc.	
Axxent Inc.	Cease Traded
Aylen Capital Inc.	
Azarga Metals Corp.	
Azure Dynamics Corporation	Cease Traded
B.E.S.T. Total Return Fund Inc.	
B2Gold Corp.	
BAC Canada Finance Company	
Bairdmore/Leila Square Limited Partnership	Cease Traded
Balanced 60/40 Fund	
Balanced Folio Fund	
Balanced Fund	
Balanced Income Portfolio	
Balanced Monthly Income Fund	
Balanced Portfolio	
Bald Eagle Golf Corp.	Cease Traded
Ballard Power Systems Inc.	
Bank of America Corporation	
Bank of Montreal	
Bank of Nova Scotia, The	
Bannerman Resources Limited	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Barclays Bank PLC	
Barometer Disciplined Leadership Balanced Fund	
Barometer Disciplined Leadership Equity Fund	
Barometer Disciplined Leadership Tactical Income Growth Fund	
Barrick Gold Corporation	
Barsele Minerals Corp.	
Bausch Health Companies Inc.	
Baylin Technologies Inc.	
Baytex Energy Corp.	
BCE Inc.	
Beacon Acquisition Partners Inc.	Cease Traded
Beamscope Canada Inc.	Cease Traded
Bear Creek Mining Corporation	
Beckett Technologies Corp.	Cease Traded
Bedford Arms Syndicate	Cease Traded
Belgravia Capital International Inc.	
Bell Canada	
Bellatrix Exploration Ltd.	
BELLUS Health Inc.	
Belo Sun Mining Corp.	
Belvedere Heights Apartments Limited Partnership	Cease Traded
Bengal Energy Ltd.	
Benz Energy Inc.	Cease Traded
BetaPro Canadian Gold Miners -2x Daily Bear ETF	
BetaPro Canadian Gold Miners 2x Daily Bull ETF	
BetaPro Crude Oil -2x Daily Bear ETF	
BetaPro Crude Oil 2x Daily Bull ETF	
BetaPro Gold Bullion -2x Daily Bear ETF	
BetaPro Gold Bullion 2x Daily Bull ETF	
BetaPro NASDAQ-100 -2x Daily Bear ETF	
BetaPro NASDAQ-100 2x Daily Bull ETF	
BetaPro Natural Gas -2x Daily Bear ETF	
BetaPro Natural Gas 2x Daily Bull ETF	
BetaPro S&P 500 -2x Daily Bear ETF	
BetaPro S&P 500 2x Daily Bull ETF	
BetaPro S&P 500 Daily Inverse ETF	
BetaPro S&P 500 VIX Short-Term Futures ETF	
BetaPro S&P/TSX 60 -2x Daily Bear ETF	
BetaPro S&P/TSX 60 2x Daily Bull ETF	
BetaPro S&P/TSX 60 Daily Inverse ETF	
BetaPro S&P/TSX Capped Energy -2x Daily Bear ETF	
BetaPro S&P/TSX Capped Energy 2x Daily Bull ETF	
BetaPro S&P/TSX Capped Financials -2x Daily Bear ETF	
BetaPro S&P/TSX Capped Financials 2x Daily Bull ETF	
BetaPro Silver -2x Daily Bear ETF	
BetaPro Silver 2x Daily Bull ETF	
Beutel Goodman American Equity Fund	
Beutel Goodman Balanced Fund	
Beutel Goodman Canadian Dividend Fund	
Beutel Goodman Canadian Equity Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Beutel Goodman Corporate/Provincial Active Bond Fund	
Beutel Goodman Fundamental Canadian Equity Fund	
Beutel Goodman Global Dividend Fund	
Beutel Goodman Global Equity Fund	
Beutel Goodman Income Fund	
Beutel Goodman International Equity Fund	
Beutel Goodman Long Term Bond Fund	
Beutel Goodman Money Market Fund	
Beutel Goodman North American Focused Equity Fund	
Beutel Goodman Short Term Bond Fund	
Beutel Goodman Small Cap Fund	
Beutel Goodman Total World Equity Fund	
Beutel Goodman World Focus Equity Fund	
BF Realty Holdings Limited	Cease Traded
Big 8 Split Inc.	
Big Pharma Split Corp.	
Big Rock Brewery Inc.	
Big Sky Petroleum Corporation	
Bio-Clinical Research Limited Partnership	Cease Traded
BioExx Specialty Proteins Ltd.	Cease Traded
BioHEP Technologies Ltd.	
BioSyntech, Inc.	Cease Traded
Birch Mountain Resources Ltd.	1a, 1b, 1c, 1e
Birchcliff Energy Ltd.	
Bird Construction Inc.	
Bird River Resources Inc.	
Black Creek Global Balanced Corporate Class	
Black Creek Global Balanced Fund	
Black Creek Global Leaders Corporate Class	
Black Creek Global Leaders Fund	
Black Creek International Equity Corporate Class	
Black Creek International Equity Fund	
Black Diamond Group Limited	
Black Iron Inc.	
BlackBerry Limited	
Blackbird Energy Inc.	
BlackPearl Resources Inc.	
BlackRock All Bond Portfolio	
BlackRock Balanced Portfolio	
BlackRock Conservative Portfolio	
BlackRock Defensive Portfolio	
BlackRock Diversified Monthly Income Portfolio	
BlackRock Growth Portfolio	
BlackRock MaxGrowth Portfolio	
Blockchain Power Trust	
Blockchain Technologies ETF	
BlockchainK2 Corp.	
Bloom Select Income Fund	
Bloom U.S. Income & Growth Fund	
Blue Note Mining Inc.	Cease Traded

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Blue Ribbon Income Fund	
BlueBay \$U.S. Global Convertible Bond Class (Canada)	
BlueBay \$U.S. Global Convertible Bond Fund (Canada)	
BlueBay Emerging Markets Bond Fund (Canada)	
BlueBay Emerging Markets Corporate Bond Fund	
BlueBay Emerging Markets Local Currency Bond Fund (Canada)	
BlueBay European High Yield Bond Fund (Canada)	
BlueBay Global Convertible Bond Class (Canada)	
BlueBay Global Convertible Bond Fund (Canada)	
BlueBay Global Investment Grade Corporate Bond Fund (Canada)	
BlueBay Global Monthly Income Bond Fund	
BlueBay Global Sovereign Bond Fund (Canada)	
Bluewater Park Apartment Project	
BluMetric Environmental Inc.	
Bmb Compuscience Canada Ltd.	Cease Traded
BMG BullionFund	
BMG Gold BullionFund	
BMG Silver BullionFund	
BMO Advantaged Canadian Q-Model Fund	
BMO Advantaged U.S. Q-Model Fund	
BMO Aggregate Bond Index ETF	
BMO Ascent Balanced Portfolio	
BMO Ascent Conservative Portfolio	
BMO Ascent Equity Growth Portfolio	
BMO Ascent Growth Portfolio	
BMO Ascent Income Portfolio	
BMO Asian Growth and Income Class	
BMO Asian Growth and Income Fund	
BMO Asset Allocation Fund	
BMO Balanced ETF Portfolio	
BMO Balanced ETF Portfolio Class	
BMO Balanced Yield Plus ETF Portfolio	
BMO Bond Fund	
BMO Canadian Dividend ETF	
BMO Canadian Equity Class	
BMO Canadian Equity ETF Fund	
BMO Canadian Equity Fund	
BMO Canadian High Dividend Covered Call ETF	
BMO Canadian Large Cap Equity Fund	
BMO Canadian Q-Model Trust	
BMO Canadian Small Cap Equity Fund	
BMO Canadian Stock Selection Fund	
BMO Canadian Top 15 Small Cap TACTIC Fund	
BMO Capital Trust	
BMO Capital Trust II	
BMO China Equity Index ETF	
BMO Concentrated Global Equity Fund	
BMO Conservative ETF Portfolio	
BMO Core Bond Fund	
BMO Core Plus Bond Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
BMO Corporate Bond Index ETF	
BMO Covered Call Canada High Dividend ETF Fund	
BMO Covered Call Canadian Banks ETF	
BMO Covered Call Canadian Banks ETF Fund	
BMO Covered Call Dow Jones Industrial Average Hedged to CAD ETF	
BMO Covered Call Europe High Dividend ETF Fund	
BMO Covered Call U.S. High Dividend ETF Fund	
BMO Covered Call Utilities ETF	
BMO Crossover Bond Fund	
BMO Discount Bond Index ETF	
BMO Diversified Income Portfolio	
BMO Dividend Class	
BMO Dividend Fund	
BMO Dow Jones Industrial Average Hedged to CAD Index ETF	
BMO Emerging Markets Bond Fund	
BMO Emerging Markets Bond Hedged to CAD Index ETF	
BMO Emerging Markets Fund	
BMO Enterprise Fund	
BMO Equal Weight Banks Index ETF	
BMO Equal Weight Global Base Metals Hedged to CAD Index ETF	
BMO Equal Weight Global Gold Index ETF	
BMO Equal Weight Industrials Index ETF	
BMO Equal Weight Oil & Gas Index ETF	
BMO Equal Weight REITs Index ETF	
BMO Equal Weight US Banks Hedged to CAD Index ETF	
BMO Equal Weight US Banks Index ETF	
BMO Equal Weight US Health Care Hedged to CAD Index ETF	
BMO Equal Weight Utilities Index ETF	
BMO Equity Growth ETF Portfolio	
BMO Equity Growth ETF Portfolio Class	
BMO Europe High Dividend Covered Call ETF	
BMO Europe High Dividend Covered Call Hedged to CAD ETF	
BMO European Fund	
BMO FinTech Sector TACTIC Fund	
BMO Fixed Income ETF Portfolio	
BMO Fixed Income Yield Plus ETF Portfolio	
BMO Floating Rate High Yield ETF	
BMO Floating Rate Income Fund	
BMO Fossil Fuel Free Fund	
BMO FundSelect Balanced Portfolio	
BMO FundSelect Equity Growth Portfolio	
BMO FundSelect Growth Portfolio	
BMO FundSelect Income Portfolio	
BMO Global Balanced Fund	
BMO Global Banks Hedged to CAD Index ETF	
BMO Global Communications Index ETF	
BMO Global Consumer Discretionary Hedged to CAD Index ETF	
BMO Global Consumer Staples Hedged to CAD Index ETF	
BMO Global Diversified Fund	
BMO Global Dividend Class	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
BMO Global Dividend Fund	
BMO Global Energy Class	
BMO Global Equity Class	
BMO Global Equity Fund	
BMO Global Growth & Income Fund	
BMO Global Infrastructure Fund	
BMO Global Infrastructure Index ETF	
BMO Global Insurance Hedged to CAD Index ETF	
BMO Global Low Volatility ETF Class	
BMO Global Monthly Income Fund	
BMO Global Multi-Sector Bond Fund	
BMO Global Small Cap Fund	
BMO Global Strategic Bond Fund	
BMO Government Bond Index ETF	
BMO Greater China Class	
BMO Growth & Income Fund	
BMO Growth ETF Portfolio	
BMO Growth ETF Portfolio Class	
BMO Growth Opportunities Fund	
BMO High Yield US Corporate Bond Hedged to CAD Index ETF	
BMO High Yield US Corporate Bond Index ETF	
BMO Income ETF Portfolio	
BMO Income ETF Portfolio Class	
BMO India Equity Index ETF	
BMO International Dividend ETF	
BMO International Dividend Hedged to CAD ETF	
BMO International Equity ETF Fund	
BMO International Equity Fund	
BMO International Value Class	
BMO International Value Fund	
BMO Japan Fund	
BMO Junior Gas Index ETF	
BMO Junior Gold Index ETF	
BMO Junior Oil Index ETF	
BMO Laddered Corporate Bond Fund	
BMO Laddered Preferred Share Index ETF	
BMO LifeStage Plus 2020 Fund	
BMO LifeStage Plus 2022 Fund	
BMO LifeStage Plus 2025 Fund	
BMO LifeStage Plus 2026 Fund	
BMO LifeStage Plus 2030 Fund	
BMO Long Corporate Bond Index ETF	
BMO Long Federal Bond Index ETF	
BMO Long Provincial Bond Index ETF	
BMO Long-Term US Treasury Bond Index ETF	
BMO Low Volatility Canadian Equity ETF	
BMO Low Volatility Emerging Markets Equity ETF	
BMO Low Volatility International Equity ETF	
BMO Low Volatility International Equity Hedged to CAD ETF	
BMO Low Volatility US Equity ETF	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
BMO Low Volatility US Equity Hedged to CAD ETF	
BMO Mid Corporate Bond Index ETF	
BMO Mid Federal Bond Index ETF	
BMO Mid Provincial Bond Index ETF	
BMO Mid-Term US IG Corporate Bond Hedged to CAD Index ETF	
BMO Mid-Term US IG Corporate Bond Index ETF	
BMO Mid-Term US Treasury Bond Index ETF	
BMO Money Market Fund	
BMO Monthly Dividend Fund Ltd.	
BMO Monthly High Income Fund II	
BMO Monthly Income ETF	
BMO Monthly Income Fund	
BMO Mortgage and Short-Term Income Fund	
BMO MSCI All Country World High Quality Index ETF	
BMO MSCI Canada Value Index ETF	
BMO MSCI EAFE Hedged to CAD Index ETF	
BMO MSCI EAFE Index ETF	
BMO MSCI EAFE Value Index ETF	
BMO MSCI Emerging Markets Index ETF	
BMO MSCI Europe High Quality Hedged to CAD Index ETF	
BMO MSCI USA High Quality Index ETF	
BMO MSCI USA Value Index ETF	
BMO Multi-Factor Equity Fund	
BMO Nasdaq 100 Equity Hedged to CAD Index ETF	
BMO North American Dividend Fund	
BMO Precious Metals Fund	
BMO Preferred Share Fund	
BMO Private Canadian Core Equity Portfolio	
BMO Private Canadian Corporate Bond Portfolio	
BMO Private Canadian Income Equity Portfolio	
BMO Private Canadian Mid-Term Bond Portfolio	
BMO Private Canadian Money Market Portfolio	
BMO Private Canadian Short-Term Bond Portfolio	
BMO Private Canadian Special Equity Portfolio	
BMO Private Diversified Yield Portfolio	
BMO Private Emerging Markets Equity Portfolio	
BMO Private International Equity Portfolio	
BMO Private U.S. Equity Portfolio	
BMO Private U.S. Growth Equity Portfolio	
BMO Private U.S. Special Equity Portfolio	
BMO Real Return Bond Index ETF	
BMO Resource Fund	
BMO Retirement Balanced Portfolio	
BMO Retirement Conservative Portfolio	
BMO Retirement Income Portfolio	
BMO Risk Reduction Equity Fund	
BMO Risk Reduction Fixed Income Fund	
BMO S&P 500 Hedged to CAD Index ETF	
BMO S&P 500 Index ETF	
BMO S&P/TSX Capped Composite Index ETF	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
BMO SelectClass Balanced Portfolio	
BMO SelectClass Equity Growth Portfolio	
BMO SelectClass Growth Portfolio	
BMO SelectClass Income Portfolio	
BMO SelectTrust Balanced Portfolio	
BMO SelectTrust Conservative Portfolio	
BMO SelectTrust Equity Growth Portfolio	
BMO SelectTrust Fixed Income Portfolio	
BMO SelectTrust Growth Portfolio	
BMO SelectTrust Income Portfolio	
BMO Shiller Select US Index ETF	
BMO Short Corporate Bond Index ETF	
BMO Short Federal Bond Index ETF	
BMO Short Provincial Bond Index ETF	
BMO Short-Term Bond Index ETF	
BMO Short-Term US IG Corporate Bond Hedged to CAD Index ETF	
BMO Short-Term US Treasury Bond Index ETF	
BMO Subordinated Notes Trust	
BMO Tactical Balanced ETF Fund	
BMO Tactical Dividend ETF Fund	
BMO Tactical Global Asset Allocation ETF Fund	
BMO Tactical Global Bond ETF Fund	
BMO Tactical Global Equity ETF Fund	
BMO Tactical Global Growth ETF Fund	
BMO Target Education 2020 Portfolio	
BMO Target Education 2025 Portfolio	
BMO Target Education 2030 Portfolio	
BMO Target Education 2035 Portfolio	
BMO Target Education Income Portfolio	
BMO U.S. Dividend Fund	
BMO U.S. Dollar Balanced Fund	
BMO U.S. Dollar Dividend Fund	
BMO U.S. Dollar Equity Index Fund	
BMO U.S. Dollar Money Market Fund	
BMO U.S. Dollar Monthly Income Fund	
BMO U.S. Equity Class	
BMO U.S. Equity ETF Fund	
BMO U.S. Equity Fund	
BMO U.S. Equity Plus Fund	
BMO U.S. High Yield Bond Fund	
BMO U.S. Q-Model Trust	
BMO U.S. Small Cap Fund	
BMO U.S. Top 15 Small Cap TACTIC Fund	
BMO Ultra Short-Term Bond ETF	
BMO US Dividend ETF	
BMO US Dividend Hedged to CAD ETF	
BMO US High Dividend Covered Call ETF	
BMO US High Dividend Covered Call Hedged to CAD ETF	
BMO US Preferred Share Hedged to CAD Index ETF	
BMO US Preferred Share Index ETF	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
BMO US Put Write ETF	
BMO US Put Write Hedged to CAD ETF	
BMO Women in Leadership Fund	
BMO World Bond Fund	
BNK Petroleum Inc.	
BNP Resources Inc.	Cease Traded
BNS Split Corp. II	
Boardwalk Real Estate Investment Trust	
Boardwalktech Software Corp.	
Boliden AB (publ)	
Bombardier Inc.	
Bonaventure Estates I Limited Partnership	Cease Traded
Bonavista Energy Corporation	
Bonterra Energy Corp.	
Boralex Inc.	
Border Petroleum Limited	
Borealis Infrastructure Trust	
Boston Pizza Royalties Income Fund	
Bow River Exploration Ltd.	Cease Traded
Boyd Group Income Fund	
Boyuan Construction Group, Inc.	
BQE Water Inc.	
Bracknell Corporation	Cease Traded
Bramalea Inc.	Cease Traded
BRAMPTON BRICK LIMITED	
Brand Leaders Income Fund	
Brandes Canadian Equity Fund	
Brandes Canadian Money Market Fund	
Brandes Corporate Focus Bond Fund	
Brandes Emerging Markets Value Fund	
Brandes Global Balanced Fund	
Brandes Global Equity Fund	
Brandes Global Opportunities Fund	
Brandes Global Small Cap Equity Fund	
Brandes International Equity Fund	
Brandes U.S. Equity Fund	
Brandes U.S. Small Cap Equity Fund	
Brandevor Enterprises Ltd.	Cease Traded
Brandon Energy Ltd.	Cease Traded
Bri-Chem Corp.	
BridgePoint International inc.	Cease Traded
Briggand Energy Corp.	Cease Traded
Bright Plan	
Brighter Minds Media Inc.	Cease Traded
Brighton Gardens Of Boynton Beach Limited Partnership	Cease Traded
Bristol Gate Concentrated Canadian Equity ETF	
Bristol Gate Concentrated US Equity ETF	
British Columbia Ferry Services Inc.	
BRO-X Minerals Ltd.	Cease Traded
Broadband Learning Corporation	Cease Traded

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Bromley - Marr ECOS Inc.	Cease Traded
Brompton 2017 Flow-Through Limited Partnership	
Brompton Corp.	
Brompton Lifeco Split Corp.	
Brompton Oil Split Corp.	
Brompton Resource Class	
Brompton Split Banc Corp.	
Brookfield Asset Management Inc.	
Brookfield Business Partners L.P.	
Brookfield Finance Inc.	
Brookfield Finance LLC	
Brookfield Global Infrastructure Securities Income Fund	
Brookfield Infrastructure Finance Limited	
Brookfield Infrastructure Finance LLC	
Brookfield Infrastructure Finance Pty Ltd	
Brookfield Infrastructure Finance ULC	
Brookfield Infrastructure Partners L.P.	
Brookfield Infrastructure Preferred Equity Inc.	
Brookfield Investments Corporation	
Brookfield Office Properties Exchange LP	
Brookfield Office Properties Inc.	
Brookfield Property Finance ULC	
Brookfield Property Partners L.P.	
Brookfield Property Preferred Equity Inc.	
Brookfield Property Split Corp.	
Brookfield Real Estate Services Inc.	
Brookfield Renewable Partners L.P.	
Brookfield Renewable Partners ULC	
Brookfield Renewable Power Preferred Equity Inc.	
Brookfield Select Opportunities Income Fund	
BRP Inc.	
Bruce Place	Cease Traded
BSM Technologies Inc.	
BSR Real Estate Investment Trust	
BTB Real Estate Investment Trust	
Buffalo Coal Corp.	
Buhler Industries Inc.	
Builders Capital Mortgage Corp.	
BURCON NUTRASCIENCE CORPORATION	
Burnstone Ventures Inc.	Cease Traded
BUTTE ENERGY INC.	
BWR Exploration Inc.	
C International Income Fund	Cease Traded
C.K. Ventures (A Limited Partnership)	Cease Traded
Cabletel Communications Corp.	Cease Traded
Cabral Gold Inc.	
CAE Inc.	
Caldwell Balanced Fund	
Caldwell Canadian Value Momentum Fund	
Caldwell U.S. Dividend Advantage Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Calfrac Well Services Ltd.	
Calian Group Ltd.	
Callidus Capital Corporation	
Callitas Health Inc.	
Calmena Energy Services Inc.	Cease Traded
Calyx Ventures Inc.	
Cambridge American Equity Corporate Class	
Cambridge American Equity Fund	
Cambridge Asset Allocation Corporate Class	
Cambridge Asset Allocation Fund	
Cambridge Balanced Yield Pool	
Cambridge Bond Fund	
Cambridge Canadian Dividend Corporate Class	
Cambridge Canadian Dividend Fund	
Cambridge Canadian Equity Corporate Class	
Cambridge Canadian Equity Fund	
Cambridge Canadian Growth Companies Fund	
Cambridge Canadian Short-Term Bond Pool	
Cambridge Global Dividend Corporate Class	
Cambridge Global Dividend Fund	
Cambridge Global Equity Corporate Class	
Cambridge Global Equity Fund	
Cambridge Global High Income Fund	
Cambridge Growth Companies Corporate Class	
Cambridge Growth Companies Fund	
Cambridge Monthly Income Corporate Class	
Cambridge Monthly Income Fund	
Cambridge Premium Yield Pool	
Cambridge Pure Canadian Equity Corporate Class	
Cambridge Pure Canadian Equity Fund	
Cambridge Stock Selection Fund	
Cambridge U.S. Dividend Fund	
Cambridge U.S. Dividend Registered Fund	
Cambridge U.S. Dividend US\$ Fund	
Cameco Corporation	
Campbell Resources Inc.	Cease Traded
Canaccord Genuity Acquisition Corp,	
Canaccord Genuity Group Inc.	
Canacol Energy Ltd.	
Canada 3000 Inc.	Cease Traded
Canada Goose Holdings Inc.	
Canada Jetlines Ltd.	
Canada Life Assurance Company, The	
Canada Life Capital Trust	
Canada Life Financial Corporation	
Canadian Agra Foods Inc.	Cease Traded
Canadian Airlines Corporation	Cease Traded
Canadian Apartment Properties Real Estate Investment Trust	
Canadian Banc Corp.	
Canadian Convertibles Plus Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Canadian Credit Card Trust II	
Canadian Crude Oil Index ETF	
Canadian Dividend Class (Laketon)	
Canadian Dollar Cash Management Fund	
Canadian Equity Alpha Corporate Class	
Canadian Equity Class	
Canadian Equity Class (Laketon)	
Canadian Equity Fund	
Canadian Equity Fund (Laketon)	
Canadian Equity Growth Corporate Class	
Canadian Equity Growth Pool	
Canadian Equity Small Cap Corporate Class	
Canadian Equity Small Cap Pool	
Canadian Equity Value Corporate Class	
Canadian Equity Value Pool	
Canadian Fixed Income Corporate Class	
Canadian Fixed Income Fund	
Canadian Fixed Income Pool	
Canadian General Investments, Limited	
Canadian Growth Class (GWLIM)	
Canadian Growth Fund (GWLIM)	
Canadian High Income Equity Fund	
Canadian Imperial Bank of Commerce	
Canadian Investment Grade Preferred Share Fund (P2L)	
Canadian Life Companies Split Corp.	
Canadian Low Volatility Class (London Capital)	
Canadian National Railway Company	
Canadian Natural Resources Limited	
Canadian Oil Recovery & Remediation Enterprises Ltd.	
Canadian Overseas Petroleum Limited	
Canadian Pacific Railway Company	
Canadian Pacific Railway Limited	
Canadian Pioneer Energy Inc.	Cease Traded
Canadian Preferred Share Trust	
CANADIAN RESOURCES INCOME TRUST	
Canadian Scholarship Trust Family Savings Plan	
Canadian Scholarship Trust Founders' Plan	
Canadian Scholarship Trust Group Savings Plan 2001	
Canadian Scholarship Trust Individual Savings Plan	
Canadian Small Company Equity Fund	
Canadian Spirit Resources Inc.	
Canadian Tire Corporation, Limited	
Canadian Utilities & Telecom Income Fund	
Canadian Utilities Limited	
Canadian Value Class (FGP)	
Canadian Western Bank	
CANADIAN ZINC CORPORATION	
Canalands Resources Corporation	Cease Traded
Candente Gold Corp.	
Canfor Corporation	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Canfor Pulp Products Inc.	
Canlan Ice Sports Corp.	
Cannabis Growth Opportunity Corporation	
Cannabis Strategies Acquisition Corp.	
Cannabix Technologies Inc	
CannaRoyalty Corp.	
Canntab Therapeutics Limited	
CannTrust Holdings Inc.	
Canoe Bond Advantage Fund	
Canoe Bond Advantage Portfolio Class	
Canoe Canadian Asset Allocation Portfolio Class	
Canoe Canadian Corporate Bond Fund	
Canoe Canadian Monthly Income Portfolio Class	
Canoe EIT Income Fund	
Canoe Energy Income Portfolio Class	
Canoe Energy Portfolio Class	
Canoe Enhanced Income Fund	
Canoe Enhanced Income Portfolio Class	
Canoe Equity Income Portfolio Class	
Canoe Equity Portfolio Class	
Canoe Floating Rate Income Fund	
Canoe Global Equity Income Portfolio Class	
Canoe Global Income Fund	
Canoe Global Income Portfolio Class	
Canoe Mining Ventures Corp.	
Canoe North American Monthly Income Portfolio Class	
Canoe Premium Income Fund	
Canoe Strategic High Yield Fund	
Canoe Strategic High Yield Portfolio Class	
Canoe U.S. Equity Income Portfolio Class	
Canopy Growth Corporation	
Canoro Resources Ltd.	Cease Traded
Canshore Exploration Limited	Cease Traded
Canso Credit Income Fund	
Canso Select Opportunities Fund	
Canterra Minerals Corporation	
CanWel Building Materials Group Ltd.	
Capital Desjardins Inc.	
Capital Group Canadian Core Plus Fixed Income Fund (Canada)	
Capital Group Canadian Focused Equity Fund (Canada)	
Capital Group Capital Income Builder (Canada)	
Capital Group Emerging Markets Total Opportunities Fund (Canada)	
Capital Group Global Balanced Fund (Canada)	
Capital Group Global Equity Fund (Canada)	
Capital Group International Equity Fund (Canada)	
Capital Group U.S. Equity Fund (Canada)	
Capital Group World Bond Fund (Canada)	
Capital Power Corporation	
Capstone Infrastructure Corporation	
Capstone Mining Corp.	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Cardinal Energy Ltd.	
Cardinal Resources Limited	
CARDS II Trust	
Cargojet Inc.	
Caribbean Utilities Company, Ltd.	
Carmanah Resources Ltd	Cease Traded
Carmanah Technologies Corporation	
CARS and PARS Programme	
Cascades Inc.	
Cash Management Class	
Cash Management Pool	
Cassidy's Ltd.	Cease Traded
CatchMark Timber Trust, Inc.	
Caterpillar Financial Services Limited	
Cathay Forest Products Corp.	Cease Traded
Cathedral Energy Services Ltd.	
Cautivo Mining Inc.	
Cayenne Gold Mines Ltd.	Cease Traded
Cbo Resources Corp.	Cease Traded
CC&L Core Income and Growth Fund	
CC&L Diversified Income Portfolio	
CC&L Equity Income and Growth Fund	
CC&L Global Alpha Fund	
CC&L High Yield Bond Fund	
CCL Industries Inc.	
CED-OR CORPORATION	Cease Traded
Cedar Ridge Apartments Project	Cease Traded
Celestica Inc.	
CellStop Systems Inc.	
Cenovus Energy Inc.	
Centamin plc	
Centerra Gold Inc.	
Central 1 Credit Union	
Central Asia Goldfields Corporation	Cease Traded
Central Guaranty Trustco Limited	Cease Traded
Centre Street Limited Partnership	Cease Traded
Centre Ville Limited Partnership	Cease Traded
Centric Health Corporation	
Cequence Energy Ltd.	
Ceres Global Ag Corp.	
Ceridian HCM Holding Inc.	
Cerro Grande Mining Corporation	Cease Traded
Cervus Equipment Corporation	
CES Energy Solutions Corp.	
Cgc Inc.	Cease Traded
CGI Group Inc.	
CGX Energy Inc.	
Champion Iron Limited	
Chancellor Square Limited Partnership	Cease Traded
Charlotte Resources Ltd.	Cease Traded

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Chartwell Retirement Residences	
Chateau Janeville Apartment Project	
Chauvco Resources International Ltd.	Cease Traded
Chemokine Therapeutics Corp.	Cease Traded
Chemtrade Electrochem Inc.	
Chemtrade Logistics Income Fund	
Chesswood Group Limited	
Chieftain Metals Corp.	Cease Traded
Children's Educational Foundation of Canada, The	
Chinook Energy Inc.	
CHIP Mortgage Trust	
Choice Properties Real Estate Investment Trust	
Chorus Aviation Inc.	
Chorus II Aggressive Growth Portfolio	
Chorus II Balanced Low Volatility Portfolio	
Chorus II Conservative Low Volatility Portfolio	
Chorus II Corporate Class Aggressive Growth Portfolio	
Chorus II Corporate Class Balanced Low Volatility Portfolio	
Chorus II Corporate Class Conservative Low Volatility Portfolio	
Chorus II Corporate Class Growth Portfolio	
Chorus II Corporate Class Maximum Growth Portfolio	
Chorus II Corporate Class Moderate Low Volatility Portfolio	
Chorus II Growth Portfolio	
Chorus II Maximum Growth Portfolio	
Chorus II Moderate Low Volatility Portfolio	
Chou Asia Fund	
Chou Associates Fund	
Chou Bond Fund	
Chou Europe Fund	
Chou RRSP Fund	
Churchill 10 Debenture Corp.	
Churchill 10 Real Estate Limited Partnership	
Churchill 11 Debenture Corp.	
Churchill 11 Real Estate Limited Partnership	
Churchill IV Debenture Corp.	
Churchill IV Real Estate Limited Partnership	
CI American Managers Corporate Class	
CI American Small Companies Corporate Class	
CI American Small Companies Fund	
CI American Value Corporate Class	
CI American Value Fund	
CI Can-Am Small Cap Corporate Class	
CI Canadian Investment Corporate Class	
CI Canadian Investment Fund	
CI Canadian Small/Mid Cap Fund	
CI Financial Corp.	
CI G5 20 2038 Q3 Fund	
CI G5 20 2038 Q4 Fund	
CI G5 20 2039 Q2 Fund	
CI G5 20 2039 Q3 Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
CI G5 20 2040 Q1 Fund	
CI G5 20 2040 Q4 Fund	
CI G5 20i 2035 Q1 Fund	
CI G5 20i 2035 Q2 Fund	
CI G5 20i 2036 Q1 Fund	
CI G5 20i 2036 Q2 Fund	
CI Global Health Sciences Corporate Class	
CI Global High Dividend Advantage Corporate Class	
CI Global High Dividend Advantage Fund	
CI Global Small Companies Corporate Class	
CI Global Small Companies Fund	
CI Global Value Corporate Class	
CI Global Value Fund	
CI Income Fund	
CI International Value Corporate Class	
CI International Value Fund	
CI Investment Grade Bond Fund	
CI Money Market Fund	
CI Pacific Corporate Class	
CI Pacific Fund	
CI Short-Term Corporate Class	
CI Short-Term US\$ Corporate Class	
CI U.S. Income US\$ Pool	
CI US Money Market Fund	
CIBC Asia Pacific Fund	
CIBC Asia Pacific Index Fund	
CIBC Balanced Fund	
CIBC Balanced Growth Passive Portfolio	
CIBC Balanced Index Fund	
CIBC Balanced Passive Portfolio	
CIBC Canadian Bond Fund	
CIBC Canadian Bond Index Fund	
CIBC Canadian Equity Fund	
CIBC Canadian Equity Value Fund	
CIBC Canadian Index Fund	
CIBC Canadian Real Estate Fund	
CIBC Canadian Resources Fund	
CIBC Canadian Short-Term Bond Index Fund	
CIBC Canadian Small-Cap Fund	
CIBC Canadian T-Bill Fund	
CIBC Capital Trust	
CIBC Conservative Passive Portfolio	
CIBC Dividend Growth Fund	
CIBC Dividend Income Fund	
CIBC Emerging Markets Fund	
CIBC Emerging Markets Index Fund	
CIBC Energy Fund	
CIBC European Equity Fund	
CIBC European Index Fund	
CIBC Financial Companies Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
CIBC Global Bond Fund	
CIBC Global Bond Index Fund	
CIBC Global Equity Fund	
CIBC Global Monthly Income Fund	
CIBC Global Technology Fund	
CIBC International Equity Fund	
CIBC International Index Fund	
CIBC International Small Companies Fund	
CIBC Latin American Fund	
CIBC Managed Aggressive Growth Portfolio	
CIBC Managed Balanced Growth Portfolio	
CIBC Managed Balanced Portfolio	
CIBC Managed Growth Portfolio	
CIBC Managed Income Plus Portfolio	
CIBC Managed Income Portfolio	
CIBC Managed Monthly Income Balanced Portfolio	
CIBC Money Market Fund	
CIBC Monthly Income Fund	
CIBC Nasdaq Index Fund	
CIBC Precious Metals Fund	
CIBC Short-Term Income Fund	
CIBC U.S. Broad Market Index Fund	
CIBC U.S. Dollar Managed Balanced Portfolio	
CIBC U.S. Dollar Managed Growth Portfolio	
CIBC U.S. Dollar Managed Income Portfolio	
CIBC U.S. Dollar Money Market Fund	
CIBC U.S. Equity Fund	
CIBC U.S. Index Fund	
CIBC U.S. Small Companies Fund	
CIM International Group Inc.	
Cineplex Inc.	
Cinram International Limited Partnership	Cease Traded
Cipher Pharmaceuticals Inc.	
Cis Commax Hedge Fund	Cease Traded
Cis Global Telecommunications Fund	Cease Traded
Citadel Income Fund	
Citigroup Finance Canada ULC	
Clarke Inc.	
Classic Education Savings Plan	
Clean Harbors, Inc.	
Clearpoint Global Dividend Fund	
Clearpoint Short Term Income Fund	
ClearStream Energy Services Inc.	
Clearwater Seafoods Incorporated	
Clipper Apartment Project	
Cloud Nine Education Group Ltd.	
CMP 2017 Resource Limited Partnership	
CMP 2018 Resource Limited Partnership	
CNH Capital Canada Receivables Trust	
CNH Capital Canada Wholesale Trust	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Co-operators General Insurance Company	
Coach Hill Manor Syndicate	Cease Traded
Coachman House Limited Partnership	
Cobalt 27 Capital Corp.	
Cogeco Communications Inc.	
Cogeco Inc	
Cognetivity Neurosciences Ltd.	
CohBar, Inc.	
Colabor Group Inc.	
COLLIERS INTERNATIONAL GROUP INC.	
Colossus Minerals Inc.	Cease Traded
Columbus Gold Corporation	
Cominar Real Estate Investment Trust	
Compass Balanced Growth Portfolio	
Compass Balanced Portfolio	
Compass Conservative Balanced Portfolio	
Compass Conservative Portfolio	
Compass Growth Portfolio	
Compass Maximum Growth Portfolio	
Computer Modelling Group Ltd.	
Concert Industries Ltd.	Cease Traded
Concordia International Corp.	
Condor Petroleum Inc.	
Conifex Timber Inc.	
ConjuChem Biotechnologies Inc.	Cease Traded
Connacher Oil and Gas Limited	
Conpak Seafoods Inc.	Cease Traded
Conservative Folio Fund	
Conservative Fund	
Conservative Income Portfolio	
Conservative Monthly Income Fund	
Conservative Portfolio	
CONSTELLATION COPPER CORPORATION	Cease Traded
Constellation Software Inc.	
Continental Gold Inc.	
Copper Mountain Mining Corporation	
Copper North Mining Corp.	
Copper Reef Mining Corporation	
Core Bond Fund (Portico)	
Core Canadian Dividend Trust	
Core Plus Bond Fund (Portico)	
Coro Mining Corp.	
Corporate Bond Fund (Portico)	
Correvio Pharma Corp.	
Corridor Resources Inc.	
Cortex Business Solutions Inc.	
Corus Entertainment Inc.	
Cott Corporation	
Counsel All Equity Portfolio	
Counsel All Equity Portfolio Class	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Counsel Balanced Growth Portfolio	
Counsel Balanced Portfolio	
Counsel Balanced Portfolio Class	
Counsel Canadian Core Fixed Income	
Counsel Canadian Dividend	
Counsel Canadian Dividend Class	
Counsel Canadian Growth	
Counsel Canadian Growth Class	
Counsel Canadian Value	
Counsel Canadian Value Class	
Counsel Conservative Portfolio	
Counsel Conservative Portfolio Class	
Counsel Defensive Global Equity	
Counsel Fixed Income	
Counsel Global Dividend	
Counsel Global Fixed Income	
Counsel Global Low Volatility Equity	
Counsel Global Real Estate	
Counsel Global Small Cap	
Counsel Global Trend Strategy	
Counsel Growth Portfolio	
Counsel Growth Portfolio Class	
Counsel High Income Portfolio	
Counsel High Yield Fixed Income	
Counsel Income Portfolio	
Counsel International Growth	
Counsel International Value	
Counsel Money Market	
Counsel Monthly Income Portfolio	
Counsel North American High Yield Bond	
Counsel Retirement Accumulation Portfolio	
Counsel Retirement Foundation Portfolio	
Counsel Retirement Income Portfolio	
Counsel Retirement Preservation Portfolio	
Counsel Short Term Bond	
Counsel U.S. Growth	
Counsel U.S. Value	
Country Club Plaza Limited Partnership	Cease Traded
Covington Fund II Inc.	
Covington Venture Fund Inc.	
CPI Card Group Inc.	
Crailar Technologies Inc.	Cease Traded
CRC Royalty Corporation	
Credit Suisse AG	
Crescent Point Energy Corp.	
Crescita Therapeutics Inc.	
Crew Energy Inc.	
CRH Medical Corporation	
Crius Energy Trust	
Crocus Investment Fund	Cease Traded

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Crombie Real Estate Investment Trust	
Cronos Group Inc.	
Cross Winds Apartment Project, The	
Crosswinds Holdings Inc.	
Crown Capital Partners Inc.	
Crown Point Energy Inc.	
CRS Preferred NT Ltd.	Cease Traded
Crystallex International Corporation	Cease Traded
CT Real Estate Investment Trust	
CU Inc.	
Curragh Inc.	Cease Traded
CVF Technologies Corporation	Cease Traded
CWB Core Equity Fund	
CWB Core Fixed Income Fund	
CWB Onyx Balanced Solution	
CWB Onyx Canadian Equity Fund	
CWB Onyx Conservative Solution	
CWB Onyx Diversified Income Fund	
CWB Onyx Global Equity Fund	
CWB Onyx Growth Solution	
CWC Energy Services Corp.	
Cymat Technologies Ltd.	
Cymbria Corporation	
D-Box Technologies Inc.	
Daerwood Place Limited Partnership	Cease Traded
Dalmys (Canada) Limited	Cease Traded
Dalradian Resources Inc.	
DANIER LEATHER INC.	Cease Traded
DATA Communications Management Corp.	
DataWind Inc.	
Davie Yards Inc.	Cease Traded
Davis Distributing Limited	Cease Traded
Dean Witter Cornerstone Fund Iv	Cease Traded
Deans Knight Income Corporation	
DECISIVE DIVIDEND CORPORATION	
Deer Ridge Village Limited Partnership	Cease Traded
Deer Valley Shopping Centre Limited Partnership	Cease Traded
Delphi Energy Corp.	
Delta 9 Cannabis Inc.	
Delta Montreal Hotel And Company, Limited Partnership	Cease Traded
Denbury Resources Inc.	
Denison Mines Corp.	
Descartes Systems Group Inc., The	
Desjardins 1-5 year Laddered Canadian Corporate Bond Index ETF	
Desjardins 1-5 year Laddered Canadian Government Bond Index ETF	
Desjardins American Equity Growth Currency Neutral Fund	
Desjardins American Equity Growth Fund	
Desjardins American Equity Value Fund	
Desjardins Canada Multifactor-Controlled Volatility ETF	
Desjardins Canadian Bond Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Desjardins Canadian Equity Fund	
Desjardins Canadian Equity Income Fund	
Desjardins Canadian Equity Value Fund	
Desjardins Canadian Preferred Share Fund	
Desjardins Canadian Preferred Share Index ETF	
Desjardins Canadian Short Term Bond Index ETF	
Desjardins Canadian Small Cap Equity Fund	
Desjardins Canadian Universe Bond Index ETF	
Desjardins Developed ex-USA ex-Canada Multifactor-Controlled Volatility ETF	
Desjardins Dividend Growth Fund	
Desjardins Dividend Income Fund	
Desjardins Emerging Markets Bond Fund	
Desjardins Emerging Markets Fund	
Desjardins Emerging Markets Multifactor-Controlled Volatility ETF	
Desjardins Emerging Markets Opportunities Fund	
Desjardins Enhanced Bond Fund	
Desjardins Floating Rate Income Fund	
Desjardins Global Balanced Strategic Income Fund	
Desjardins Global Corporate Bond Fund	
Desjardins Global Dividend Fund	
Desjardins Global Equity Fund	
Desjardins Global Equity Growth Fund	
Desjardins Global Equity Value Fund	
Desjardins Global Inflation Linked Bond Fund	
Desjardins Global Infrastructure Fund	
Desjardins Global Small Cap Equity Fund	
Desjardins Global Tactical Bond Fund	
Desjardins IBrix Canadian Equity Focus Fund	
Desjardins IBrix Canadian High Dividend Equity Fund	
Desjardins IBrix Global Bond Fund	
Desjardins IBrix Global Equity Focus Fund	
Desjardins IBrix Low Volatility Emerging Markets Fund	
Desjardins IBrix Low Volatility Global Equity Fund	
Desjardins Money Market Fund	
Desjardins Overseas Equity Growth Fund	
Desjardins Overseas Equity Value Fund	
Desjardins Québec Balanced Fund	
Desjardins Short-Term Income Fund	
Desjardins SocieTerra American Equity Fund	
Desjardins SocieTerra Canadian Bond Fund	
Desjardins SocieTerra Canadian Equity Fund	
Desjardins SocieTerra Cleantech Fund	
Desjardins SocieTerra Emerging Markets Equity Fund	
Desjardins SocieTerra Environment Fund	
Desjardins SocieTerra Environmental Bond Fund	
Desjardins SocieTerra International Equity Fund	
Desjardins SocieTerra Positive Change Fund	
Desjardins Tactical Balanced Fund	
Desjardins USA Multifactor-Controlled Volatility ETF	
Detour Gold Corporation	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Deutsche Bank Aktiengesellschaft	
Devine Entertainment Corporation	Cease Traded
DFA Canadian Core Equity Fund	
DFA Canadian Vector Equity Fund	
DFA Five-Year Global Fixed Income Fund	
DFA Global 40EQ-60FI Portfolio	
DFA Global 50EQ-50FI Portfolio	
DFA Global 60EQ-40FI Portfolio	
DFA Global 70EQ-30FI Portfolio	
DFA Global 80EQ-20FI Portfolio	
DFA Global Equity Portfolio	
DFA Global Investment Grade Fixed Income Fund	
DFA Global Real Estate Securities Fund	
DFA Global Targeted Credit Fund	
DFA International Core Equity Fund	
DFA International Vector Equity Fund	
DFA U.S. Core Equity Fund	
DFA U.S. Vector Equity Fund	
DHX Media Ltd.	
Diagem Inc.	Cease Traded
Diagnos Inc.	
DiaMedica Therapeutics Inc.	
Dickstone Copper Mines Limited	Cease Traded
Difference Capital Financial Inc.	
Dinnerex National II Limited Partnership	Cease Traded
Dinnerex National Limited Partnership	Cease Traded
DIRTT Environmental Solutions Ltd.	
Discovery 2017 Flow-Through Limited Partnership	
Discovery Air Inc.	Cease Traded
Disenco Energy PLC	Cease Traded
Distinct Infrastructure Group Inc.	
Distinction Balanced Class	
Distinction Bold Class	
Distinction Conservative Class	
Distinction Growth Class	
Distinction Prudent Class	
Diversified Fixed Income Folio Fund	
Diversified Royalty Corp.	
Divestco Inc.	
Dividend 15 Split Corp.	
Dividend 15 Split Corp. II	
Dividend Class (GWLIM)	
Dividend Fund (GWLIM)	
Dividend Growth Split Corp.	
Dividend Select 15 Corp.	
Divot Golf Corporation	Cease Traded
Dixie Road Limited Partnership	Cease Traded
DMD Digital Health Connections Group Inc.	
DMP Power Global Growth Class	
DMP Resource Class	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
DMP Value Balanced Class	
Dollarama Inc.	
Doman Industries Limited	Cease Traded
Domtar Corporation	
Donnelley Financial Solutions, Inc.	
Dorel Industries Inc.	
DoubleLine Income Solutions Trust	
DragonWave Inc.	Cease Traded
Dream Global Real Estate Investment Trust	
Dream Hard Asset Alternatives Trust	
Dream Industrial Real Estate Investment Trust	
Dream Office Real Estate Investment Trust	
DREAM Unlimited Corp.	
Dreyfus Fund Incorporated, The	
Dreyfus Fund International Limited, The	Cease Traded
Drone Delivery Canada Corp.	
Dundee Corporation	
Dundee Energy Limited	
Dundee Global Resource Class	
Dundee Precious Metals Inc.	
Dynamic Active Canadian Dividend Fund	
Dynamic Active Core Bond Private Pool	
Dynamic Active Credit Strategies Private Pool	
Dynamic Active Crossover Bond Fund	
Dynamic Active Global Dividend Fund	
Dynamic Active Global Financial Services Fund	
Dynamic Active Investment Grade Floating Rate Fund	
Dynamic Active Preferred Shares Fund	
Dynamic Active Tactical Bond Fund	
Dynamic Active U.S. Dividend Fund	
Dynamic Active U.S. Mid-Cap Fund	
Dynamic Advantage Bond Class	
Dynamic Advantage Bond Fund	
Dynamic Alternative Managed Risk Private Pool Class	
Dynamic Alternative Yield Class	
Dynamic Alternative Yield Fund	
Dynamic American Class	
Dynamic American Fund	
Dynamic Asia Pacific Equity Fund	
Dynamic Asset Allocation Private Pool	
Dynamic Blue Chip Balanced Fund	
Dynamic Blue Chip Equity Fund	
Dynamic Blue Chip U.S. Balanced Class	
Dynamic Canadian Bond Fund	
Dynamic Canadian Dividend Fund	
Dynamic Canadian Equity Private Pool Class	
Dynamic Canadian Value Class	
Dynamic Conservative Yield Private Pool	
Dynamic Conservative Yield Private Pool Class	
Dynamic Corporate Bond Strategies Class	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Dynamic Corporate Bond Strategies Fund	
Dynamic Credit Spectrum Fund	
Dynamic Diversified Real Asset Fund	
Dynamic Dividend Advantage Class	
Dynamic Dividend Advantage Fund	
Dynamic Dividend Fund	
Dynamic Dividend Income Class	
Dynamic Dividend Income Fund	
Dynamic Dollar-Cost Averaging Fund	
Dynamic Energy Income Fund	
Dynamic Equity Income Fund	
Dynamic European Equity Fund	
Dynamic Financial Services Fund	
Dynamic Global All-Terrain Fund	
Dynamic Global Asset Allocation Class	
Dynamic Global Asset Allocation Fund	
Dynamic Global Balanced Fund	
Dynamic Global Discovery Class	
Dynamic Global Discovery Fund	
Dynamic Global Dividend Class	
Dynamic Global Dividend Fund	
Dynamic Global Equity Fund	
Dynamic Global Equity Income Fund	
Dynamic Global Equity Private Pool Class	
Dynamic Global Infrastructure Class	
Dynamic Global Infrastructure Fund	
Dynamic Global Real Estate Fund	
Dynamic Global Strategic Yield Fund	
Dynamic Global Yield Private Pool	
Dynamic Global Yield Private Pool Class	
Dynamic High Yield Bond Fund	
Dynamic International Dividend Private Pool	
Dynamic International Equity Fund	
Dynamic Investment Grade Floating Rate Fund	
Dynamic iShares Active Canadian Dividend ETF	
Dynamic iShares Active Crossover Bond ETF	
Dynamic iShares Active Global Dividend ETF	
Dynamic iShares Active Global Financial Services ETF	
Dynamic iShares Active Investment Grade Floating Rate ETF	
Dynamic iShares Active Preferred Shares ETF	
Dynamic iShares Active Tactical Bond ETF	
Dynamic iShares Active U.S. Dividend ETF	
Dynamic iShares Active U.S. Mid-Cap ETF	
Dynamic Money Market Class	
Dynamic Money Market Fund	
Dynamic North American Dividend Private Pool	
Dynamic Power American Growth Class	
Dynamic Power American Growth Fund	
Dynamic Power Balanced Fund	
Dynamic Power Canadian Growth Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Dynamic Power Global Balanced Class	
Dynamic Power Global Growth Class	
Dynamic Power Global Growth Fund	
Dynamic Power Global Navigator Class	
Dynamic Power Small Cap Fund	
Dynamic Precious Metals Fund	
Dynamic Preferred Yield Class	
Dynamic Premium Bond Private Pool	
Dynamic Premium Bond Private Pool Class	
Dynamic Premium Yield Class	
Dynamic Premium Yield Fund	
Dynamic Short Term Bond Fund	
Dynamic Small Business Fund	
Dynamic Strategic Energy Class	
Dynamic Strategic Gold Class	
Dynamic Strategic Resource Class	
Dynamic Strategic Yield Class	
Dynamic Strategic Yield Fund	
Dynamic Tactical Bond Private Pool	
Dynamic Total Return Bond Class	
Dynamic Total Return Bond Fund	
Dynamic U.S. Dividend Advantage Fund	
Dynamic U.S. Equity Income Fund	
Dynamic U.S. Equity Private Pool Class	
Dynamic U.S. Monthly Income Fund	
Dynamic U.S. Sector Focus Class	
Dynamic U.S. Strategic Yield Fund	
Dynamic Value Balanced Class	
Dynamic Value Balanced Fund	
Dynamic Value Fund of Canada	
DynamicEdge Balanced Class Portfolio	
DynamicEdge Balanced Growth Class Portfolio	
DynamicEdge Balanced Growth Portfolio	
DynamicEdge Balanced Income Portfolio	
DynamicEdge Balanced Portfolio	
DynamicEdge Conservative Class Portfolio	
DynamicEdge Defensive Portfolio	
DynamicEdge Equity Class Portfolio	
DynamicEdge Equity Portfolio	
DynamicEdge Growth Class Portfolio	
DynamicEdge Growth Portfolio	
Dynex Petroleum Ltd.	Cease Traded
E Split Corp.	
E-L Financial Corporation Limited	
EAFE Equity Fund	
Eagle Credit Card Trust	
Eagle Energy Inc.	
East Africa Metals Inc.	
East Coast Investment Grade Income Fund	
Eastern Platinum Limited	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Easy Street Adventures Inc.	Cease Traded
Echelon Financial Holdings Inc.	
Eclipse Residential Mortgage Investment Corporation	
ECN Capital Corp.	
eCobalt Solutions Inc.	
Ecosse Energy Corp.	Cease Traded
EcoSynthetix Inc.	
EdgePoint Canadian Growth & Income Portfolio	
EdgePoint Canadian Portfolio	
EdgePoint Global Growth & Income Portfolio	
EdgePoint Global Portfolio	
eFunds Bloomberg U.S. Internet Index Fund	Cease Traded
EHP Advantage Alternative Fund	
EHP Advantage International Alternative Fund	
EHP Global Arbitrage Alternative Fund	
EHP Guardian Alternative Fund	
EHP Guardian International Alternative Fund	
EHP Select Alternative Fund	
Eldorado Gold Corporation	
Electrovaya Inc.	
Element Fleet Management Corp.	
Elmwood Place Limited Partnership	Cease Traded
Emblem Corp.	
Emera Incorporated	
Emerald Health Therapeutics, Inc.	
Emerging Markets Equity Corporate Class	
Emerging Markets Equity Fund	
Emerging Markets Equity Pool	
Empire Company Limited	
Empire Life Dividend Growth Mutual Fund	
Empire Life Emblem Aggressive Growth Portfolio	
Empire Life Emblem Balanced Portfolio	
Empire Life Emblem Conservative Portfolio	
Empire Life Emblem Diversified Income Portfolio	
Empire Life Emblem Growth Portfolio	
Empire Life Emblem Moderate Growth Portfolio	
Empire Life Insurance Company, The	
Empire Life Monthly Income Mutual Fund	
Enablence Technologies Inc.	
ENBRIDGE GAS DISTRIBUTION INC.	
Enbridge Inc.	
Enbridge Income Fund	
Enbridge Income Fund Holdings Inc.	
Enbridge Pipelines Inc.	
Encal Oil And Gas Ltd.	Cease Traded
Encana Corporation	
Endeavour Mining Corporation	
Endeavour Silver Corp.	
Endo International plc	
EnerCare Inc.	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
EnerCare Solutions Inc.	
Enerflex Ltd.	
Energex Minerals Ltd.	Cease Traded
Energir Inc.	
Energy Fuels Inc.	
Energy Income Fund	
Energy Series Fund	
Enerplus Corporation	
Engagement Labs Inc.	
Enghouse Systems Limited	
Enhanced Income Corporate Class	
Enhanced Income Pool	
Enhanced Income Portfolio	
EnQuest Energy Services Corp.	Cease Traded
Enseco Energy Services Corp.	Cease Traded
Enterprise Group, Inc.	
ENTREC Corporation	
Entree Resources Ltd.	
Environmental Risk Holdings, Inc.	Cease Traded
EnWave Corporation	
EPCOR Utilities Inc.	
Epic Fusion Corp.	Cease Traded
Epoch European Equity Fund	
Epoch Global Equity Class	
Epoch Global Equity Fund	
Epoch Global Shareholder Yield Currency Neutral Fund	
Epoch Global Shareholder Yield Fund	
Epoch International Equity Fund	
Epoch U.S. Blue Chip Equity Currency Neutral Fund	
Epoch U.S. Blue Chip Equity Fund	
Epoch U.S. Large-Cap Value Class	
Epoch U.S. Large-Cap Value Fund	
Epoch U.S. Shareholder Yield Fund	
Epsilon Energy Ltd.	
EQ Inc.	
Equinox Gold Corp.	
Equion I Saskatchewan Partnership	Cease Traded
Equitable Group Inc.	
Equium Global Tactical Allocation Fund	
Erdene Resource Development Corporation	
Ermineskin Place Limited Partnership	Cease Traded
Ero Copper Corp.	
ESI Energy Services Inc.	
Espial Group Inc.	
Esrey Resources Ltd	
Essential Energy Services Ltd.	
Etac Sales Ltd.	Cease Traded
EURO Ressources S.A.	
Euro Sun Mining Inc.	
Eurogas International Inc.	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Europe Blue-Chip Dividend & Growth Fund	
European Commercial Real Estate Investment Trust	
European Dividend Growth Fund	
European Focused Dividend Fund	
Eurotin Inc.	
Evergreens Limited Partnership, The	Cease Traded
Evertz Technologies Limited	
Evolve Active Canadian Preferred Share ETF	
Evolve Active Core Fixed Income ETF	
Evolve Active Short Duration Bond ETF	
Evolve Active US Core Equity ETF	
Evolve Automobile Innovation Index ETF	
Evolve Blockchain ETF	
Evolve Cyber Security Index ETF	
Evolve Global Healthcare Enhanced Yield ETF	
Evolve Innovation Index ETF	
Evolve Marijuana ETF	
Evolve North American Gender Diversity Index ETF	
Evolve US Banks Enhanced Yield ETF	
Evolved Digital Systems Inc.	Cease Traded
exactEarth Ltd.	
Exall Energy Corporation	Cease Traded
Excellon Resources Inc.	
Excelsior Mining Corp.	
Exchange Income Corporation	
Exco Technologies Limited	
Exemplar Canadian Focus Portfolio	
Exemplar Diversified Portfolio	
Exemplar Growth and Income Fund	
Exemplar Investment Grade Fund	
Exemplar Leaders Fund	
Exemplar Performance Fund	
Exemplar Tactical Corporate Bond Fund	
EXFO Inc.	
Exploratus Ltd.	
Explorer Series Fund	
Exro Technologies Inc.	
Extencare Inc.	
Extenway Solutions Inc.	Cease Traded
Faircourt Gold Income Corp.	
Faircourt Split Trust	
Fairfax Africa Holdings Corporation	
Fairfax Financial Holdings Limited	
Fairfax India Holdings Corporation	
Falco Resources Ltd.	
Falcon Oil & Gas Ltd.	
Falconridge Estates Limited Partnership	Cease Traded
Family Group Education Savings Plan	
Family Single Student Education Savings Plan	
Federated Strategic Value U.S. Equity Dividend Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Federation des caisses Desjardins du Québec	
Fennec Pharmaceuticals Inc.	
Feronia Inc.	
Fidelity American Balanced Currency Neutral Fund	
Fidelity American Balanced Fund	
Fidelity American Disciplined Equity Class	
Fidelity American Disciplined Equity Currency Neutral Class	
Fidelity American Disciplined Equity Currency Neutral Fund	
Fidelity American Disciplined Equity Fund	
Fidelity American Equity Class	
Fidelity American Equity Currency Neutral Class	
Fidelity American Equity Fund	
Fidelity American High Yield Currency Neutral Fund	
Fidelity American High Yield Fund	
Fidelity AsiaStar Class	
Fidelity AsiaStar Fund	
Fidelity Asset Allocation Currency Neutral Private Pool	
Fidelity Asset Allocation Private Pool	
Fidelity Balanced Class Portfolio	
Fidelity Balanced Currency Neutral Private Pool	
Fidelity Balanced Income Currency Neutral Private Pool	
Fidelity Balanced Income Private Pool	
Fidelity Balanced Managed Risk Portfolio	
Fidelity Balanced Portfolio	
Fidelity Balanced Private Pool	
Fidelity Canadian Asset Allocation Class	
Fidelity Canadian Asset Allocation Fund	
Fidelity Canadian Balanced Class	
Fidelity Canadian Balanced Fund	
Fidelity Canadian Bond Fund	
Fidelity Canadian Disciplined Equity Class	
Fidelity Canadian Disciplined Equity Fund	
Fidelity Canadian Equity Investment Trust	
Fidelity Canadian Equity Private Pool	
Fidelity Canadian Focused Equity Investment Trust	
Fidelity Canadian Growth Company Class	
Fidelity Canadian Growth Company Fund	
Fidelity Canadian Large Cap Class	
Fidelity Canadian Large Cap Fund	
Fidelity Canadian Money Market Fund	
Fidelity Canadian Money Market Investment Trust	
Fidelity Canadian Opportunities Class	
Fidelity Canadian Opportunities Fund	
Fidelity Canadian Real Return Bond Index Investment Trust	
Fidelity Canadian Short Term Bond Fund	
Fidelity Canadian Short Term Fixed Income Investment Trust	
Fidelity Canadian Short Term Income Class	
Fidelity China Class	
Fidelity China Fund	
Fidelity ClearPath 2005 Portfolio	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Fidelity ClearPath 2010 Portfolio	
Fidelity ClearPath 2015 Portfolio	
Fidelity ClearPath 2020 Portfolio	
Fidelity ClearPath 2025 Portfolio	
Fidelity ClearPath 2030 Portfolio	
Fidelity ClearPath 2035 Portfolio	
Fidelity ClearPath 2040 Portfolio	
Fidelity ClearPath 2045 Portfolio	
Fidelity ClearPath 2050 Portfolio	
Fidelity ClearPath 2055 Portfolio	
Fidelity ClearPath 2060 Portfolio	
Fidelity ClearPath Income Portfolio	
Fidelity Concentrated Canadian Equity Investment Trust	
Fidelity Concentrated Canadian Equity Private Pool	
Fidelity Concentrated Value Investment Trust	
Fidelity Concentrated Value Private Pool	
Fidelity Conservative Income Fund	
Fidelity Conservative Income Private Pool	
Fidelity Conservative Managed Risk Portfolio	
Fidelity Convertible Securities Investment Trust	
Fidelity Corporate Bond Class	
Fidelity Corporate Bond Fund	
Fidelity Dividend Class	
Fidelity Dividend Fund	
Fidelity Dividend Investment Trust	
Fidelity Dividend Plus Class	
Fidelity Dividend Plus Fund	
Fidelity Emerging Markets Class	
Fidelity Emerging Markets Debt Investment Trust	
Fidelity Emerging Markets Equity Investment Trust	
Fidelity Emerging Markets Fund	
Fidelity Emerging Markets Local Currency Debt Investment Trust	
Fidelity Europe Class	
Fidelity Europe Fund	
Fidelity Event Driven Opportunities Class	
Fidelity Event Driven Opportunities Currency Neutral Class	
Fidelity Event Driven Opportunities Fund	
Fidelity Far East Class	
Fidelity Far East Fund	
Fidelity Floating Rate High Income Currency Neutral Fund	
Fidelity Floating Rate High Income Fund	
Fidelity Floating Rate High Income Investment Trust	
Fidelity Founders Class	
Fidelity Founders Currency Neutral Class	
Fidelity Founders Investment Trust	
Fidelity Frontier Emerging Markets Fund	
Fidelity Global Asset Allocation Currency Neutral Private Pool	
Fidelity Global Asset Allocation Fund	
Fidelity Global Asset Allocation Private Pool	
Fidelity Global Balanced Class Portfolio	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Fidelity Global Balanced Portfolio	
Fidelity Global Bond Currency Neutral Fund	
Fidelity Global Bond Currency Neutral Investment Trust	
Fidelity Global Bond Fund	
Fidelity Global Bond Investment Trust	
Fidelity Global Class	
Fidelity Global Concentrated Equity Class	
Fidelity Global Concentrated Equity Currency Neutral Fund	
Fidelity Global Concentrated Equity Fund	
Fidelity Global Consumer Industries Class	
Fidelity Global Consumer Industries Fund	
Fidelity Global Credit Ex-U.S. Investment Trust	
Fidelity Global Disciplined Equity Class	
Fidelity Global Disciplined Equity Currency Neutral Class	
Fidelity Global Disciplined Equity Currency Neutral Fund	
Fidelity Global Disciplined Equity Fund	
Fidelity Global Dividend Class	
Fidelity Global Dividend Fund	
Fidelity Global Dividend Investment Trust	
Fidelity Global Equity Currency Neutral Private Pool	
Fidelity Global Equity Investment Trust	
Fidelity Global Equity Private Pool	
Fidelity Global Financial Services Class	
Fidelity Global Financial Services Fund	
Fidelity Global Fund	
Fidelity Global Growth and Value Class	
Fidelity Global Growth and Value Investment Trust	
Fidelity Global Growth and Value Currency Neutral Class	
Fidelity Global Growth Class Portfolio	
Fidelity Global Growth Portfolio	
Fidelity Global Health Care Class	
Fidelity Global Health Care Fund	
Fidelity Global High Yield Investment Trust	
Fidelity Global Income Class Portfolio	
Fidelity Global Income Portfolio	
Fidelity Global Innovators Class	
Fidelity Global Innovators Currency Neutral Class	
Fidelity Global Innovators Investment Trust	
Fidelity Global Intrinsic Value Class	
Fidelity Global Intrinsic Value Currency Neutral Class	
Fidelity Global Intrinsic Value Investment Trust	
Fidelity Global Large Cap Class	
Fidelity Global Large Cap Currency Neutral Class	
Fidelity Global Large Cap Fund	
Fidelity Global Monthly Income Currency Neutral Fund	
Fidelity Global Monthly Income Fund	
Fidelity Global Natural Resources Class	
Fidelity Global Natural Resources Fund	
Fidelity Global Real Estate Class	
Fidelity Global Real Estate Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Fidelity Global Real Estate Investment Trust	
Fidelity Global Small Cap Class	
Fidelity Global Small Cap Fund	
Fidelity Global Telecommunications Class	
Fidelity Global Telecommunications Fund	
Fidelity Greater Canada Class	
Fidelity Greater Canada Fund	
Fidelity Growth Class Portfolio	
Fidelity Growth Portfolio	
Fidelity High Income Commercial Real Estate Investment Trust	
Fidelity Income Allocation Fund	
Fidelity Income Class Portfolio	
Fidelity Income Portfolio	
Fidelity Insights Class	
Fidelity Insights Currency Neutral Class	
Fidelity Insights Investment Trust	
Fidelity International Concentrated Equity Currency Neutral Fund	
Fidelity International Concentrated Equity Fund	
Fidelity International Disciplined Equity Class	
Fidelity International Disciplined Equity Currency Neutral Class	
Fidelity International Disciplined Equity Currency Neutral Fund	
Fidelity International Disciplined Equity Fund	
Fidelity International Equity Currency Neutral Private Pool	
Fidelity International Equity Investment Trust	
Fidelity International Equity Private Pool	
Fidelity International Growth Class	
Fidelity International Growth Fund	
Fidelity International Growth Investment Trust	
Fidelity Investment Grade Total Bond Currency Neutral Fund	
Fidelity Investment Grade Total Bond Fund	
Fidelity Japan Class	
Fidelity Japan Fund	
Fidelity Monthly Income Class	
Fidelity Monthly Income Fund	
Fidelity Multi-Sector Bond Currency Neutral Fund	
Fidelity Multi-Sector Bond Fund	
Fidelity North American Equity Class	
Fidelity North American Equity Investment Trust	
Fidelity NorthStar Balanced Currency Neutral Fund	
Fidelity NorthStar Balanced Fund	
Fidelity NorthStar Class	
Fidelity NorthStar Currency Neutral Class	
Fidelity NorthStar Currency Neutral Fund	
Fidelity NorthStar Fund	
Fidelity Premium Fixed Income Private Pool	
Fidelity Premium Fixed Income Private Pool Class	
Fidelity Premium Money Market Private Pool	
Fidelity Premium Tactical Fixed Income Private Pool	
Fidelity Small Cap America Class	
Fidelity Small Cap America Currency Neutral Class	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Fidelity Small Cap America Fund	
Fidelity Special Situations Class	
Fidelity Special Situations Fund	
Fidelity Strategic Income Currency Neutral Fund	
Fidelity Strategic Income Fund	
Fidelity Tactical Fixed Income Fund	
Fidelity Tactical High Income Currency Neutral Fund	
Fidelity Tactical High Income Fund	
Fidelity Tactical Strategies Fund	
Fidelity Technology Innovators Class	
Fidelity Technology Innovators Fund	
Fidelity True North Class	
Fidelity True North Fund	
Fidelity U.S. All Cap Class	
Fidelity U.S. All Cap Currency Neutral Class	
Fidelity U.S. All Cap Fund	
Fidelity U.S. Bond Investment Trust	
Fidelity U.S. Dividend Currency Neutral Fund	
Fidelity U.S. Dividend Fund	
Fidelity U.S. Dividend Investment Trust	
Fidelity U.S. Dividend Private Pool	
Fidelity U.S. Dividend Registered Fund	
Fidelity U.S. Equity Currency Neutral Private Pool	
Fidelity U.S. Equity Investment Trust	
Fidelity U.S. Equity Private Pool	
Fidelity U.S. Focused Stock Class	
Fidelity U.S. Focused Stock Currency Neutral Class	
Fidelity U.S. Focused Stock Fund	
Fidelity U.S. Growth and Income Private Pool	
Fidelity U.S. Money Market Fund	
Fidelity U.S. Money Market Investment Trust	
Fidelity U.S. Monthly Income Currency Neutral Fund	
Fidelity U.S. Monthly Income Fund	
Fidelity U.S. Multi-Cap Investment Trust	
Fidelity U.S. Small/Mid Cap Equity Investment Trust	
Fiera Capital Core Canadian Equity Fund	
Fiera Capital Corporation	
Fiera Capital Defensive Global Equity Fund	
Fiera Capital Diversified Bond Fund	
Fiera Capital Equity Growth Fund	
Fiera Capital Global Equity Fund	
Fiera Capital High Income Fund	
Fiera Capital Income and Growth Fund	
Fiera Capital Income Opportunities Fund	
Fiera Capital International Equity Fund	
Fiera Capital U.S. Equity Fund	
Filo Mining Corp.	
Financial 15 Split Corp.	
Finning International Inc.	
Firan Technology Group Corporation	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Firm Capital American Realty Partners Corp.	
Firm Capital Mortgage Investment Corporation	
Firm Capital Property Trust	
First Asset 1-5 Year Laddered Government Strip Bond Index ETF	
First Asset Active Canadian Dividend ETF	
First Asset Active Credit ETF	
First Asset Active Utility & Infrastructure ETF	
First Asset Cambridge Core U.S. Equity ETF	
First Asset Cambridge Global Dividend ETF	
First Asset Can-Materials Covered Call ETF	
First Asset Canadian Buyback Index ETF	
First Asset Canadian Convertible Bond ETF	
First Asset Canadian Convertible Bond Fund	
First Asset Canadian Convertibles Fund	
First Asset Canadian Dividend Opportunity Fund	
First Asset Canadian Energy Convertible Debenture Fund	
First Asset Canadian REIT ETF	
First Asset CanBanc Income Class ETF	
First Asset Core Canadian Equity ETF	
First Asset Core Canadian Equity Income Class ETF	
First Asset Core U.S. Equity ETF	
First Asset Diversified Convertible Debenture Fund	
First Asset Energy Giants Covered Call ETF	
First Asset Enhanced Government Bond ETF	
First Asset Enhanced Short Duration Bond ETF	
First Asset European Bank ETF	
First Asset Global Financial Sector ETF	
First Asset Health Care Giants Covered Call ETF	
First Asset Investment Grade Bond ETF	
First Asset Long Duration Fixed Income ETF	
First Asset Morningstar Canada Dividend Target 30 Index ETF	
First Asset Morningstar Canada Momentum Index ETF	
First Asset Morningstar Canada Value Index ETF	
First Asset Morningstar International Momentum Index ETF	
First Asset Morningstar International Value Index ETF	
First Asset Morningstar National Bank Québec Index ETF	
First Asset Morningstar U.S. Consumer Defensive Index Fund	
First Asset Morningstar US Dividend Target 50 Index ETF	
First Asset Morningstar US Momentum Index ETF	
First Asset Morningstar US Value Index ETF	
First Asset MSCI Canada Low Risk Weighted ETF	
First Asset MSCI Canada Quality Index Class ETF	
First Asset MSCI Europe Low Risk Weighted ETF	
First Asset MSCI International Low Risk Weighted ETF	
First Asset MSCI USA Low Risk Weighted ETF	
First Asset MSCI World Low Risk Weighted ETF	
First Asset North American Convertibles Fund	
First Asset Preferred Share ETF	
First Asset REIT Income Fund	
First Asset Short Term Government Bond Index Class ETF	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
First Asset Tech Giants Covered Call ETF	
First Asset U.S. & Canada Lifeco Income ETF	
First Asset U.S. Buyback Index ETF	
First Asset U.S. Tactical Sector Allocation Index ETF	
First Asset U.S. TrendLeaders Index ETF	
First Asset Utility Plus Fund	
First Capital Realty Inc.	
First Global Investments Inc.	
First Majestic Silver Corp.	
First Mining Gold Corp.	
First National Financial Corporation	
First Nickel Inc.	Cease Traded
First Quantum Minerals Ltd	
First Trust AlphaDEX Emerging Market Dividend ETF (CAD-Hedged)	
First Trust AlphaDEX European Dividend Index ETF (CAD-Hedged)	
First Trust AlphaDEX U.S. Consumer Discretionary Sector Index ETF	
First Trust AlphaDEX U.S. Consumer Staples Sector Index ETF	
First Trust AlphaDEX U.S. Energy Sector Index ETF	
First Trust AlphaDEX U.S. Financial Sector Index ETF	
First Trust AlphaDEX U.S. Health Care Sector Index ETF	
First Trust AlphaDEX U.S. Industrials Sector Index ETF	
First Trust AlphaDEX U.S. Materials Sector Index ETF	
First Trust AlphaDEX U.S. Technology Sector Index ETF	
First Trust AlphaDEX U.S. Utilities Sector Index ETF	
First Trust Canadian Capital Strength ETF	
First Trust Dorsey Wright U.S. Sector Rotation Index ETF (CAD-Hedged)	
First Trust Global Risk Managed Income Index ETF	
First Trust Indxx Innovative Transaction and Process ETF	
First Trust International Capital Strength ETF	
First Trust Senior Loan ETF (CAD-Hedged)	
First Trust Short Duration High Yield Bond ETF (CAD-Hedged)	
First Trust Tactical Bond Index ETF	
First Trust Value Line Dividend Index ETF (CAD-Hedged)	
FIRSTSERVICE CORPORATION	
Fission Uranium Corp.	
Flaherty & Crumrine Investment Grade Fixed Income Fund	
Flex Dividend and Income Growth Series Fund	
Flex First Plan	
Flow Capital Corp.	
FMF Capital Group Ltd.	Cease Traded
Focused Canadian Equity Class (CGOV)	
FogChain Corp.	
Foraco International SA	
Forbes Energy Services Ltd.	
Ford Auto Securitization Trust	
Ford Credit Canada Company	
Forstrong Global Strategist Balanced Fund	
Forstrong Global Strategist Growth Fund	
Forstrong Global Strategist Income Fund	
Fortaleza Energy Inc.	Cease Traded

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Fortified Trust	
Fortis Inc.	
FortisAlberta Inc.	
FortisBC Energy Inc.	
FortisBC Inc.	
Fortress Global Enterprises Inc.	
Fortuna Silver Mines Inc.	
Fortune Bay Corp.	
Fortune Minerals Limited	
FP Newspapers Inc.	
Franchise Services of North America Inc.	Cease Traded
Franco-Nevada Corporation	
Franklin ActiveQuant Canadian Corporate Class	
Franklin ActiveQuant Canadian Fund	
Franklin ActiveQuant U.S. Corporate Class	
Franklin ActiveQuant U.S. Fund	
Franklin Bissett Canada Plus Equity Fund	
Franklin Bissett Canadian All Cap Balanced Corporate Class	
Franklin Bissett Canadian All Cap Balanced Fund	
Franklin Bissett Canadian Balanced Corporate Class	
Franklin Bissett Canadian Balanced Fund	
Franklin Bissett Canadian Bond Fund	
Franklin Bissett Canadian Dividend Corporate Class	
Franklin Bissett Canadian Dividend Fund	
Franklin Bissett Canadian Equity Corporate Class	
Franklin Bissett Canadian Equity Fund	
Franklin Bissett Canadian Government Bond Fund	
Franklin Bissett Canadian Short Term Bond Fund	
Franklin Bissett Core Plus Bond Fund	
Franklin Bissett Corporate Bond Fund	
Franklin Bissett Dividend Income Corporate Class	
Franklin Bissett Dividend Income Fund	
Franklin Bissett Energy Corporate Class	
Franklin Bissett Microcap Fund	
Franklin Bissett Money Market Corporate Class	
Franklin Bissett Money Market Fund	
Franklin Bissett Monthly Income and Growth Fund	
Franklin Bissett Small Cap Corporate Class	
Franklin Bissett Small Cap Fund	
Franklin Global Growth Corporate Class	
Franklin Global Growth Fund	
Franklin Global Small-Mid Cap Fund	
Franklin High Income Fund	
Franklin Liberty Canadian Investment Grade Corporate ETF	
Franklin Liberty Core Balanced ETF	
Franklin Liberty Global Aggregate Bond ETF (CAD-Hedged)	
Franklin Liberty Risk Managed Canadian Equity ETF	
Franklin Liberty Senior Loan ETF (CAD-Hedged)	
Franklin Liberty U.S. Investment Grade Corporate ETF (CAD-Hedged)	
Franklin LibertyQT Emerging Markets Index ETF	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Franklin LibertyQT Global Dividend Index ETF	
Franklin LibertyQT International Equity Index ETF	
Franklin LibertyQT U.S. Equity Index ETF	
Franklin Mutual European Fund	
Franklin Mutual Global Discovery Corporate Class	
Franklin Mutual Global Discovery Fund	
Franklin Mutual U.S. Shares Corporate Class	
Franklin Mutual U.S. Shares Fund	
Franklin Quotential Balanced Growth Corporate Class Portfolio	
Franklin Quotential Balanced Growth Portfolio	
Franklin Quotential Balanced Income Corporate Class Portfolio	
Franklin Quotential Balanced Income Portfolio	
Franklin Quotential Diversified Equity Corporate Class Portfolio	
Franklin Quotential Diversified Equity Portfolio	
Franklin Quotential Diversified Income Corporate Class Portfolio	
Franklin Quotential Diversified Income Portfolio	
Franklin Quotential Fixed Income Portfolio	
Franklin Quotential Growth Corporate Class Portfolio	
Franklin Quotential Growth Portfolio	
Franklin Select U.S. Equity Fund	
Franklin Strategic Income Fund	
Franklin Target Return Fund	
Franklin Templeton Canadian Large Cap Fund	
Franklin U.S. Monthly Income Corporate Class	
Franklin U.S. Monthly Income Fund	
Franklin U.S. Monthly Income Hedged Corporate Class	
Franklin U.S. Opportunities Corporate Class	
Franklin U.S. Opportunities Fund	
Franklin U.S. Rising Dividends Corporate Class	
Franklin U.S. Rising Dividends Fund	
Franklin U.S. Rising Dividends Hedged Corporate Class	
Fraser Papers Inc.	Cease Traded
Freehold Royalties Ltd.	
Freshii Inc.	
Friedberg Asset Allocation Fund	
Friedberg Global-Macro Hedge Fund	
Frontenac Mortgage Investment Corporation	
Frontera Energy Corporation	
FT Balanced Growth Private Wealth Pool	
FT Balanced Income Private Wealth Pool	
FT Capital Ltd.	Cease Traded
FT Growth Private Wealth Pool	
Gabriel Resources Ltd.	
Galaxy Digital Holdings Ltd.	
Galileo Growth and Income Fund	
Galileo High Income Plus Fund	
Gamehost Inc.	
Gandalf Technologies Inc.	Cease Traded
Garda World Security Corporation	
Gastar Exploration Inc.	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Gateway Low Volatility U.S. Equity Fund	
Gazit Canada Financial Inc.	
Gazit-Globe Ltd.	
GBC AMERICAN GROWTH FUND INC., THE	
GBC Canadian Bond Fund, The	
GBC Canadian Growth Fund, The	
GBC Growth and Income Fund, The	
GBC International Growth Fund, The	
GBC Money Market Fund, The	
GC One Equity Portfolio	
GC One Fixed Income Portfolio	
GDI Integrated Facility Services Inc.	
GE Capital Canada Funding Company	
Gear Energy Ltd.	
General Moly, Inc.	1b, 1c, 1e
General Motors Company	
Genesis Land Development Corp.	
Genesis Metals Corp	
Genesis Trust II	
Genesis Worldwide Inc.	Cease Traded
Genus Dividend Equity Fund	
Genus Fossil Free CanGlobe Equity Fund	
Genus Fossil Free Corporate Bond Fund	
Genus Fossil Free Dividend Equity Fund	
Genus Fossil Free High Impact Equity Fund	
Genus Government Bond Fund	
Genus Short-Term Bond Fund	
Genworth MI Canada Inc.	
Geodrill Limited	
GeoPetro Resources Company	Cease Traded
George Weston Limited	
Geovic Mining Corp.	Cease Traded
Gibson Energy Inc.	
Gildan Activewear Inc.	
Gitennes Exploration Inc.	
Glacier Credit Card Trust	
Glenayre Technologies Inc.	Cease Traded
GLG LIFE TECH CORPORATION	
Global All Cap Equity Class (Setanta)	
Global All Cap Equity Fund (Setanta)	
Global Alpha Worldwide Growth Fund	
Global Blockchain Mining Corp.	
Global Blockchain Technologies Corp.	
Global Champions Split Corp.	
Global Dividend Equity Class (Setanta)	
Global Dividend Equity Fund (Setanta)	
Global Dividend Growers Income Fund	
Global Dividend Growth Split Corp.	
Global Fixed Income Corporate Class	
Global Fixed Income Pool	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Global Healthcare Dividend Fund	
Global Healthcare Income & Growth ETF	
Global Iman Fund	
Global Infrastructure Equity Fund (London Capital)	
Global Innovation Dividend Fund	
Global Low Volatility Fund (ILIM)	
Global Managed Volatility Fund	
Global Monthly Income Fund (London Capital)	
Global Real Estate Dividend Growers Corp.	
Global Real Estate Fund (London Capital)	
Global Resource Champions Split Corp.	
Global Telecom & Utilities Income Fund	
Global Teleworks Corp.	Cease Traded
Global Water Resources, Inc.	
Global Water Solutions Fund	
Globalance Dividend Growers Corp.	
Globevest Capital Secured Put Writing Fund	
Globevest Capital Tactical Covered Options Fund	
Gluskin Sheff + Associates Inc.	
GMP Capital Inc.	
Go Vacations 1985 Limited Partnership	Cease Traded
Go Vacations 1986-A Limited Partnership	Cease Traded
Go Vacations 1987 Limited Partnership	Cease Traded
Go Vacations 1987-A Limited Partnership	Cease Traded
Go Vacations 1987-B Limited Partnership	Cease Traded
Go Vacations 1988-A Limited Partnership	Cease Traded
goeasy Ltd.	
GoGold Resources Inc.	
Gold Lake Mines Inc.	Cease Traded
Gold Reserve Inc.	
Gold Standard Ventures Corp.	
Goldbelt Empires Limited	
Goldcorp Inc.	
Golden Minerals Company	
Golden Opportunities Fund Inc.	
Golden Share Resources Corporation	
Golden Star Resources Ltd.	
Golden Trend Petroleum Ltd.	Cease Traded
Goldlinx International Inc.	Cease Traded
Goldstake Explorations Inc.	Cease Traded
GOODWOOD CAPITAL FUND	
Goran Capital Inc.	Cease Traded
Gossan Resources Limited	
GoverMedia Plus Canada Corp.	
Grad & Walker Energy Corporation	Cease Traded
Grafton Group Limited	Cease Traded
Gran Colombia Gold Corp.	
Gran Tierra Energy Inc.	
Grand Okanagan Resort Limited Partnership	Cease Traded
Grand Peak Capital Corp.	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Granite Oil Corp.	
Granite Real Estate Investment Trust	
Granite REIT Holdings Limited Partnership	
Granite REIT Inc.	
Gray Wolf Capital Corporation	Cease Traded
Great Basin Gold Ltd.	Cease Traded
Great Canadian Gaming Corporation	
Great Panther Silver Limited	
Great Western Minerals Group Ltd.	Cease Traded
Great-West Lifeco Inc.	
Greater Toronto Airports Authority	
Green Organic Dutchman Holdings Ltd., The	
Green Thumb Industries Inc.	
Greenfields Petroleum Corporation	
GreenSpace Brands Inc.	
GREENSTONE RESOURCES LTD.	Cease Traded
Greystone Canadian Bond Fund	
Greystone Canadian Equity Income & Growth Fund	
Greystone Global Equity Fund	
Greyvest Capital Inc.	Cease Traded
GrowMax Resources Corp.	
Growth & Income Class	
Growth 100 Fund	
Growth 80/20 Fund	
Growth and Income Class (GWLIM)	
Growth and Income Fund (GWLIM)	
Growth Fund	
Growth Portfolio	
GrowthWorks Canadian Fund Ltd.	1a, 1b, 1c
GrowthWorks Commercialization Fund Ltd.	1b, 1c, 1d
Gryphon Gold Corporation	Cease Traded
GT Group Telecom Inc.	Cease Traded
Guardian Balanced Fund	
Guardian Balanced Income Fund	
Guardian Canadian Bond Fund	
Guardian Canadian Equity Fund	
Guardian Canadian Equity Select Fund	
Guardian Canadian Focused Equity Fund	
Guardian Canadian Growth Equity Fund	
Guardian Canadian Short-Term Investment Fund	
Guardian Canadian Small/Mid Cap Equity Fund	
Guardian Capital Group Limited	
Guardian Emerging Markets Equity Fund	
Guardian Equity Income Fund	
Guardian Exploration Inc.	
Guardian Fixed Income Select Fund	
Guardian Fundamental Global Equity Fund	
Guardian Global Dividend Growth Fund	
Guardian Global Equity Fund	
Guardian Growth & Income Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Guardian High Yield Bond Fund	
Guardian International Equity Fund	
Guardian International Equity Select Fund	
Guardian Investment Grade Corporate Bond Fund	
Guardian Managed Income & Growth Portfolio	
Guardian Managed Income Portfolio	
Guardian Private Wealth Equity Fund	
Guardian Short Duration Bond Fund	
Guardian U.S. Equity All Cap Growth Fund	
Guardian U.S. Equity Fund	
Guardian U.S. Equity Select Fund	
Guerrero Ventures Inc.	
Gulf & Pacific Equities Corp.	
Guyana Goldfields Inc.	
GVIC Communications Corp.	
GW Utilities Limited	Cease Traded
H&R Finance Trust	
H&R Real Estate Investment Trust	
Haemacure Corporation	Cease Traded
Halifax Receivables Trust	
Hallmark Place Limited Partnership	Cease Traded
Halozone Technologies Inc.	Cease Traded
Hamilton Capital Australian Financials Yield ETF	
Hamilton Capital Canadian Bank Dynamic-Weight ETF	
Hamilton Capital European Financials ETF	
Hamilton Capital Global Bank ETF	
Hamilton Capital Global Financials Yield ETF	
Hamilton Capital International Financials ETF	
Hamilton Capital U.S. Mid-Cap Financials ETF (USD)	
Hamilton Park Plaza Limited Partnership	
Hammond Manufacturing Company Limited	
Hampton Financial Corporation	
Hanfeng Evergreen Inc.	Cease Traded
HANWEI ENERGY SERVICES CORP.	
Harbour Canadian Dividend Fund	
Harbour Corporate Class	
Harbour Fund	
Harbour Global Analyst Fund	
Harbour Global Equity Corporate Class	
Harbour Global Equity Fund	
Harbour Global Growth & Income Corporate Class	
Harbour Global Growth & Income Fund	
Harbour Growth & Income Corporate Class	
Harbour Growth & Income Fund	
Harbour Voyageur Corporate Class	
Hardwoods Distribution Inc.	
Harmony Balanced Growth Portfolio	
Harmony Balanced Growth Portfolio Class	
Harmony Balanced Portfolio	
Harmony Canadian Equity Pool	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Harmony Canadian Fixed Income Pool	
Harmony Conservative Portfolio	
Harmony Diversified Income Pool	
Harmony Global Fixed Income Pool	
Harmony Growth Plus Portfolio	
Harmony Growth Plus Portfolio Class	
Harmony Growth Portfolio	
Harmony Growth Portfolio Class	
Harmony Maximum Growth Portfolio	
Harmony Maximum Growth Portfolio Class	
Harmony Money Market Pool	
Harmony Overseas Equity Pool	
Harmony U.S. Equity Pool	
Harmony Yield Portfolio	
Hartland Pipeline Services Ltd.	Cease Traded
Harvest Banks & Buildings Income ETF	
Harvest Banks & Buildings Income Fund	
Harvest Brand Leaders Plus Income ETF	
Harvest Canadian Income & Growth Fund	
Harvest Energy Leaders Plus Income ETF	
Harvest European Leaders Income ETF	
Harvest Global REIT Leaders Income ETF	
Harvest Global Resource Leaders ETF	
Harvest Healthcare Leaders Income ETF	
Harvest One Cannabis Inc.	
Harvest Operations Corp.	
Harvest Tech Achievers Growth & Income ETF	
Harvest US Bank Leaders Income ETF	
Harvest US Equity Plus Income ETF	
Havilah Mining Corporation	
Headway Property Investment 78-li	Cease Traded
Headway Property Investment 78-lii	Cease Traded
Healthcare Special Opportunities Fund	
Hecla Mining Company	
Helius Medical Technologies, Inc.	
Helix BioPharma Corp.	
Heritage Global Inc.	
Heritage Plans	
Heroux-Devtek Inc.	
High Arctic Energy Services Inc.	
High Growth Portfolio	
HLS Therapeutics Inc.	
HMZ Metals Inc.	Cease Traded
Holloway Lodging Corporation	
Home Capital Group Inc.	
Horizon Holsteins Limited Partnership	Cease Traded
Horizon Holsteins Limited Partnership II	Cease Traded
Horizon North Logistics Inc.	
Horizons Absolute Return Global Currency ETF	
Horizons Active A.I. Global Equity ETF	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Horizons Active Cdn Bond ETF	
Horizons Active Cdn Dividend ETF	
Horizons Active Cdn Municipal Bond ETF	
Horizons Active Corporate Bond ETF	
Horizons Active Emerging Markets Bond ETF	
Horizons Active Emerging Markets Dividend ETF	
Horizons Active Floating Rate Bond ETF	
Horizons Active Floating Rate Preferred Share ETF	
Horizons Active Floating Rate Senior Loan ETF	
Horizons Active Global Dividend ETF	
Horizons Active Global Fixed Income ETF	
Horizons Active High Yield Bond ETF	
Horizons Active Intl Developed Markets Equity ETF	
Horizons Active Preferred Share ETF	
Horizons Active US Dividend ETF	
Horizons Active US Floating Rate Bond (USD) ETF	
Horizons Balanced TRI ETF Portfolio	
Horizons Blockchain Technology & Hardware Index ETF	
Horizons Canadian Dollar Currency ETF	
Horizons Canadian Midstream Oil & Gas Index ETF	
Horizons Cdn High Dividend Index ETF	
Horizons Cdn Insider Index ETF	
Horizons Cdn Select Universe Bond ETF	
Horizons China High Dividend Yield Index ETF	
Horizons Conservative TRI ETF Portfolio	
Horizons Crude Oil ETF	
Horizons Emerging Marijuana Growers Index ETF	
Horizons Enhanced Income Energy ETF	
Horizons Enhanced Income Equity ETF	
Horizons Enhanced Income Financials ETF	
Horizons Enhanced Income Gold Producers ETF	
Horizons Enhanced Income International Equity ETF	
Horizons Enhanced Income US Equity (USD) ETF	
Horizons EURO STOXX 50 Index ETF	
Horizons Global Currency Opportunities ETF	
Horizons Global Risk Parity ETF	
Horizons Gold ETF	
Horizons Gold Yield ETF	
Horizons Investor Canadian Equity Index ETF	
Horizons Intl Developed Markets Equity Index ETF	
Horizons Managed Global Opportunities ETF	
Horizons Marijuana Life Sciences Index ETF	
Horizons Morningstar Hedge Fund Index ETF	
Horizons NASDAQ-100 Index ETF	
Horizons Natural Gas ETF	
Horizons Natural Gas Yield ETF	
Horizons Robotics and Automation Index ETF	
Horizons S&P 500 CAD Hedged Index ETF	
Horizons S&P 500 Index ETF	
Horizons S&P/TSX 60 Equal Weight Index ETF	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Horizons S&P/TSX 60 Index ETF	
Horizons S&P/TSX Capped Energy Index ETF	
Horizons S&P/TSX Capped Financials Index ETF	
Horizons Seasonal Rotation ETF	
Horizons Silver ETF	
Horizons US 7-10 Year Treasury Bond CAD Hedged ETF	
Horizons US 7-10 Year Treasury Bond ETF	
Horizons US Dollar Currency ETF	
HSBC AsiaPacific Fund	
HSBC Bank Canada	
HSBC BRIC Equity Fund	
HSBC Canadian Balanced Fund	
HSBC Canadian Bond Fund	
HSBC Canadian Bond Pooled Fund	
HSBC Canadian Dividend Pooled Fund	
HSBC Canadian Equity Pooled Fund	
HSBC Canadian Money Market Fund	
HSBC Canadian Money Market Pooled Fund	
HSBC Canadian Small Cap Equity Pooled Fund	
HSBC Chinese Equity Fund	
HSBC Dividend Fund	
HSBC Emerging Markets Debt Fund	
HSBC Emerging Markets Debt Pooled Fund	
HSBC Emerging Markets Fund	
HSBC Emerging Markets Pooled Fund	
HSBC Equity Fund	
HSBC European Fund	
HSBC Global Corporate Bond Fund	
HSBC Global Equity Fund	
HSBC Global Equity Volatility Focused Fund	
HSBC Global High Yield Bond Pooled Fund	
HSBC Global Inflation Linked Bond Pooled Fund	
HSBC Global Real Estate Equity Pooled Fund	
HSBC Indian Equity Fund	
HSBC International Equity Pooled Fund	
HSBC Monthly Income Fund	
HSBC Mortgage Fund	
HSBC Mortgage Pooled Fund	
HSBC Small Cap Growth Fund	
HSBC U.S. Dollar Money Market Fund	
HSBC U.S. Dollar Monthly Income Fund	
HSBC U.S. Equity Fund	
HSBC U.S. Equity Pooled Fund	
HSBC Wealth Compass Aggressive Growth Fund	
HSBC Wealth Compass Balanced Fund	
HSBC Wealth Compass Conservative Fund	
HSBC Wealth Compass Growth Fund	
HSBC Wealth Compass Moderate Conservative Fund	
HSBC World Selection Diversified Aggressive Growth Fund	
HSBC World Selection Diversified Balanced Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
HSBC World Selection Diversified Conservative Fund	
HSBC World Selection Diversified Growth Fund	
HSBC World Selection Diversified Moderate Conservative Fund	
Hudbay Minerals Inc.	
Hudson Bay Diecasting Limited	Cease Traded
Hudson's Bay Company	
Hunt Mining Corp	
Hunters Point Limited Partnership, The	Cease Traded
Huntington Exploration Inc.	
HUSKY ENERGY INC.	
Hutech21 Co., Ltd.	Cease Traded
Hydro One Inc.	
Hydro One Limited	
Hydrogenics Corporation	
Hydrothecary Corporation, The	
IA Clarington Balanced Portfolio	
IA Clarington Bond Fund	
IA Clarington Canadian Balanced Class	
IA Clarington Canadian Balanced Fund	
IA Clarington Canadian Conservative Equity Class	
IA Clarington Canadian Conservative Equity Fund	
IA Clarington Canadian Dividend Fund	
IA Clarington Canadian Growth Class	
IA Clarington Canadian Leaders Class	
IA Clarington Canadian Small Cap Class	
IA Clarington Canadian Small Cap Fund	
IA Clarington Conservative Portfolio	
IA Clarington Core Plus Bond Fund	
IA Clarington Dividend Growth Class	
IA Clarington Emerging Markets Bond Fund	
IA Clarington Floating Rate Income Fund	
IA Clarington Focused Balanced Class	
IA Clarington Focused Balanced Fund	
IA Clarington Focused Canadian Equity Class	
IA Clarington Focused U.S. Equity Class	
IA Clarington Global Allocation Class	
IA Clarington Global Allocation Fund	
IA Clarington Global Bond Fund	
IA Clarington Global Equity Exposure Fund	
IA Clarington Global Equity Fund	
IA Clarington Global Multi-Asset Fund	
IA Clarington Global Opportunities Class	
IA Clarington Global Opportunities Fund	
IA Clarington Global Value Fund	
IA Clarington Global Yield Opportunities Fund	
IA Clarington Growth & Income Fund	
IA Clarington Growth Portfolio	
IA Clarington Inhance Balanced SRI Portfolio	
IA Clarington Inhance Bond SRI Fund	
IA Clarington Inhance Canadian Equity SRI Class	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
IA Clarington Inhance Conservative SRI Portfolio	
IA Clarington Inhance Global Equity SRI Class	
IA Clarington Inhance Growth SRI Portfolio	
IA Clarington Inhance Monthly Income SRI Fund	
IA Clarington Maximum Growth Portfolio	
IA Clarington Moderate Portfolio	
IA Clarington Money Market Fund	
IA Clarington Monthly Income Balanced Fund	
IA Clarington North American Opportunities Class	
IA Clarington Real Return Bond Fund	
IA Clarington Sarbit Activist Opportunities Class	
IA Clarington Sarbit U.S. Equity Class	
IA Clarington Sarbit U.S. Equity Fund	
IA Clarington Short-Term Bond Fund	
IA Clarington Short-Term Income Class	
IA Clarington Strategic Corporate Bond Class	
IA Clarington Strategic Corporate Bond Fund	
IA Clarington Strategic Equity Income Class	
IA Clarington Strategic Equity Income Fund	
IA Clarington Strategic Income Class	
IA Clarington Strategic Income Fund	
IA Clarington Strategic U.S. Growth & Income Fund	
IA Clarington Tactical Bond Class	
IA Clarington Tactical Bond Fund	
IA Clarington Tactical Income Class	
IA Clarington Tactical Income Fund	
IA Clarington Target Click 2020 Fund	
IA Clarington Target Click 2025 Fund	
IA Clarington Target Click 2030 Fund	
IA Clarington U.S. Dividend Growth Fund	
IA Clarington U.S. Dividend Growth Registered Fund	
IA Clarington U.S. Dollar Floating Rate Income Fund	
IA Clarington Yield Opportunities Fund	
IAMGOLD Corporation	
iAnthus Captial Holdings, Inc.	
IBEX TECHNOLOGIES INC.	
IBI Group Inc.	
ICC Labs Inc.	
ICESoft Technologies Canada Corp.	
ICL Global Equity Fund	
ICOX Innovations Inc.	
IDS Intelligent Detection Systems Inc.	Cease Traded
IDYIA Innovations Inc.	Cease Traded
IG AGF Global Equity Class	
IG AGF Global Equity Fund	
IG AGF U.S. Growth Class	
IG AGF U.S. Growth Fund	
IG Beutel Goodman Canadian Balanced Fund	
IG Beutel Goodman Canadian Equity Class	
IG Beutel Goodman Canadian Equity Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
IG Beutel Goodman Canadian Small Cap Fund	
IG CI Canadian Balanced Fund	
IG FI Canadian Equity Class	
IG FI Canadian Equity Fund	
IG FI U.S. Large Cap Equity Class	
IG FI U.S. Large Cap Equity Fund	
IG Fiera Canadian Small Cap Class	
IG Fiera Canadian Small Cap Fund	
IG Franklin Bissett Canadian Equity Class	
IG Franklin Bissett Canadian Equity Class II	
IG Franklin Bissett Canadian Equity Fund	
IG Mackenzie Canadian Equity Growth Class	
IG Mackenzie Canadian Equity Growth Fund	
IG Mackenzie Cundill Global Value Class	
IG Mackenzie Cundill Global Value Fund	
IG Mackenzie Dividend Growth Fund	
IG Mackenzie Emerging Markets Class	
IG Mackenzie Emerging Markets Pool	
IG Mackenzie Floating Rate Income Fund	
IG Mackenzie Global Inflation-Linked Pool	
IG Mackenzie Global Precious Metals Class	
IG Mackenzie Income Fund	
IG Mackenzie Ivy Canadian Balanced Fund	
IG Mackenzie Ivy European Class	
IG Mackenzie Ivy European Class II	
IG Mackenzie Ivy European Class III	
IG Mackenzie Ivy European Fund	
IG Mackenzie Ivy Foreign Equity Class	
IG Mackenzie Low Volatility Emerging Markets Equity Pool	
IG Mackenzie Strategic Income Fund	
IG Putnam Emerging Markets Income Fund	
IG Putnam Low Volatility U.S. Equity Class	
IG Putnam Low Volatility U.S. Equity Fund	
IG Putnam U.S. Growth Class	
IG Putnam U.S. Growth Fund	
IG Putnam U.S. High Yield Income Fund	
IGM Financial Inc.	
Ikkuma Resources Corp.	
iLOOKABOUT Corp.	
Image Sculpting International Inc.	Cease Traded
Imaging Dynamics Company Ltd.	
ImaSight Corp.	Cease Traded
IMAX Corporation	
imaxx Canadian Bond Fund	
imaxx Canadian Dividend Plus Fund	
imaxx Canadian Fixed Pay Fund	
imaxx Equity Growth Fund	
imaxx Global Equity Growth Fund	
imaxx Short Term Bond Fund	
Impax Energy Services Income Trust	Cease Traded

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Imperial Canadian Bond Pool	
Imperial Canadian Diversified Income Pool	
Imperial Canadian Dividend Income Pool	
Imperial Canadian Equity Pool	
Imperial Emerging Economies Pool	
Imperial Equity High Income Pool	
Imperial Global Equity Income Pool	
Imperial International Bond Pool	
Imperial International Equity Pool	
Imperial Money Market Pool	
Imperial Oil Limited	
Imperial Overseas Equity Pool	
Imperial Short-Term Bond Pool	
Imperial U.S. Equity Pool	
Impression Plan	
IMRIS Inc.	Cease Traded
IMV Inc.	
Income 100 Fund	
Income 20/80 Fund	
Income 40/60 Fund	
Income Financial Trust	
Income Fund (Portico)	
INDEXPLUS Income Fund	
Indigo Books & Music Inc.	
Indiva Limited	
Industrial Alliance Insurance and Financial Services Inc.	
Infocorp Computer Solutions Ltd	Cease Traded
Information Services Corporation	
Infowave Software, Inc.	Cease Traded
Inner Spirit Holdings Ltd.	
Innergex Renewable Energy Inc.	
Innovative Composites International Inc.	Cease Traded
Inovalis Real Estate Investment Trust	
InPlay Oil Corp.	
Input Capital Corp.	
INSCAPE Corporation	
Intact Financial Corporation	
Integra Balanced Fund	
Integra Bond Fund	
Integra Canadian Value Growth Fund	
Integra Equity Fund	
Integra International Equity Fund	
Integra U.S. Value Growth Fund	
INTEGRATED ASSET MANAGEMENT CORP.	
Integrity Gaming Corp.	
Intelect Communications, Inc.	Cease Traded
IntelGenx Technologies Corp.	
INTELLIPHARMACEUTICS INTERNATIONAL INC.	
Inter Pipeline Ltd.	
Interfor Corporation	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Intermap Technologies Corporation	
International Bond Fund (CLI)	
International Core Equity Class (Putnam)	
International Core Equity Fund (Putnam)	
International Equity Alpha Corporate Class	
International Equity Growth Corporate Class	
International Equity Growth Pool	
International Equity Value Corporate Class	
International Equity Value Currency Hedged Corporate Class	
International Equity Value Pool	
International Falcon Resources Inc.	Cease Traded
International Isotopes Inc.	
International Utility Structures Inc.	Cease Traded
International Wallcoverings Ltd.	Cease Traded
InterRent Real Estate Investment Trust	
Intertape Polymer Group Inc.	
Intrepid Mines Limited	
Intrinsyc Technologies Corporation	
INV Metals Inc.	
Inventronics Limited	
Inverpower Controls Ltd.	Cease Traded
Invesco Advantage Bond Fund	
Invesco Allocation Fund	
Invesco Canada Money Market Fund	
Invesco Canadian Balanced Fund	
Invesco Canadian Core Plus Bond Class	
Invesco Canadian Core Plus Bond Fund	
Invesco Canadian Premier Growth Class	
Invesco Canadian Premier Growth Fund	
Invesco Core Canadian Balanced Class	
Invesco European Growth Class	
Invesco Floating Rate Income Fund	
Invesco Global Bond Fund	
Invesco Global Dividend Income Fund	
Invesco Global Growth Class	
Invesco Global High Yield Bond Fund	
Invesco Global Monthly Income Fund	
Invesco Global Real Estate Fund	
Invesco Indo-Pacific Fund	
Invesco Intactive 2023 Portfolio	
Invesco Intactive 2028 Portfolio	
Invesco Intactive 2033 Portfolio	
Invesco Intactive 2038 Portfolio	
Invesco Intactive Balanced Growth Portfolio	
Invesco Intactive Balanced Growth Portfolio Class	
Invesco Intactive Balanced Income Portfolio	
Invesco Intactive Balanced Income Portfolio Class	
Invesco Intactive Diversified Income Portfolio	
Invesco Intactive Diversified Income Portfolio Class	
Invesco Intactive Growth Portfolio	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Invesco Intactive Growth Portfolio Class	
Invesco Intactive Maximum Growth Portfolio	
Invesco Intactive Maximum Growth Portfolio Class	
Invesco International Growth Class	
Invesco International Growth Fund	
Invesco Monthly Income ETF Portfolio	
Invesco S&P 500 Equal Weight Index ETF	
Invesco Select Canadian Equity Fund	
Invesco Short-Term Bond Fund	
Invesco Short-Term Income Class	
Invesco Strategic Yield Fund	
Invesque Inc.	
Investment Grade Infrastructure Bond Fund	
Investment Grade Managed Duration Income Fund	
Investors Canadian Bond Fund	
Investors Canadian Corporate Bond Fund	
Investors Canadian Equity Class	
Investors Canadian Equity Fund	
Investors Canadian Equity Income Fund	
Investors Canadian Growth Class	
Investors Canadian Growth Fund	
Investors Canadian High Yield Income Fund	
Investors Canadian Large Cap Value Class	
Investors Canadian Large Cap Value Fund	
Investors Canadian Money Market Class	
Investors Canadian Money Market Fund	
Investors Canadian Natural Resources Fund	
Investors Canadian Small Cap Class	
Investors Canadian Small Cap Fund	
Investors Canadian Small Cap Growth Class	
Investors Canadian Small Cap Growth Fund	
Investors Core U.S. Equity Class	
Investors Core U.S. Equity Fund	
Investors Cornerstone I Portfolio	
Investors Cornerstone II Portfolio	
Investors Cornerstone III Portfolio	
Investors Dividend Class	
Investors Dividend Fund	
Investors European Equity Class	
Investors European Equity Fund	
Investors European Mid-Cap Equity Class	
Investors European Mid-Cap Equity Fund	
Investors Fixed Income Flex Portfolio	
Investors Global Bond Fund	
Investors Global Class	
Investors Global Consumer Companies Class	
Investors Global Dividend Fund	
Investors Global Financial Services Class	
Investors Global Financial Services Fund	
Investors Global Fixed Income Flex Portfolio	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Investors Global Fund	
Investors Global Health Care Class	
Investors Global Infrastructure Class	
Investors Global Natural Resources Class	
Investors Global Real Estate Fund	
Investors Global Science & Technology Class	
Investors Global Science & Technology Fund	
Investors Group Equity Pool	
Investors Group Income Pool	
Investors Growth Plus Portfolio	
Investors Growth Portfolio	
Investors Income Plus Portfolio	
Investors International Small Cap Class	
Investors Low Volatility Canadian Equity Class	
Investors Low Volatility Canadian Equity Fund	
Investors Low Volatility Global Equity Class	
Investors Low Volatility Global Equity Fund	
Investors Mortgage and Short Term Income Fund	
Investors Mutual of Canada	
Investors North American Equity Class	
Investors North American Equity Fund	
Investors Pacific International Class	
Investors Pacific International Fund	
Investors Pan Asian Equity Class	
Investors Pan Asian Equity Class II	
Investors Pan Asian Equity Fund	
Investors Québec Enterprise Class	
Investors Québec Enterprise Fund	
Investors Real Property Fund	
Investors Retirement Growth Portfolio	
Investors Retirement Plus Portfolio	
Investors Summa SRI Class	
Investors Summa SRI Fund	
Investors Syndicate Limited	
Investors U.S. Dividend Growth Fund	
Investors U.S. Dividend Registered Fund	
Investors U.S. Large Cap Value Class	
Investors U.S. Large Cap Value Fund	
Investors U.S. Money Market Fund	
Investors U.S. Opportunities Class	
Investors U.S. Opportunities Fund	
Investors U.S. Small Cap Class	
Invictus MD Strategies Corp.	
Inviro Medical Inc.	Cease Traded
Iona Energy Inc.	Cease Traded
IPL Plastics Inc.	
iProfile Canadian Equity Class	
iProfile Canadian Equity Pool	
iProfile Emerging Markets Class	
iProfile Emerging Markets Pool	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
iProfile Fixed Income Pool	
iProfile International Equity Class	
iProfile International Equity Pool	
iProfile U.S. Equity Class	
iProfile U.S. Equity Pool	
Iron Bridge Resources Inc.	
Irvco Resources Ltd.	Cease Traded
Irving Resources Inc.	
ISE Limited	Cease Traded
iShares 1-10 Year Laddered Corporate Bond Index ETF	
iShares 1-10 Year Laddered Government Bond Index ETF	
iShares 1-5 Year Laddered Corporate Bond Index ETF	
iShares 1-5 year Laddered Government Bond Index ETF	
iShares Balanced Growth CorePortfolio Index ETF	
iShares Balanced Income CorePortfolio Index ETF	
iShares Canadian Corporate Bond Index ETF	
iShares Canadian Financial Monthly Income ETF	
iShares Canadian Fundamental Index ETF	
iShares Canadian Government Bond Index ETF	
iShares Canadian Growth Index ETF	
iShares Canadian HYBrid Corporate Bond Index ETF	
iShares Canadian Real Return Bond Index ETF	
iShares Canadian Select Dividend Index ETF	
iShares Canadian Value Index ETF	
iShares China Index ETF	
iShares Conservative Short Term Strategic Fixed Income ETF	
iShares Conservative Strategic Fixed Income ETF	
iShares Convertible Bond Index ETF	
iShares Core Canadian Long Term Bond Index ETF	
iShares Core Canadian Short Term Bond Index ETF	
iShares Core Canadian Short Term Corporate + Maple Bond Index ETF	
iShares Core Canadian Universe Bond Index ETF	
iShares Core MSCI All Country World ex Canada Index ETF	
iShares Core MSCI Canadian Quality Dividend Index ETF	
iShares Core MSCI EAFE IMI Index ETF	
iShares Core MSCI EAFE IMI Index ETF (CAD-Hedged)	
iShares Core MSCI Emerging Markets IMI Index ETF	
iShares Core MSCI Global Quality Dividend Index ETF	
iShares Core MSCI Global Quality Dividend Index ETF (CAD-Hedged)	
iShares Core MSCI US Quality Dividend Index ETF	
iShares Core MSCI US Quality Dividend Index ETF (CAD-Hedged)	
iShares Core S&P 500 Index ETF	
iShares Core S&P 500 Index ETF (CAD-Hedged)	
iShares Core S&P U.S. Total Market Index ETF	
iShares Core S&P U.S. Total Market Index ETF (CAD-Hedged)	
iShares Core S&P/TSX Capped Composite Index ETF	
iShares Diversified Monthly Income ETF	
iShares Edge MSCI Min Vol Canada Index ETF	
iShares Edge MSCI Min Vol EAFE Index ETF	
iShares Edge MSCI Min Vol EAFE Index ETF (CAD-Hedged)	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
iShares Edge MSCI Min Vol Emerging Markets Index ETF	
iShares Edge MSCI Min Vol Global Index ETF	
iShares Edge MSCI Min Vol Global Index ETF (CAD-Hedged)	
iShares Edge MSCI Min Vol USA Index ETF	
iShares Edge MSCI Min Vol USA Index ETF (CAD-Hedged)	
iShares Edge MSCI Multifactor Canada Index ETF	
iShares Edge MSCI Multifactor EAFE Index ETF	
iShares Edge MSCI Multifactor EAFE Index ETF (CAD-Hedged)	
iShares Edge MSCI Multifactor USA Index ETF	
iShares Edge MSCI Multifactor USA Index ETF (CAD-Hedged)	
iShares Emerging Markets Fundamental Index ETF	
iShares Equal Weight Banc & Lifeco ETF	
iShares Floating Rate Index ETF	
iShares Global Agriculture Index ETF	
iShares Global Healthcare Index ETF (CAD-Hedged)	
iShares Global Infrastructure Index ETF	
iShares Global Monthly Dividend Index ETF (CAD-Hedged)	
iShares Global Real Estate Index ETF	
iShares Global Water Index ETF	
iShares Gold Bullion ETF	
iShares High Quality Canadian Bond Index ETF	
iShares India Index ETF	
iShares International Fundamental Index ETF	
iShares J.P. Morgan USD Emerging Markets Bond Index ETF (CAD-Hedged)	
iShares Jantzi Social Index ETF	
iShares Japan Fundamental Index ETF (CAD-Hedged)	
iShares MSCI EAFE Index ETF (CAD-Hedged)	
iShares MSCI Emerging Markets Index ETF	
iShares MSCI Europe IMI Index ETF	
iShares MSCI Europe IMI Index ETF (CAD-Hedged)	
iShares MSCI World Index ETF	
iShares NASDAQ 100 Index ETF (CAD-Hedged)	
iShares Premium Money Market Fund	
iShares S&P Global Consumer Discretionary Index ETF (CAD-Hedged)	
iShares S&P Global Industrials Index ETF (CAD-Hedged)	
iShares S&P U.S. Mid-Cap Index ETF	
iShares S&P U.S. Mid-Cap Index ETF (CAD-Hedged)	
iShares S&P/TSX 60 Index ETF	
iShares S&P/TSX Canadian Dividend Aristocrats Index ETF	
iShares S&P/TSX Canadian Preferred Share Index ETF	
iShares S&P/TSX Capped Consumer Staples Index ETF	
iShares S&P/TSX Capped Energy Index ETF	
iShares S&P/TSX Capped Financials Index ETF	
iShares S&P/TSX Capped Information Technology Index ETF	
iShares S&P/TSX Capped Materials Index ETF	
iShares S&P/TSX Capped REIT Index ETF	
iShares S&P/TSX Capped Utilities Index ETF	
iShares S&P/TSX Completion Index ETF	
iShares S&P/TSX Composite High Dividend Index ETF	
iShares S&P/TSX Global Base Metals Index ETF	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
iShares S&P/TSX Global Gold Index ETF	
iShares S&P/TSX North American Preferred Stock Index ETF (CAD-Hedged)	
iShares S&P/TSX SmallCap Index ETF	
iShares Short Duration High Income ETF (CAD-Hedged)	
iShares Short Term High Quality Canadian Bond Index ETF	
iShares Short Term Strategic Fixed Income ETF	
iShares Silver Bullion ETF	
iShares U.S. High Dividend Equity Index ETF	
iShares U.S. High Dividend Equity Index ETF (CAD-Hedged)	
iShares U.S. High Yield Bond Index ETF (CAD-Hedged)	
iShares U.S. High Yield Fixed Income Index ETF (CAD-Hedged)	
iShares U.S. IG Corporate Bond Index ETF (CAD-Hedged)	
iShares U.S. Small Cap Index ETF (CAD-Hedged)	
iShares US Dividend Growers Index ETF (CAD-Hedged)	
iShares US Fundamental Index ETF	
Itafos	
itemus inc.	Cease Traded
Ivanhoe Energy Inc.	Cease Traded
Ivanhoe Mines Ltd.	
J.D.S. Investments Limited	Cease Traded
Jaguar Financial Corporation	
Jaguar Mining Inc.	
Jamieson Wellness Inc.	
Jayden Resources Inc.	
JFT Strategies Fund	
John Deere Canada Funding Inc.	
Johnson Controls International plc	
Journey Energy Inc.	
Journey's End Bathurst Limited Partnership	Cease Traded
June 2020 Corporate Bond Trust	
June 2021 Investment Grade Bond Pool	
Juniper Income Fund	Cease Traded
Jura Energy Corporation	
Just Energy Group Inc.	
K-Bro Linen Inc.	
Kane Biotech Inc.	
Karnalyte Resources Inc.	
Katanga Mining Limited	
Keg Royalties Income Fund, The	
Kelt Exploration Ltd.	
Kerr Mines Inc.	
Kew Media Group Inc.	
Keyera Corp.	
KHAN RESOURCES INC.	
Killam Apartment Limited Partnership	
Killam Apartment Real Estate Investment Trust	
Kimpex International Inc.	Cease Traded
Kinaxis Inc.	
Kinder Morgan Canada Limited	
King's Bay Resources Corp.	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Kingsland Energy Corp.	
Kingsway Financial Services Inc.	
Kinross Gold Corporation	
Kirkland Lake Gold Ltd.	
kneat.com, inc.	
Knight Therapeutics Inc.	
KP Tissue Inc.	
Kure Technologies, Inc.	
KWG Resources Inc.	
LAB Research Inc.	Cease Traded
Labrador Iron Mines Holdings Limited	
Labrador Iron Ore Royalty Corporation	
Lachlan Star Limited	
Lake Louise Limited Partnership	
Lakeview Hotel Investment Corp.	
Lanesborough Real Estate Investment Trust	
Laramide Resources Ltd.	
Largo Resources Ltd.	
Launch Resources Inc.	Cease Traded
LAURENTIAN BANK OF CANADA	
LAVA Systems Inc.	Cease Traded
Lavalin Industries Inc.	Cease Traded
Lawrence Park Strategic Income Fund	
Lazard Emerging Markets Multi Asset Fund	
Lazard Global Balanced Income Fund	
Lazard Global Equity Income Fund	
Lazard Global Managed Volatility Fund	
LDIC North American Infrastructure Fund	
LDIC North American Small Business Fund (Corporate Class)	
LE CHATEAU INC.	
Lead Ventures Inc.	
LeadFX Inc.	
LEAGOLD MINING CORPORATION	
Legacy Education Savings Plan	
Legend Power Systems Inc.	
Lehndorff Canadian Properties	Cease Traded
Leith Wheeler Balanced Fund	
Leith Wheeler Canadian Dividend Fund	
Leith Wheeler Canadian Equity Fund	
Leith Wheeler Carbon Constrained Canadian Equity Fund	
Leith Wheeler Core Bond Fund	
Leith Wheeler Corporate Advantage Fund	
Leith Wheeler Emerging Markets Equity Fund	
Leith Wheeler High Yield Bond Fund	
Leith Wheeler Income Advantage Fund	
Leith Wheeler International Equity Plus Fund	
Leith Wheeler Money Market Fund	
Leith Wheeler Multi Credit Fund	
Leith Wheeler Preferred Share Fund	
Leith Wheeler Short Term Income Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Leith Wheeler U.S. Dividend Fund	
Leith Wheeler U.S. Equity Fund	
Leith Wheeler U.S. Small/Mid-Cap Equity Fund	
Leon's Furniture Limited	
LeoNovus Inc.	
Leucrotta Exploration Inc.	
Levon Resources Ltd.	
Lexagene Holdings Inc.	
LGC Capital Ltd.	
LGC Skyrota Wind Energy Corp.	Cease Traded
LGX Oil + Gas Inc.	Cease Traded
Liberty Gold Corp.	
LiCo Energy Metals Inc.	
Life & Banc Split Corp.	
Lightstream Resources Ltd.	Cease Traded
Limited Duration Investment Grade Preferred Securities Fund	
Linamar Corporation	
Lincluden Balanced Fund	
Lincluden Short Term Investment Fund	
LIONS GATE ENTERTAINMENT CORP.	
Lions Petroleum Inc.	Cease Traded
Lithion Energy Corp.	
Lithium Americas Corp.	
Lithium Energy Products Inc.	
Livent Inc.	Cease Traded
Loblaw Companies Limited	
Lodge at Kananaskis Limited Partnership, The	Cease Traded
Loewen Group Inc., The	Cease Traded
Logistec Corporation	
Loncor Resources Inc.	
Long Duration Bond Fund	
Long Duration Credit Bond Fund	
Long Term Bond Fund (Portico)	
Lonsdale Apartment Project	Cease Traded
Loomis Sayles Global Diversified Corporate Bond Class	
Loomis Sayles Global Diversified Corporate Bond Fund	
Loomis Sayles Strategic Monthly Income Fund	
Loopshare Ltd.	
Low Volatility U.S. Equity Income Fund	
LSC Communications, Inc.	
Lucara Diamond Corp.	
Lucasvarity Plc	Cease Traded
Lucky Minerals Inc.	
lululemon athletica inc.	
Lumina Gold Corp.	
Luminex Corporation	
Lundin Gold Inc.	
Lundin Mining Corporation	
Lupaka Gold Corp.	
LWP Capital Inc.	Cease Traded

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
LXRandCo, Inc.	
Lydian International Limited	
Lysander TDV Fund	
Lysander-18 Asset Management Canadian Equity Fund	
Lysander-Canso Balanced Fund	
Lysander-Canso Bond Fund	
Lysander-Canso Broad Corporate Bond Fund	
Lysander-Canso Corporate Value Bond Fund	
Lysander-Canso Equity Fund	
Lysander-Canso Short Term and Floating Rate Fund	
Lysander-Canso U.S. Credit Fund	
Lysander-Crusader Equity Income Fund	
Lysander-Fulcra Corporate Securities Fund	
Lysander-Roundtable Low Volatility Equity Fund	
Lysander-Seamark Balanced Fund	
Lysander-Seamark Total Equity Fund	
Lysander-Slater Preferred Share ActivETF	
Lysander-Slater Preferred Share Dividend Fund	
Lysander-Triasima All Country Equity Fund	
Lysander-Triasima Balanced Income Fund	
M Split Corp.	
M8 Entertainment Inc	Cease Traded
Mackenzie All China Equity Fund	
Mackenzie Balanced ETF Portfolio	
Mackenzie Canadian Aggregate Bond Index ETF	
Mackenzie Canadian All Cap Dividend Class	
Mackenzie Canadian All Cap Dividend Fund	
Mackenzie Canadian All Cap Value Class	
Mackenzie Canadian All Cap Value Fund	
Mackenzie Canadian All Corporate Bond Index ETF	
Mackenzie Canadian Balanced Fund	
Mackenzie Canadian Bond Fund	
Mackenzie Canadian Equity Index ETF	
Mackenzie Canadian Growth Balanced Class	
Mackenzie Canadian Growth Balanced Fund	
Mackenzie Canadian Growth Class	
Mackenzie Canadian Growth Fund	
Mackenzie Canadian Large Cap Dividend Class	
Mackenzie Canadian Large Cap Dividend Fund	
Mackenzie Canadian Large Cap Equity Index ETF	
Mackenzie Canadian Money Market Fund	
Mackenzie Canadian Resource Fund	
Mackenzie Canadian Short Term Fixed Income ETF	
Mackenzie Canadian Short Term Income Fund	
Mackenzie Canadian Short-Term Bond Index ETF	
Mackenzie Canadian Small Cap Class	
Mackenzie Canadian Small Cap Fund	
Mackenzie China A-Shares CSI 300 Index ETF	
Mackenzie Conservative ETF Portfolio	
Mackenzie Conservative Income ETF Portfolio	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Mackenzie Core Plus Canadian Fixed Income ETF	
Mackenzie Core Plus Global Fixed Income ETF	
Mackenzie Corporate Bond Fund	
Mackenzie Cundill Canadian Balanced Fund	
Mackenzie Cundill Canadian Security Class	
Mackenzie Cundill Canadian Security Fund	
Mackenzie Cundill International Fund	
Mackenzie Cundill Recovery Class	
Mackenzie Cundill Recovery Fund	
Mackenzie Cundill US Class	
Mackenzie Cundill Value Class	
Mackenzie Cundill Value Fund	
Mackenzie Diversified Alternatives Fund	
Mackenzie Emerging Markets Class	
Mackenzie Emerging Markets Fund	
Mackenzie Emerging Markets Large Cap Fund	
Mackenzie Emerging Markets Opportunities Fund	
Mackenzie Emerging Markets Small Cap Fund	
Mackenzie Floating Rate Income ETF	
Mackenzie Floating Rate Income Fund	
Mackenzie Global Credit Opportunities Fund	
Mackenzie Global Dividend Fund	
Mackenzie Global Equity Fund	
Mackenzie Global Equity Income Fund	
Mackenzie Global Growth Class	
Mackenzie Global High Yield Fixed Income ETF	
Mackenzie Global Inflation-Linked Fund	
Mackenzie Global Leadership Impact ETF	
Mackenzie Global Leadership Impact Fund	
Mackenzie Global Resource Class	
Mackenzie Global Small Cap Class	
Mackenzie Global Small Cap Fund	
Mackenzie Global Strategic Income Fund	
Mackenzie Global Sustainability and Impact Balanced Fund	
Mackenzie Global Tactical Bond Fund	
Mackenzie Global Tactical Investment Grade Bond Fund	
Mackenzie Gold Bullion Class	
Mackenzie Growth ETF Portfolio	
Mackenzie Growth Fund	
Mackenzie High Diversification Canadian Equity Class	
Mackenzie High Diversification Emerging Markets Equity Fund	
Mackenzie High Diversification European Equity Fund	
Mackenzie High Diversification Global Equity Fund	
Mackenzie High Diversification International Equity Fund	
Mackenzie High Diversification US Equity Fund	
Mackenzie Income Fund	
Mackenzie International Divided Fund	
Mackenzie International Equity Index ETF	
Mackenzie International Equity Index ETF (CAD-Hedged)	
Mackenzie Investment Grade Floating Rate Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Mackenzie Ivy Canadian Balanced Class	
Mackenzie Ivy Canadian Balanced Fund	
Mackenzie Ivy Canadian Fund	
Mackenzie Ivy European Class	
Mackenzie Ivy Foreign Equity Class	
Mackenzie Ivy Foreign Equity Currency Neutral Class	
Mackenzie Ivy Foreign Equity Fund	
Mackenzie Ivy Global Balanced Class	
Mackenzie Ivy Global Balanced Fund	
Mackenzie Ivy Global Equity ETF	
Mackenzie Ivy International Class	
Mackenzie Ivy International Fund	
Mackenzie Master Limited Partnership	
Mackenzie Maximum Diversification All World Developed ex North America Index ETF	
Mackenzie Maximum Diversification All World Developed Index ETF	
Mackenzie Maximum Diversification Canada Index ETF	
Mackenzie Maximum Diversification Developed Europe Index ETF	
Mackenzie Maximum Diversification Emerging Markets Index ETF	
Mackenzie Maximum Diversification US Index ETF	
Mackenzie Moderate Growth ETF Portfolio	
Mackenzie Monthly Income Balanced Portfolio	
Mackenzie Monthly Income Conservative Portfolio	
Mackenzie Multi-Strategy Absolute Return Fund	
Mackenzie North American Corporate Bond Fund	
Mackenzie Portfolio Completion ETF	
Mackenzie Precious Metals Class	
Mackenzie Private Canadian Focused Equity Pool	
Mackenzie Private Canadian Focused Equity Pool Class	
Mackenzie Private Global Conservative Income Balanced Pool	
Mackenzie Private Global Equity Pool	
Mackenzie Private Global Equity Pool Class	
Mackenzie Private Global Fixed Income Pool	
Mackenzie Private Global Income Balanced Pool	
Mackenzie Private Income Balanced Pool	
Mackenzie Private Income Balanced Pool Class	
Mackenzie Private US Equity Pool	
Mackenzie Private US Equity Pool Class	
Mackenzie Sovereign Bond Fund	
Mackenzie Strategic Bond Fund	
Mackenzie Strategic Income Class	
Mackenzie Strategic Income Fund	
Mackenzie Unconstrained Bond ETF	
Mackenzie Unconstrained Fixed Income Fund	
Mackenzie US All Cap Growth Fund	
Mackenzie US Dividend Fund	
Mackenzie US Dividend Registered Fund	
Mackenzie US Growth Class	
Mackenzie US High Yield Bond Index ETF (CAD-Hedged)	
Mackenzie US Investment Grade Corporate Bond Index ETF (CAD-Hedged)	
Mackenzie US Large Cap Equity Index ETF	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Mackenzie US Large Cap Equity Index ETF (CAD Hedged)	
Mackenzie US Mid Cap Growth Class	
Mackenzie US Mid Cap Growth Currency Neutral Class	
Mackenzie US Small Cap Fund	
Mackenzie US Strategic Income Fund	
Mackenzie US TIPS Index ETF (CAD-Hedged)	
Mackenzie USD Global Strategic Income Fund	
Mackenzie USD Global Tactical Bond Fund	
Mackenzie USD Ultra Short Duration Income Fund	
Macro Enterprises Inc.	
Madalena Energy Inc.	
Madison Pacific Properties Inc.	
Maestro Balanced Portfolio	
Maestro Balanced Portfolio Class	
Maestro Growth Focused Portfolio	
Maestro Growth Focused Portfolio Class	
Maestro Income Balanced Portfolio	
Maestro Income Balanced Portfolio Class	
Mag One Products Inc.	Cease Traded
MAG Silver Corp.	
Magellan Aerospace Corporation	
MagIndustries Corp.	Cease Traded
Magna International Inc.	
Magnum Hunter Resources Corporation	1a, 1b, 1c, 1d, 1e
Mainstream Minerals Corporation	Cease Traded
Major Drilling Group International Inc.	
Malbex Resources Inc.	
Malette Industries Inc.	Cease Traded
Mandalay Resources Corporation	
Manfrey Capital Corporation	Cease Traded
Manitok Energy Inc.	Cease Traded
Manitou Gold Inc.	
Manufacturers Life Insurance Company, The	
Manulife Asia Equity Class	
Manulife Balanced Equity Private Pool	
Manulife Balanced Income Private Trust	
Manulife Balanced Portfolio	
Manulife Bond Fund	
Manulife Canadian Balanced Fund	
Manulife Canadian Balanced Private Pool	
Manulife Canadian Bond Fund	
Manulife Canadian Dividend Growth Class	
Manulife Canadian Dividend Growth Fund	
Manulife Canadian Equity Private Pool	
Manulife Canadian Growth and Income Private Trust	
Manulife Canadian Investment Class	
Manulife Canadian Investment Fund	
Manulife Canadian Unconstrained Bond Fund	
Manulife Canadian Universe Bond Fund	
Manulife China Class	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Manulife Conservative Portfolio	
Manulife Corporate Bond Fund	
Manulife Corporate Fixed Income Private Trust	
Manulife Covered Call U.S. Equity Class	
Manulife Covered Call U.S. Equity Fund	
Manulife Diversified Alpha Portfolio	
Manulife Diversified Investment Fund	
Manulife Dividend Income Class	
Manulife Dividend Income Fund	
Manulife Dividend Income Plus Class	
Manulife Dividend Income Plus Fund	
Manulife Dividend Income Private Pool	
Manulife Dollar-Cost Averaging Fund	
Manulife Emerging Markets Fund	
Manulife Finance (Delaware), L.P.	
Manulife Financial Capital Trust II	
Manulife Financial Corporation	
Manulife Floating Rate Income Fund	
Manulife Floating Rate Senior Loan Fund	
Manulife Fundamental Balanced Class	
Manulife Fundamental Dividend Class	
Manulife Fundamental Dividend Fund	
Manulife Fundamental Equity Class	
Manulife Fundamental Equity Fund	
Manulife Fundamental Income Class	
Manulife Fundamental Income Fund	
Manulife Global All Cap Focused Fund	
Manulife Global Balanced Fund	
Manulife Global Balanced Private Trust	
Manulife Global Dividend Class	
Manulife Global Dividend Fund	
Manulife Global Dividend Growth Class	
Manulife Global Dividend Growth Fund	
Manulife Global Equity Class	
Manulife Global Equity Private Pool	
Manulife Global Equity Unconstrained Class	
Manulife Global Equity Unconstrained Fund	
Manulife Global Fixed Income Private Trust	
Manulife Global Infrastructure Class	
Manulife Global Infrastructure Fund	
Manulife Global Managed Volatility Portfolio	
Manulife Global Real Estate Unconstrained Fund	
Manulife Global Small Cap Balanced Fund	
Manulife Global Small Cap Fund	
Manulife Global Strategic Balanced Yield Fund	
Manulife Global Unconstrained Bond Fund	
Manulife Growth Opportunities Class	
Manulife Growth Opportunities Fund	
Manulife Growth Portfolio	
Manulife Income Fund 2022	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Manulife Income Fund 2027	
Manulife Income Fund 2032	
Manulife Income Fund 2037	
Manulife Income Fund 2042	
Manulife Income Fund 2047	
Manulife Income Fund 2052	
Manulife Income Fund 2057	
Manulife Income Fund 2062	
Manulife Income Fund 2067	
Manulife International Equity Private Trust	
Manulife International Focused Fund	
Manulife International Value Equity Fund	
Manulife Moderate Portfolio	
Manulife Money Market Fund	
Manulife Monthly High Income Class	
Manulife Monthly High Income Fund	
Manulife Multifactor Canadian Large Cap Index ETF	
Manulife Multifactor Canadian SMID Cap Index ETF	
Manulife Multifactor Developed International Index ETF	
Manulife Multifactor U.S. Large Cap Index ETF	
Manulife Multifactor U.S. Mid Cap Index ETF	
Manulife Multifactor U.S. Small Cap Index ETF	
Manulife Quantitative Fixed Income Fund 2022	
Manulife Quantitative Fixed Income Fund 2027	
Manulife Quantitative Fixed Income Fund 2032	
Manulife Quantitative Fixed Income Fund 2037	
Manulife Quantitative Fixed Income Fund 2042	
Manulife Short Term Yield Class	
Manulife Simplicity Balanced Portfolio	
Manulife Simplicity Conservative Portfolio	
Manulife Simplicity Global Balanced Portfolio	
Manulife Simplicity Growth Portfolio	
Manulife Simplicity Moderate Portfolio	
Manulife Strategic Balanced Yield Fund	
Manulife Strategic Dividend Bundle	
Manulife Strategic Income Fund	
Manulife Strategic Investment Grade Global Bond Fund	
Manulife Tactical Income Fund	
Manulife Tax-Managed Growth Fund	
Manulife U.S. All Cap Equity Class	
Manulife U.S. All Cap Equity Fund	
Manulife U.S. Balanced Private Trust	
Manulife U.S. Balanced Value Private Trust	
Manulife U.S. Dividend Income Class	
Manulife U.S. Dividend Income Fund	
Manulife U.S. Dollar Strategic Balanced Yield Fund	
Manulife U.S. Dollar Strategic Income Fund	
Manulife U.S. Dollar U.S. All Cap Equity Fund	
Manulife U.S. Equity Fund	
Manulife U.S. Equity Private Pool	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Manulife U.S. Monthly High Income Fund	
Manulife U.S. Opportunities Fund	
Manulife U.S. Regional Bank Trust	
Manulife U.S. Unconstrained Bond Fund	
Manulife Value Balanced Class	
Manulife Value Balanced Fund	
Manulife World Investment Class	
Manulife World Investment Fund	
Manulife Yield Opportunities Fund	
Maple Gold Mines Ltd.	
Maple Leaf 2013 Oil & Gas Income Limited Partnership	
Maple Leaf Foods Inc.	
Maple Leaf Income Class	
Maple Leaf Resource Class	
Maple Leaf Short Duration 2017-II Flow-Through Limited Partnership - National Class	
Maple Leaf Short Duration 2017-II Flow-Through Limited Partnership - Quebec Class	
Maple Leaf Short Duration 2018 Flow-Through Limited Partnership - National Class	
Maple Leaf Short Duration 2018 Flow-Through Limited Partnership - Quebec Class	
Maplewood International Real Estate Investment Trust	
Marathon Gold Corporation	
Marathon Oil Corporation	
Marijuana Opportunities Fund	
Marlin Gold Mining Ltd.	
Marquee Energy Ltd.	
Marquest 2017-I Mining Super Flow-Through Limited Partnership - National Class	
Marquest 2017-I Mining Super Flow-Through Limited Partnership - Québec Class	
Marquest American Dividend Growth Fund	
Marquest American Dividend Growth Fund (Corporate Class)	
Marquest Canadian Bond Fund	
Marquest Canadian Fixed Income Fund	
Marquest Canadian Resource Fund	
Marquest Canadian Resource Fund (Corporate Class)	
Marquest Covered Call Canadian Banks Plus Fund	
Marquest Covered Call Canadian Banks Plus Fund (Corporate Class)	
Marquest Global Balanced Fund	
Marquest Money Market Fund	
Marquest Monthly Pay Fund	
Marquest Monthly Pay Fund (Corporate Class)	
Marquest Short Term Income Fund (Corporate Class)	
Marquest Small Companies Fund	
Marquis Balanced Class Portfolio	
Marquis Balanced Growth Class Portfolio	
Marquis Balanced Growth Portfolio	
Marquis Balanced Income Portfolio	
Marquis Balanced Portfolio	
Marquis Equity Portfolio	
Marquis Growth Portfolio	
Marquis Institutional Balanced Growth Portfolio	
Marquis Institutional Balanced Portfolio	
Marquis Institutional Bond Portfolio	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Marquis Institutional Canadian Equity Portfolio	
Marquis Institutional Equity Portfolio	
Marquis Institutional Global Equity Portfolio	
Marquis Institutional Growth Portfolio	
Marret High Yield Bond Fund	
Marret High Yield Strategies Fund	
Marret Multi-Strategy Income Fund	
Marret Resource Corp.	
Marret Short Duration High Yield Fund	
Martinrea International Inc.	
Mason Resources Corp.	
Master Credit Card Trust II	
Matco Balanced Fund	
Matco Canadian Equity Fund	
Matco Fixed Income Fund	
Matco Global Equity Fund	
Matco Small Cap Fund	
Matrix 2013 Short Duration National Class	1a, 1b, 1c
Matrix 2013 Short Duration Québec Class	1a, 1b, 1c
Matrix Asset Management Inc.	Cease Traded
MATRIX Energy Technologies Inc.	
Maudore Minerals Ltd	Cease Traded
MAV Beauty Brands Inc.	
Maverix Metals Inc.	
Mawer Balanced Fund	
Mawer Canadian Bond Fund	
Mawer Canadian Equity Fund	
Mawer Canadian Money Market Fund	
Mawer Emerging Markets Equity Fund	
Mawer Global Balanced Fund	
Mawer Global Bond Fund	
Mawer Global Equity Fund	
Mawer Global Small Cap Fund	
Mawer International Equity Fund	
Mawer New Canada Fund	
Mawer Tax Effective Balanced Fund	
Mawer U.S. Equity Fund	
Maxar Technologies Ltd.	
MBN Corporation	
MCAN Mortgage Corporation	
McCoy Global Inc.	
McEwen Mining Inc.	
MCP Exchangeco Inc.	
MD American Growth Fund	
MD American Value Fund	
MD Bond Fund	
MD Dividend Growth Fund	
MD Equity Fund	
MD Fossil Fuel Free Bond Fund	
MD Fossil Fuel Free Equity Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
MD Growth Investments Limited	
MD International Growth Fund	
MD International Value Fund	
MD Money Fund	
MD Precision Balanced Growth Portfolio	
MD Precision Balanced Income Portfolio	
MD Precision Canadian Balanced Growth Fund	
MD Precision Canadian Moderate Growth Fund	
MD Precision Conservative Portfolio	
MD Precision Maximum Growth Portfolio	
MD Precision Moderate Balanced Portfolio	
MD Precision Moderate Growth Portfolio	
MD Select Fund	
MD Short-Term Bond Fund	
MD Strategic Opportunities Fund	
MD Strategic Yield Fund	
MDC Partners Inc.	
MDPIM Canadian Bond Pool	
MDPIM Canadian Equity Pool	
MDPIM Canadian Long Term Bond Pool	
MDPIM Dividend Pool	
MDPIM Emerging Markets Equity Pool	
MDPIM International Equity Index Pool	
MDPIM International Equity Pool	
MDPIM S&P 500 Index Pool	
MDPIM S&P/TSX Capped Composite Index Pool	
MDPIM Strategic Opportunities Pool	
MDPIM Strategic Yield Pool	
MDPIM US Equity Pool	
Meadowbrook Apartment Project	
Med-Chem Health Care Limited	Cease Traded
Mediagrif Interactive Technologies Inc.	
Medical Facilities Corporation	
Medicure Inc.	
Medipattern Corporation, The	Cease Traded
MedReleaf Corp.	
MedX Health Corp.	
MEG Energy Corp.	
Mega Uranium Ltd.	
Melcor Real Estate Investment Trust	
Melior Resources Inc.	
Melodia 100 Percent Equity Growth Portfolio	
Melodia Aggressive Growth Portfolio	
Melodia Balanced Growth Portfolio	
Melodia Conservative Income Portfolio	
Melodia Diversified Growth Portfolio	
Melodia Diversified Income Portfolio	
Melodia Maximum Growth Portfolio	
Melodia Moderate Growth Portfolio	
Melodia Moderate Income Portfolio	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Melodia Very Conservative Income Portfolio	
Mercator Minerals Ltd.	Cease Traded
Mercer International Inc.	
Merit Energy Ltd.	Cease Traded
Meritage American Equity Portfolio	
Meritage Balanced Income Portfolio	
Meritage Balanced Portfolio	
Meritage Canadian Equity Class Portfolio	
Meritage Canadian Equity Portfolio	
Meritage Conservative Income Portfolio	
Meritage Conservative Portfolio	
Meritage Diversified Fixed Income Portfolio	
Meritage Dynamic Growth Class Portfolio	
Meritage Dynamic Growth Income Portfolio	
Meritage Dynamic Growth Portfolio	
Meritage Global Balanced Portfolio	
Meritage Global Conservative Portfolio	
Meritage Global Dynamic Growth Class Portfolio	
Meritage Global Dynamic Growth Portfolio	
Meritage Global Equity Class Portfolio	
Meritage Global Equity Portfolio	
Meritage Global Growth Class Portfolio	
Meritage Global Growth Portfolio	
Meritage Global Moderate Portfolio	
Meritage Growth Class Portfolio	
Meritage Growth Income Portfolio	
Meritage Growth Portfolio	
Meritage International Equity Portfolio	
Meritage Moderate Income Portfolio	
Meritage Moderate Portfolio	
Meritage Tactical ETF Balanced Portfolio	
Meritage Tactical ETF Equity Portfolio	
Meritage Tactical ETF Fixed Income Portfolio	
Meritage Tactical ETF Growth Portfolio	
Meritage Tactical ETF Moderate Portfolio	
Meritas Balanced Portfolio	
Meritas Canadian Bond Fund	
Meritas Growth & Income Portfolio	
Meritas Growth Portfolio	
Meritas Income & Growth Portfolio	
Meritas Income Portfolio	
Meritas International Equity Fund	
Meritas Jantzi Social Index Fund	
Meritas Maximum Growth Portfolio	
Meritas Monthly Dividend and Income Fund	
Meritas Strategic Income Fund	
Meritas U.S. Equity Fund	
Merrill Lynch Financial Assets Inc.	
Methanex Corporation	
Metro Inc.	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Metro Vancouver Properties Corp.	
Micro Mining Technologies Ltd.	Cease Traded
Micromem Technologies Inc.	
MicroPlanet Technology Corp.	Cease Traded
Mid Cap Canada Fund (GWLIM)	
Midas Gold Corp.	
Middlefield Can-Global REIT Income Fund	
Middlefield Canadian Dividend Growers Class	
Middlefield Global Agriculture Class	
Middlefield Global Dividend Growers Class	
Middlefield Global Energy Class	
Middlefield Global Infrastructure Fund	
Middlefield Healthcare & Life Sciences Dividend Fund	
Middlefield Healthcare & Wellness Dividend Fund	
Middlefield High Yield Class	
Middlefield Income Plus Class	
Middlefield Real Estate Class	
Middlefield Short-Term Income Class	
Middlefield U.S. Dividend Growers Class	
Millenium Biologix Corporation	1a, 1b, 1c, 1e
Millennial Lithium Corp.	
Millrock Resources Inc.	
Milne Park Gardens Limited Partnership	Cease Traded
MINCO SILVER CORPORATION	
Mindready Solutions Inc.	Cease Traded
Minera IRL Limited	
MINT Income Fund	
Minto Apartment Real Estate Investment Trust	
Mirabela Nickel Limited	
Mirati Therapeutics, Inc.	
Mitel Networks Corporation	
MLD Core Fund	
MM Fund	
MNP Petroleum Corporation	Cease Traded
Moderate Folio Fund	
Moderate Fund	
Moderate Portfolio	
Mogo Finance Technology Inc.	
Molnar Capital Corporation	Cease Traded
Molson Coors Brewing Company	
Molson Coors Canada Inc.	
Monarch Resources Limited	Cease Traded
Moneda LatAm Corporate Bond Fund	
Money Market Fund (Quadrus Group of Funds)	
Money Market Fund (SEI Investments Group of Funds)	
MonoGen, Inc.	Cease Traded
MONTAN MINING CORP.	
Monthly Income Fund (London Capital)	
Morguard Corporation	
Morguard North American Residential Real Estate Investment Trust	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Morguard Real Estate Investment Trust	
Morien Resources Corp.	
Morneau Shepell Inc.	
Morningstar Aggressive Portfolio	
Morningstar Balanced Portfolio	
Morningstar Conservative Portfolio	
Morningstar Growth Portfolio	
Morningstar Moderate Portfolio	
Morningstar Strategic Canadian Equity Fund	
Mosaic Capital Corporation	
Mosport Park Entertainment Corporation, The	Cease Traded
Motion International Inc. - "A Man Called Intrepid"	Cease Traded
Motion International Inc. - "Hot Touch"	Cease Traded
Mountain Province Diamonds Inc.	
MRF 2017 Resource Limited Partnership	
MRF 2018 Resource Limited Partnership	
MTY Food Group Inc.	
Mullen Group Ltd.	
Multi-Asset Fixed Income	
Multi-Asset Fixed Income Class	
Multi-Asset Growth & Income Strategy	
Multi-Asset Growth & Income Strategy Class	
Multi-Asset Growth Strategy	
Multi-Asset Growth Strategy Class	
Multi-Asset Income Strategy	
Multi-Asset Income Strategy Class	
Multi-Asset International Equity	
Murchison Minerals Ltd.	
Myriad Mineral Resources Ltd.	Cease Traded
Nabors Industries Ltd.	
NADG NNN Real Estate Investment Trust	
Namaste Technologies Inc.	
Namibia Critical Metals Inc.	
Napa/Carneros Winegrowers Limited Partnership	Cease Traded
National Bank Balanced Diversified Fund	
National Bank Conservative Diversified Fund	
National Bank Growth Diversified Fund	
National Bank Moderate Diversified Fund	
National Bank of Canada	
National Bank Secure Diversified Fund	
National Fibretech Inc.	Cease Traded
Natixis Canadian Bond Class	
Natixis Canadian Bond Fund	
Natixis Canadian Cash Fund	
Natixis Canadian Dividend Class	
Natixis Canadian Dividend Registered Fund	
Natixis Canadian Preferred Share Class	
Natixis Canadian Preferred Share Registered Fund	
Natixis Global Equity Class	
Natixis Global Equity Registered Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Natixis Intrinsic Balanced Class	
Natixis Intrinsic Balanced Registered Fund	
Natixis Intrinsic Growth Class	
Natixis Intrinsic Growth Registered Fund	
Natixis Strategic Balanced Class	
Natixis Strategic Balanced Registered Fund	
Natixis U.S. Dividend Plus Class	
Natixis U.S. Dividend Plus Registered Fund	
Natixis U.S. Growth Class	
Natixis U.S. Growth Registered Fund	
Nautilus Minerals Inc.	
NAV CANADA	
NBC Asset Trust	
NBI Balanced Portfolio	
NBI Bond Fund	
NBI Canadian All Cap Equity Fund	
NBI Canadian Bond Index Fund	
NBI Canadian Bond Private Portfolio	
NBI Canadian Diversified Bond Private Portfolio	
NBI Canadian Equity Fund	
NBI Canadian Equity Growth Fund	
NBI Canadian Equity Index Fund	
NBI Canadian Equity Private Portfolio	
NBI Canadian High Conviction Equity Private Portfolio	
NBI Canadian Index Fund	
NBI Canadian Preferred Equity Private Portfolio	
NBI Canadian Small Cap Equity Private Portfolio	
NBI Conservative Portfolio	
NBI Corporate Bond Fund	
NBI Corporate Bond Private Portfolio	
NBI Dividend Fund	
NBI Emerging Markets Equity Private Portfolio	
NBI Equity Income Private Portfolio	
NBI Equity Portfolio	
NBI Floating Rate Income Fund	
NBI Global Bond Fund	
NBI Global Diversified Equity Fund	
NBI Global Equity Fund	
NBI Global Real Assets Income Fund	
NBI Global Tactical Bond Fund	
NBI Growth Portfolio	
NBI High Yield Bond Fund	
NBI High Yield Bond Private Portfolio	
NBI Income Fund	
NBI International Currency Neutral Index Fund	
NBI International Equity Index Fund	
NBI International Equity Private Portfolio	
NBI International High Conviction Equity Private Portfolio	
NBI International Index Fund	
NBI Jarislowsky Fraser Select Balanced Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
NBI Jarislowsky Fraser Select Canadian Equity Fund	
NBI Jarislowsky Fraser Select Income Fund	
NBI Moderate Portfolio	
NBI Money Market Fund	
NBI Multiple Asset Class Private Portfolio	
NBI Municipal Bond Plus Private Portfolio	
NBI Non-Traditional Capital Appreciation Private Portfolio	
NBI Non-Traditional Fixed Income Private Portfolio	
NBI North American Dividend Private Portfolio	
NBI Precious Metals Fund	
NBI Preferred Equity Fund	
NBI Preferred Equity Income Fund	
NBI Quebec Growth Fund	
NBI Real Assets Private Portfolio	
NBI Resource Fund	
NBI Science and Technology Fund	
NBI Secure Portfolio	
NBI Small Cap Fund	
NBI SmartBeta Canadian Equity Fund	
NBI SmartBeta Global Equity Fund	
NBI SmartData International Equity Fund	
NBI SmartData U.S. Equity Fund	
NBI Strategic U.S. Income and Growth Fund	
NBI Tactical Equity Private Portfolio	
NBI Tactical Fixed Income Private Portfolio	
NBI Tactical Mortgage & Income Fund	
NBI U.S. Bond Private Portfolio	
NBI U.S. Currency Neutral Index Fund	
NBI U.S. Dividend Fund	
NBI U.S. Equity Fund	
NBI U.S. Equity Index Fund	
NBI U.S. Equity Private Portfolio	
NBI U.S. High Conviction Equity Private Portfolio	
NBI U.S. Index Fund	
NBI Unconstrained Fixed Income Fund	
NBI Westwood Emerging Markets Fund	
NDX Growth & Income Fund	
NEI Canadian Bond Fund	
NEI Conservative Yield Portfolio	
NEI Environmental Leaders Fund	
NEI Ethical Balanced Fund	
NEI Ethical Canadian Equity Fund	
NEI Ethical Global Dividend Fund	
NEI Ethical Global Equity Fund	
NEI Ethical International Equity Fund	
NEI Ethical Select Balanced Portfolio	
NEI Ethical Select Conservative Portfolio	
NEI Ethical Select Growth Portfolio	
NEI Ethical Select Income Portfolio	
NEI Ethical Special Equity Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
NEI Ethical U.S. Equity Fund	
NEI Generational Leaders Fund	
NEI Global Strategic Yield Fund	
NEI Global Total Return Bond Fund	
NEI Global Value Fund	
NEI Money Market Fund	
NEI Northwest Canadian Dividend Fund	
NEI Northwest Canadian Equity Fund	
NEI Northwest Emerging Markets Fund	
NEI Northwest Global Equity Fund	
NEI Northwest Growth and Income Fund	
NEI Northwest Specialty Equity Fund	
NEI Northwest Specialty Global High Yield Bond Fund	
NEI Northwest Tactical Yield Fund	
NEI Northwest U.S. Dividend Fund	
NEI Select Balanced Portfolio	
NEI Select Conservative Portfolio	
NEI Select Global Maximum Growth Portfolio	
NEI Select Growth Portfolio	
Nemaska Lithium Inc.	
Neo Performance Materials Inc.	
Neovasc Inc.	
Neptune Technologies & Bioresources Inc.	
Nevada Bob's Golf Inc.	Cease Traded
Nevada Copper Corp.	
Nevada Exploration Inc.	
Nevada Zinc Corporation	
Nevaro Capital Corporation	
Nevsun Resources Ltd.	
New Commerce Split Fund	
New Gold Inc.	
New Guinea Gold Corporation	Cease Traded
New Leaders Class	
New Millennium Iron Corp.	
New Pacific Metals Corp.	
New Zealand Energy Corp.	
Newfoundland Capital Corporation Limited	
Newfoundland Power Inc.	
NewGrowth Corp.	
Newkidco International Inc.	Cease Traded
Newmont Mining Corporation	
Newstrike Brands Ltd.	
Nexa Resources S.A.	
NexGen Energy Ltd.	
Nexia Health Technologies Inc.	
Nexient Learning Inc.	Cease Traded
NexJ Health Holdings Inc.	
NexJ Systems Inc.	
Next Edge AHL Fund	
Next Edge Bio-Tech Plus Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Nexus Real Estate Investment Trust	
NFI Group Inc.	
NHC Communications Inc.	Cease Traded
Nickel Creek Platinum Corp.	
Niko Resources Ltd.	
Ninepoint 2018 Flow-Through Limited Partnership	
Ninepoint Concentrated Canadian Equity Fund	
Ninepoint Core Bond Fund	
Ninepoint Diversified Bond Class	
Ninepoint Diversified Bond Fund	
Ninepoint Energy Fund	
Ninepoint Energy Opportunities Trust	
Ninepoint Enhanced Balanced Class	
Ninepoint Enhanced Balanced Fund	
Ninepoint Enhanced Equity Class	
Ninepoint Enhanced U.S. Equity Class	
Ninepoint Focused Global Dividend Class	
Ninepoint Focused U.S. Dividend Class	
Ninepoint Global Infrastructure Fund	
Ninepoint Global Real Estate Fund	
Ninepoint Gold and Precious Minerals Fund	
Ninepoint Gold Bullion Fund	
Ninepoint International Small Cap Fund	
Ninepoint Real Asset Class	
Ninepoint Resource Class	
Ninepoint Short-Term Bond Class	
Ninepoint Short-Term Bond Fund	
Ninepoint Silver Bullion Fund	
Ninepoint Silver Equities Class	
Nobilis Health Corp.	
Noranda Income Fund	
Norbord Inc.	
Nordic Oil and Gas Ltd.	Cease Traded
Noront Resources Ltd.	
Norrep Core Canadian	
Norrep Core Global	
Norrep Energy Plus Class	
Norrep Entrepreneurs Class	
Norrep Fund	
Norrep Global Income Growth Class	
Norrep High Income Fund	
Norrep II Class	
Norrep Income Growth Class	
Norrep Premium Growth Class	
Norrep Short Term Income Fund	
Norrep Tactical Opportunities Class	
Norrep US Dividend Plus Class	
Norsemont Capital Inc.	
Nortel Networks Corporation	Cease Traded
Nortel Networks Limited	Cease Traded

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
North American Construction Group Ltd.	
North American Financial 15 Split Corp.	
North American High Yield Bond Fund (Putnam)	
North American Nickel Inc.	
North American Palladium Ltd.	
North American Preferred Share Fund	
North American Specialty Class	
North American Tungsten Corporation Ltd.	1a, 1b, 1c, 1e
North Growth Canadian Equity Fund	
North Growth U.S. Equity Advisor Fund	
North West Company Inc., The	
Northern Dynasty Minerals Ltd.	
Northern Empire Resources Corp.	
Northern Frontier Corp.	Cease Traded
Northern Uranium Corp.	
Northgate Limited Partnership	Cease Traded
NorthIsle Copper and Gold Inc.	
Northland Power Inc.	
Northland Resources SE	Cease Traded
Northstar Aerospace, Inc.	Cease Traded
Northview Apartment Real Estate Investment Trust	
NorthWest Healthcare Properties Real Estate Investment Trust	
Norvista Capital Corporation	
NOVA Gas Transmission Ltd.	
Nova Scotia Power Incorporated	
Nova Village Limited Partnership	Cease Traded
NovaBay Pharmaceuticals, Inc.	
NOVAGOLD RESOURCES INC.	
Novanta Inc.	
Novelion Therapeutics Inc.	
Novoheart Holdings Inc.	
NSX Silver Inc.	
Nu-Life Corp.	Cease Traded
Nubian Resources Ltd.	
Nuinsco Resources Limited	
Nurcapital Corporation Ltd.	
Nutrien Ltd.	
NUVISTA ENERGY LTD.	
Nuvo Pharmaceuticals Inc.	
Oakmark International Natixis Class	
Oakmark International Natixis Registered Fund	
Oakmark Natixis Class	
Oakmark Natixis Registered Fund	
Obsidian Energy Ltd.	
OceanaGold Corporation	
OceanRock Balanced Portfolio	
OceanRock Canadian Equity Fund	
OceanRock Growth & Income Portfolio	
OceanRock Growth Portfolio	
OceanRock Income & Growth Portfolio	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
OceanRock Income Portfolio	
OceanRock International Equity Fund	
OceanRock Maximum Growth Portfolio	
OceanRock U.S. Equity Fund	
Oceanus Resources Corporation	
OCP Credit Strategy Fund	
OCP Senior Credit Fund	
Ocs Technologies Corp.	Cease Traded
Oil Optimization Inc.	Cease Traded
Oilsands Quest Inc.	
Old PSG Wind-down Ltd.	1a, 1b, 1c, 1e
Oncolytics Biotech Inc.	
ONE Financial Real Property Development Trust (2008-1)	Cease Traded
ONE Financial Real Property Income Fund (2008-1)	Cease Traded
ONE Global Equity ETF	
ONEnergy Inc.	
ONEX CORPORATION	
Ontario Power Generation Inc.	
Open Text Corporation	
Opimian California Vineyards Corporation, The	Cease Traded
Optiva Inc.	
Orange	
Orbit Garant Drilling Inc.	
Orbite Technologies Inc.	Cease Traded
Orbus Pharma Inc.	
Orca Gold Inc.	
OREX MINERALS INC.	
Orezone Gold Corporation	
Organic Research Limited Partnership	Cease Traded
OrganiGram Holdings Inc.	
Orla Mining Ltd.	
Orocobre Limited	
Orsu Metals Corporation	
ORTHO REGENERATIVE TECHNOLOGIES INC.	
Orvana Minerals Corp.	
Oryx Petroleum Corporation Limited	
OSISKO GOLD ROYALTIES LTD	
OutdoorPartner Media Corporation	
Oyster Oil and Gas Ltd.	
Pacgen Life Science Corporation	
Pacific Vector Holdings Inc.	Cease Traded
Painted Pony Energy Ltd.	
Pallet Pallet Inc.	Cease Traded
Palliser Oil & Gas Corporation	Cease Traded
Palos Equity Income Fund	
Pan American Silver Corp.	
Pan Ocean Explorations Inc.	Cease Traded
Paragon Petroleum Corporation	Cease Traded
Parallel Energy Trust	Cease Traded
Paramount Resources Ltd.	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Parex Resources Inc.	
Park Lawn Corporation	
Park Square Properties Limited Partnership	Cease Traded
Park View Place Limited Partnership	Cease Traded
Parkland Fuel Corporation	
Partners Real Estate Investment Trust	
Partners Value Investments Inc.	
Partners Value Investments LP	
Partners Value Split Corp.	
Pathfinder Income Fund	
Patriot One Technologies Inc.	
Pattern Energy Group Inc.	
PAY LINX FINANCIAL CORPORATION	Cease Traded
PC Chips Corporation	Cease Traded
PEEKS SOCIAL LTD.	
Pegasus Gold Inc.	Cease Traded
Pembina Pipeline Corporation	
Pender Canadian Opportunities Fund	
Pender Corporate Bond Fund	
Pender North American Small Cap Fund	
Pender Small Cap Opportunities Fund	
Pender Strategic Growth and Income Fund	
Pender US All Cap Equity Fund	
Pender Value Fund	
Pengrowth Energy Corporation	
Pennington'S Stores Limited	Cease Traded
Peregrine Diamonds Ltd.	
Perpetual Energy Inc.	
PERSEUS MINING LIMITED	
Pershing Gold Corporation	
PESA Corporation	
Peterson Red Lake Mines Ltd.	Cease Traded
Petro Rio S.A.	
Petro-Victory Energy Corp.	
PetroCorp Group Inc.	
PetroMaroc Corporation plc	
PetroTal Corp.	
Petrowest Corporation	1a, 1b, 1c, 1d, 1e
Peyto Exploration & Development Corp.	
PFB Corporation	
PharmaGap Inc.	Cease Traded
Phillips, Hager & North \$U.S. Money Market Fund	
Phillips, Hager & North Balanced Fund	
Phillips, Hager & North Balanced Pension Trust	
Phillips, Hager & North Bond Fund	
Phillips, Hager & North Canadian Equity Fund	
Phillips, Hager & North Canadian Equity Pension Trust	
Phillips, Hager & North Canadian Equity Plus Pension Trust	
Phillips, Hager & North Canadian Equity Underlying Fund	
Phillips, Hager & North Canadian Equity Underlying Fund II	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Phillips, Hager & North Canadian Equity Value Class	
Phillips, Hager & North Canadian Equity Value Fund	
Phillips, Hager & North Canadian Growth Fund	
Phillips, Hager & North Canadian Income Fund	
Phillips, Hager & North Canadian Money Market Fund	
Phillips, Hager & North Conservative Equity Income Fund	
Phillips, Hager & North Currency-Hedged Overseas Equity Fund	
Phillips, Hager & North Currency-Hedged U.S. Equity Fund	
Phillips, Hager & North Dividend Income Fund	
Phillips, Hager & North Global Equity Fund	
Phillips, Hager & North High Yield Bond Fund	
Phillips, Hager & North Inflation-Linked Bond Fund	
Phillips, Hager & North LifeTime 2015 Fund	
Phillips, Hager & North LifeTime 2020 Fund	
Phillips, Hager & North LifeTime 2025 Fund	
Phillips, Hager & North LifeTime 2030 Fund	
Phillips, Hager & North LifeTime 2035 Fund	
Phillips, Hager & North LifeTime 2040 Fund	
Phillips, Hager & North LifeTime 2045 Fund	
Phillips, Hager & North LifeTime 2050 Fund	
Phillips, Hager & North Long Inflation-linked Bond Fund	
Phillips, Hager & North Monthly Income Class	
Phillips, Hager & North Monthly Income Fund	
Phillips, Hager & North Overseas Equity Class	
Phillips, Hager & North Overseas Equity Fund	
Phillips, Hager & North Short Term Bond & Mortgage Fund	
Phillips, Hager & North Small Float Fund	
Phillips, Hager & North Total Return Bond Fund	
Phillips, Hager & North U.S. Dividend Income Fund	
Phillips, Hager & North U.S. Equity Fund	
Phillips, Hager & North U.S. Growth Fund	
Phillips, Hager & North U.S. Multi-Style All-Cap Equity Class	
Phillips, Hager & North U.S. Multi-Style All-Cap Equity Fund	
Phillips, Hager & North Vintage Fund	
Phoenix Capital Income Trust	Cease Traded
Phoenix Hedge Fund Limited Partnership, The	Cease Traded
PHX Energy Services Corp.	
Picton Mahoney Fortified Equity Fund	
Picton Mahoney Fortified Income Fund	
Picton Mahoney Fortified Multi-Asset Fund	
Picton Mahoney Tactical Income Fund	
PIMCO Balanced Income Fund (Canada)	
PIMCO Canadian Total Return Bond Fund	
PIMCO Flexible Global Bond Fund (Canada)	
PIMCO Global Income Opportunities Fund	
PIMCO Investment Grade Credit Fund (Canada)	
PIMCO Monthly Income Fund (Canada)	
PIMCO Unconstrained Bond Fund (Canada)	
Pine Cliff Energy Ltd.	
PineBridge Investment Grade Preferred Securities Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Pinnacle Balanced Portfolio	
Pinnacle Growth Portfolio	
Pinnacle Income Portfolio	
Pinnacle Renewable Holdings Inc.	
Pizza Pizza Royalty Corp.	
Plaintree Systems Inc.	
Platinum Group Metals Ltd.	
Plato Gold Corp.	
Plaza Retail REIT	
POET Technologies Inc.	
Point Loma Resources Ltd.	
Points International Ltd.	
Polaris Infrastructure Inc.	
Pollard Banknote Limited	
Ponderosa Fund	Cease Traded
Pool Safe Inc.	
Portex Minerals Inc.	Cease Traded
Portfolio Series Balanced Fund	
Portfolio Series Balanced Growth Fund	
Portfolio Series Conservative Balanced Fund	
Portfolio Series Conservative Fund	
Portfolio Series Growth Fund	
Portfolio Series Income Fund	
Portfolio Series Maximum Growth Fund	
Portland 15 of 15 Fund	
Portland Advantage Fund	
Portland Canadian Balanced Fund	
Portland Canadian Focused Fund	
Portland Global Banks Fund	
Portland Global Dividend Fund	
Portland Global Income Fund	
Portland Value Fund	
Porto Energy Corp.	Cease Traded
Poseidon Concepts Corp.	1a, 1b, 1c, 1e
Posera Ltd.	
Postmedia Network Canada Corp.	
Potash One Inc.	Cease Traded
Power Corporation of Canada	
Power Financial Corporation	
PowerShares 1-10 Year Laddered Investment Grade Corporate Bond Index ETF	
PowerShares 1-3 Year Laddered Floating Rate Note Index ETF	
PowerShares 1-5 Year Laddered All Government Bond Index ETF	
PowerShares 1-5 Year Laddered Corporate Bond Index Fund	
PowerShares 1-5 Year Laddered Investment Grade Corporate Bond Index ETF	
PowerShares Canadian Dividend Index Class	
PowerShares Canadian Dividend Index ETF	
PowerShares Canadian Low Volatility Index Class	
PowerShares Canadian Preferred Share Index Class	
PowerShares Canadian Preferred Share Index ETF	
PowerShares DWA Global Momentum Index ETF	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
PowerShares FTSE RAFI Canadian Fundamental Index Class	
PowerShares FTSE RAFI Canadian Fundamental Index ETF	
PowerShares FTSE RAFI Canadian Small-Mid Fundamental Index ETF	
PowerShares FTSE RAFI Emerging Markets Fundamental Class	
PowerShares FTSE RAFI Global Small-Mid Fundamental ETF	
PowerShares FTSE RAFI Global+ Fundamental Fund	
PowerShares FTSE RAFI Global+ Fundamental Index ETF	
PowerShares FTSE RAFI U.S. Fundamental Fund	
PowerShares FTSE RAFI U.S. Fundamental Index ETF	
PowerShares FTSE RAFI U.S. Fundamental Index ETF II	
PowerShares Fundamental High Yield Corporate Bond Index ETF	
PowerShares Global Dividend Achievers Fund	
PowerShares Global Shareholder Yield ETF	
PowerShares High Yield Corporate Bond Index Fund	
PowerShares LadderRite U.S. 0-5 Year Corporate Bond Index ETF	
PowerShares Low Volatility Portfolio ETF	
PowerShares QQQ Index ETF	
PowerShares Real Return Bond Index Fund	
PowerShares S&P 500 High Dividend Low Volatility Index ETF	
PowerShares S&P 500 Low Volatility Index ETF	
PowerShares S&P Emerging Markets Low Volatility Index ETF	
PowerShares S&P Global ex. Canada High Dividend Low Volatility Index ETF	
PowerShares S&P International Developed Low Volatility Index ETF	
PowerShares S&P/TSX Composite Low Volatility Index ETF	
PowerShares S&P/TSX REIT Income Index ETF	
PowerShares Senior Loan Index ETF	
PowerShares Tactical Bond ETF	
PowerShares Tactical Bond Fund	
PowerShares U.S. Low Volatility Index Fund	
PowerShares Ultra Liquid Long Term Government Bond Index ETF	
Poynt Corporation	Cease Traded
PPOA Holding, Inc.	Cease Traded
PPX Mining Corp.	
Prairie Provident Resources Inc.	
PrairieSky Royalty Ltd.	
Precious Metals and Mining Trust	
Precision Assessment Technology Corporation	Cease Traded
Precision Drilling Corporation	
Preferred Dental Technologies Inc.	
Premier Diversified Holdings Inc.	
Premier Gold Mines Limited	
Premium Brands Holdings Corporation	
Premium Income Corporation	
Preo Software Inc.	Cease Traded
Pretium Resources Inc.	
Prime Dividend Corp.	
Primerica Balanced Yield Fund	
Primerica Canadian Balanced Growth Fund	
Primerica Canadian Money Market Fund	
Primerica Global Balanced Growth Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Primerica Global Equity Fund	
Primerica Income Fund	
PrimeWest Mortgage Investment Corporation	
Printera Corporation	Cease Traded
Priszm Income Fund	Cease Traded
Priviti Oil & Gas Opportunities Limited Partnership 2013	
Pro Real Estate Investment Trust	
Probe Exploration Inc.	Cease Traded
Production Enhancement Group, Inc.	Cease Traded
Profound Medical Corp.	
Prometic Life Sciences Inc.	
Providence Gold Mines Inc.	
Pulse Seismic Inc.	
Puma Exploration Inc.	
Pure Multi-Family REIT LP	
Purpose Alternative Strategies Fund	
Purpose Alternative Yield Fund	
Purpose Behavioural Opportunities Fund	
Purpose Best Ideas Fund	
Purpose Canadian Equity Growth Fund	
Purpose Canadian Financial Income Fund	
Purpose Canadian Income Growth Fund	
Purpose Conservative Income Fund	
Purpose Core Dividend Fund	
Purpose Core Equity Income Fund	
Purpose Diversified Real Asset Fund	
Purpose Duration Hedged Real Estate Fund	
Purpose Enhanced Dividend Fund	
Purpose Enhanced US Equity Fund	
Purpose Floating Rate Income Fund	
Purpose Global Financials Income Fund	
Purpose Global Innovators Fund	
Purpose Global Resource Fund	
Purpose High Interest Savings ETF	
Purpose International Dividend Fund	
Purpose International Tactical Hedged Equity Fund	
Purpose Managed Duration Investment Grade Bond Fund	
Purpose MLP & Infrastructure Income Fund	
Purpose Monthly Income Fund	
Purpose Multi-Asset Income Fund	
Purpose Multi-Strategy Market Neutral Fund	
Purpose Pension Portfolio Fund	
Purpose Premium Money Market Fund	
Purpose Premium Yield Fund	
Purpose Short Duration Tactical Bond Fund	
Purpose Special Opportunities Fund	
Purpose Strategic Yield Fund	
Purpose Tactical Asset Allocation Fund	
Purpose Tactical Bond Fund	
Purpose Tactical Hedged Equity Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Purpose Tactical Investment Grade Bond Fund	
Purpose Total Return Bond Fund	
Purpose US Cash ETF	
Purpose US Dividend Fund	
Purpose US Preferred Share Fund	
PYROGENESIS CANADA INC.	
Q-Entertainment Inc.	Cease Traded
QMX Gold Corporation	
Quad/Graphics, Inc.	
Quantum International Income Corp.	
Quarry Oaks Limited Partnership	Cease Traded
Quarterhill Inc.	
Quebecor Inc.	
Queensway Financial Holdings Limited	Cease Traded
Questerre Energy Corporation	
Quorum Expansion Capital Fund Inc.	1a, 1b, 1c
Qwest Energy Canadian Resource Class	
Radiant Health Care Inc.	
Raging River Exploration Inc.	
Rambler Metals and Mining plc	
Randgold Resources Limited	
Rare Element Resources Ltd.	
RavenSource Fund	
Rayonier Advanced Materials Inc.	
RB Energy Inc.	Cease Traded
RBC \$U.S. High Yield Bond Fund	
RBC \$U.S. Investment Grade Corporate Bond Fund	
RBC \$U.S. Money Market Fund	
RBC \$U.S. Short Term Income Class	
RBC \$U.S. Short-Term Corporate Bond Fund	
RBC \$U.S. Strategic Income Bond Fund	
RBC 1-5 Year Laddered Canadian Bond ETF	
RBC 1-5 Year Laddered Corporate Bond ETF	
RBC 6-10 Year Laddered Canadian Corporate Bond ETF	
RBC Asia Pacific ex-Japan Equity Fund	
RBC Asian Equity Fund	
RBC Balanced Fund	
RBC Balanced Growth & Income Class	
RBC Balanced Growth & Income Fund	
RBC BlueBay Global Diversified Income (CAD Hedged) ETF	
RBC Bond Fund	
RBC Canadian Bank Yield Index ETF	
RBC Canadian Bond Index ETF	
RBC Canadian Bond Index Fund	
RBC Canadian Dividend Class	
RBC Canadian Dividend Fund	
RBC Canadian Equity Class	
RBC Canadian Equity Fund	
RBC Canadian Equity Income Class	
RBC Canadian Equity Income Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
RBC Canadian Equity Index ETF	
RBC Canadian Government Bond Index Fund	
RBC Canadian Index Fund	
RBC Canadian Mid-Cap Equity Class	
RBC Canadian Money Market Fund	
RBC Canadian Preferred Share ETF	
RBC Canadian Short Term Bond Index ETF	
RBC Canadian Short-Term Income Fund	
RBC Canadian Small & Mid-Cap Resources Fund	
RBC Canadian T-Bill Fund	
RBC Capital Trust	
RBC Conservative Bond Pool	
RBC Conservative Growth & Income Fund	
RBC Core Bond Pool	
RBC Core Plus Bond Pool	
RBC Emerging Markets Bond Fund	
RBC Emerging Markets Bond Fund (CAD Hedged)	
RBC Emerging Markets Dividend Fund	
RBC Emerging Markets Equity Class	
RBC Emerging Markets Equity Fund	
RBC Emerging Markets Equity Index ETF	
RBC Emerging Markets Foreign Exchange Fund	
RBC Emerging Markets Multi-Strategy Equity Fund	
RBC Emerging Markets Small-Cap Equity Fund	
RBC European Dividend Fund	
RBC European Equity Class	
RBC European Equity Fund	
RBC European Mid-Cap Equity Fund	
RBC Global Balanced Fund	
RBC Global Bond & Currency Fund	
RBC Global Bond Fund	
RBC Global Corporate Bond Fund	
RBC Global Dividend Growth Currency Neutral Fund	
RBC Global Dividend Growth Fund	
RBC Global Energy Fund	
RBC Global Equity Class	
RBC Global Equity Focus Currency Neutral Fund	
RBC Global Equity Focus Fund	
RBC Global Equity Fund	
RBC Global Government Bond (CAD Hedged) Index ETF	
RBC Global Growth & Income Fund	
RBC Global High Yield Bond Fund	
RBC Global Precious Metals Fund	
RBC Global Resources Class	
RBC Global Resources Fund	
RBC Global Technology Fund	
RBC High Yield Bond Fund	
RBC International Dividend Growth Fund	
RBC International Equity (CAD Hedged) Index ETF	
RBC International Equity Class	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
RBC International Equity Currency Neutral Fund	
RBC International Equity Fund	
RBC International Equity Index ETF	
RBC International Index Currency Neutral Fund	
RBC Japanese Equity Fund	
RBC Life Science and Technology Fund	
RBC Managed Payout Solution	
RBC Managed Payout Solution - Enhanced	
RBC Managed Payout Solution - Enhanced Plus	
RBC Monthly Income Bond Fund	
RBC Monthly Income Fund	
RBC North American Growth Fund	
RBC North American Value Class	
RBC North American Value Fund	
RBC O'Shaughnessy All-Canadian Equity Fund	
RBC O'Shaughnessy Canadian Equity Fund	
RBC O'Shaughnessy Global Equity Fund	
RBC O'Shaughnessy International Equity Fund	
RBC O'Shaughnessy U.S. Growth Fund	
RBC O'Shaughnessy U.S. Growth Fund II	
RBC O'Shaughnessy U.S. Value Fund	
RBC O'Shaughnessy U.S. Value Fund (Unhedged)	
RBC PH&N Short Term Canadian Bond ETF	
RBC Premium \$U.S. Money Market Fund	
RBC Premium Money Market Fund	
RBC Private Canadian Corporate Bond Pool	
RBC Private Canadian Dividend Pool	
RBC Private Canadian Equity Pool	
RBC Private Canadian Growth and Income Equity Pool	
RBC Private Canadian Growth Equity Pool	
RBC Private Canadian Mid-Cap Equity Pool	
RBC Private EAFE Equity Pool	
RBC Private Income Pool	
RBC Private Overseas Equity Pool	
RBC Private Short-Term Income Pool	
RBC Private U.S. Growth Equity Pool	
RBC Private U.S. Large-Cap Core Equity Currency Neutral Pool	
RBC Private U.S. Large-Cap Core Equity Pool	
RBC Private U.S. Large-Cap Value Equity Currency Neutral Pool	
RBC Private U.S. Large-Cap Value Equity Pool	
RBC Private U.S. Small-Cap Equity Pool	
RBC Private World Equity Pool	
RBC Quant Canadian Dividend Leaders ETF	
RBC Quant Canadian Equity Leaders ETF	
RBC Quant EAFE Dividend Leaders (CAD Hedged) ETF	
RBC Quant EAFE Dividend Leaders ETF	
RBC Quant EAFE Equity Leaders (CAD Hedged) ETF	
RBC Quant EAFE Equity Leaders ETF	
RBC Quant Emerging Markets Dividend Leaders ETF	
RBC Quant Emerging Markets Equity Leaders ETF	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
RBC Quant European Dividend Leaders (CAD Hedged) ETF	
RBC Quant European Dividend Leaders ETF	
RBC Quant Global Infrastructure Leaders ETF	
RBC Quant Global Real Estate Leaders ETF	
RBC Quant U.S. Dividend Leaders (CAD Hedged) ETF	
RBC Quant U.S. Dividend Leaders ETF	
RBC Quant U.S. Equity Leaders (CAD Hedged) ETF	
RBC Quant U.S. Equity Leaders ETF	
RBC QUBE All Country World Equity Fund	
RBC QUBE Canadian Equity Fund	
RBC QUBE Global Equity Fund	
RBC QUBE Low Volatility All Country World Equity Fund	
RBC QUBE Low Volatility Canadian Equity Class	
RBC QUBE Low Volatility Canadian Equity Fund	
RBC QUBE Low Volatility Global Equity Class	
RBC QUBE Low Volatility Global Equity Fund	
RBC QUBE Low Volatility Global Equity Currency Neutral Fund	
RBC QUBE Low Volatility U.S. Equity Class	
RBC QUBE Low Volatility U.S. Equity Currency Neutral Fund	
RBC QUBE Low Volatility U.S. Equity Fund	
RBC QUBE U.S. Equity Fund	
RBC Retirement 2020 Portfolio	
RBC Retirement 2025 Portfolio	
RBC Retirement 2030 Portfolio	
RBC Retirement 2035 Portfolio	
RBC Retirement 2040 Portfolio	
RBC Retirement 2045 Portfolio	
RBC Retirement 2050 Portfolio	
RBC Retirement Income Solution	
RBC Select Aggressive Growth Portfolio	
RBC Select Balanced Portfolio	
RBC Select Choices Aggressive Growth Portfolio	
RBC Select Choices Balanced Portfolio	
RBC Select Choices Conservative Portfolio	
RBC Select Choices Growth Portfolio	
RBC Select Conservative Portfolio	
RBC Select Growth Portfolio	
RBC Select Very Conservative Portfolio	
RBC Short Term Income Class	
RBC Short Term U.S. Corporate Bond ETF	
RBC Strategic Global Dividend Leaders ETF	
RBC Strategic Global Equity Leaders ETF	
RBC Strategic Income Bond Fund	
RBC Target 2018 Corporate Bond Index ETF	
RBC Target 2019 Corporate Bond Index ETF	
RBC Target 2020 Corporate Bond Index ETF	
RBC Target 2020 Education Fund	
RBC Target 2021 Corporate Bond Index ETF	
RBC Target 2022 Corporate Bond Index ETF	
RBC Target 2023 Corporate Bond Index ETF	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
RBC Target 2025 Education Fund	
RBC Target 2030 Education Fund	
RBC Target 2035 Education Fund	
RBC Trend Canadian Equity Fund	
RBC U.S. Banks Yield (CAD Hedged) Index ETF	
RBC U.S. Banks Yield Index ETF	
RBC U.S. Dividend Class	
RBC U.S. Dividend Currency Neutral Fund	
RBC U.S. Dividend Fund	
RBC U.S. Equity (CAD Hedged) Index ETF	
RBC U.S. Equity Class	
RBC U.S. Equity Currency Neutral Fund	
RBC U.S. Equity Fund	
RBC U.S. Equity Index ETF	
RBC U.S. Equity Value Class	
RBC U.S. Equity Value Fund	
RBC U.S. Index Currency Neutral Fund	
RBC U.S. Index Fund	
RBC U.S. Mid-Cap Growth Equity Currency Neutral Fund	
RBC U.S. Mid-Cap Growth Equity Fund	
RBC U.S. Mid-Cap Value Equity Class	
RBC U.S. Mid-Cap Value Equity Fund	
RBC U.S. Monthly Income Fund	
RBC U.S. Small-Cap Core Equity Class	
RBC U.S. Small-Cap Core Equity Fund	
RBC U.S. Small-Cap Value Equity Fund	
RBC Vision Balanced Fund	
RBC Vision Bond Fund	
RBC Vision Canadian Equity Fund	
RBC Vision Fossil Fuel Free Global Equity Fund	
RBC Vision Global Equity Fund	
RBC Vision Women's Leadership MSCI Canada Index ETF	
RDX Technologies Corporation	Cease Traded
Rea Gold Corporation	Cease Traded
Real Asset Income and Growth Fund	
Real del Monte Mining Corporation	Cease Traded
Real Estate Asset Liquidity Trust	
Real Estate Investment Corporate Class	
Real Estate Investment Pool	
Real Matters Inc.	
Real Return Bond Fund	
Real Return Bond Fund (Portico)	
REALnorth Opportunities Fund	
Recipe Unlimited Corporation	
Red Eagle Mining Corporation	
Red Pine Exploration Inc.	
Red River Capital Corp.	
Redcorp Ventures Ltd.	Cease Traded
Redekop Properties Inc.	Cease Traded
Redline Communications Group Inc.	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Redwood Canadian Preferred Share Fund	
Redwood Emerging Markets Dividend Fund	
Redwood Energy Credit Fund	
Redwood Global Infrastructure Income Fund	
Redwood Global Total Return Bond Portfolio	
Redwood Money Market Fund	
Redwood Unconstrained Bond Class	
Redwood Unconstrained Bond Fund	
Reef Resources Ltd.	Cease Traded
Regency Gold Corp.	
Regional Resources Ltd.	Cease Traded
REIT INDEXPLUS Income Fund	
Reko International Group Inc.	
Renaissance Canadian All-Cap Equity Fund	
Renaissance Canadian Balanced Fund	
Renaissance Canadian Bond Fund	
Renaissance Canadian Core Value Fund	
Renaissance Canadian Dividend Fund	
Renaissance Canadian Equity Private Pool	
Renaissance Canadian Fixed Income Private Pool	
Renaissance Canadian Growth Fund	
Renaissance Canadian Monthly Income Fund	
Renaissance Canadian Small-Cap Fund	
Renaissance Canadian T-Bill Fund	
Renaissance Capital Manitoba Ventures Fund Limited Partnership	Cease Traded
Renaissance China Plus Fund	
Renaissance Corporate Bond Fund	
Renaissance Diversified Income Fund	
Renaissance Emerging Markets Equity Private Pool	
Renaissance Emerging Markets Fund	
Renaissance Equity Income Private Pool	
Renaissance Flexible Yield Fund	
Renaissance Floating Rate Income Fund	
Renaissance Global Bond Fund	
Renaissance Global Bond Private Pool	
Renaissance Global Equity Private Pool	
Renaissance Global Focus Currency Neutral Fund	
Renaissance Global Focus Fund	
Renaissance Global Growth Currency Neutral Fund	
Renaissance Global Growth Fund	
Renaissance Global Health Care Fund	
Renaissance Global Infrastructure Currency Neutral Fund	
Renaissance Global Infrastructure Fund	
Renaissance Global Markets Fund	
Renaissance Global Real Estate Currency Neutral Fund	
Renaissance Global Real Estate Fund	
Renaissance Global Resource Fund	
Renaissance Global Science & Technology Fund	
Renaissance Global Small-Cap Fund	
Renaissance Global Value Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Renaissance High Income Fund	
Renaissance High-Yield Bond Fund	
Renaissance International Dividend Fund	
Renaissance International Equity Currency Neutral Fund	
Renaissance International Equity Fund	
Renaissance International Equity Private Pool	
Renaissance Money Market Fund	
Renaissance Multi-Asset Global Balanced Income Private Pool	
Renaissance Multi-Asset Global Balanced Private Pool	
Renaissance Multi-Sector Fixed Income Private Pool	
Renaissance Optimal Conservative Income Portfolio	
Renaissance Optimal Global Equity Currency Neutral Portfolio	
Renaissance Optimal Global Equity Portfolio	
Renaissance Optimal Growth & Income Portfolio	
Renaissance Optimal Income Portfolio	
Renaissance Optimal Inflation Opportunities Portfolio	
Renaissance Real Assets Private Pool	
Renaissance Real Return Bond Fund	
Renaissance Short-Term Income Fund	
Renaissance U.S. Dollar Corporate Bond Fund	
Renaissance U.S. Dollar Diversified Income Fund	
Renaissance U.S. Equity Currency Neutral Private Pool	
Renaissance U.S. Equity Fund	
Renaissance U.S. Equity Growth Currency Neutral Fund	
Renaissance U.S. Equity Growth Fund	
Renaissance U.S. Equity Income Fund	
Renaissance U.S. Equity Private Pool	
Renaissance U.S. Equity Value Fund	
Renaissance U.S. Money Market Fund	
Renaissance Ultra Short-Term Income Private Pool	
Rescom Ventures Inc.	Cease Traded
Resolute Forest Products Inc.	
Restaurant Brands International Inc.	
Restaurant Brands International Limited Partnership	
Resverlogix Corp.	
Return Energy Inc.	
Revive Therapeutics Ltd.	
Rex Opportunity Corp.	
Richards Packaging Income Fund	
Richelieu Hardware Ltd.	
Richtree Inc.	Cease Traded
Ridge Land Properties Limited Partnership	Cease Traded
Ridgewood Canadian Bond Fund	
Ridgewood Canadian Investment Grade Bond Fund	
Ridgewood Gardens Limited Partnership	Cease Traded
Ridgewood Tactical Yield Fund	
Rio2 Limited	
RIOCAN REAL ESTATE INVESTMENT TRUST	
RISE Life Science Corp.	
Ritchie Bros. Auctioneers Incorporated	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
River Park Estates Partnership	Cease Traded
Rivergate Estates Phase I Limited Partnership	
Rivergate Estates Phase II Limited Partnership	
Rivergate Estates Phase III Limited Partnership	
Robson Petroleum Ltd.	Cease Traded
Rockcliff Metals Corporation	
Rocky Mountain Dealerships Inc.	
Rocky Mountain Liquor Inc.	
Rodinia Oil Corp.	Cease Traded
Rogers Associate Financial Partners Inc.	Cease Traded
Rogers Communications Inc.	
Rogers Sugar Inc.	
Rokmaster Resources Corp.	
Roman Corporation Limited	1a, 1b, 1c, 1d, 1e
ROMC Trust	
Roots Corporation	
Roxgold Inc	
Royal Bank of Canada	
Royal Canadian Mint	
Royal Coal Corp.	Cease Traded
Royal Gold, Inc.	
Royal Nickel Corporation	
RP Strategic Income Plus Fund	
Rubicon Minerals Corporation	
Russel Metals Inc.	
Russell Breweries Inc.	
Russell Investments Balanced	
Russell Investments Balanced Class	
Russell Investments Balanced Growth	
Russell Investments Balanced Growth Class	
Russell Investments Canadian Cash Fund	
Russell Investments Canadian Dividend Class	
Russell Investments Canadian Dividend Pool	
Russell Investments Canadian Equity Class	
Russell Investments Canadian Equity Fund	
Russell Investments Canadian Equity Pool	
Russell Investments Canadian Fixed Income Fund	
Russell Investments Conservative Income	
Russell Investments Conservative Income Class	
Russell Investments Diversified Monthly Income	
Russell Investments Diversified Monthly Income Class	
Russell Investments Emerging Markets Equity Class	
Russell Investments Emerging Markets Equity Pool	
Russell Investments ESG Global Equity Fund	
Russell Investments Fixed Income Class	
Russell Investments Fixed Income Pool	
Russell Investments Focused Canadian Equity Class	
Russell Investments Focused Canadian Equity Pool	
Russell Investments Focused Global Equity Class	
Russell Investments Focused Global Equity Pool	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Russell Investments Focused US Equity Class	
Russell Investments Focused US Equity Pool	
Russell Investments Global Equity Class	
Russell Investments Global Equity Fund	
Russell Investments Global Equity Pool	
Russell Investments Global High Income Bond Class	
Russell Investments Global High Income Bond Pool	
Russell Investments Global Infrastructure Class	
Russell Investments Global Infrastructure Pool	
Russell Investments Global Real Estate Pool	
Russell Investments Global Smaller Companies Class	
Russell Investments Global Smaller Companies Pool	
Russell Investments Global Unconstrained Bond Class	
Russell Investments Global Unconstrained Bond Pool	
Russell Investments Income Essentials	
Russell Investments Income Essentials Class	
Russell Investments Inflation Linked Bond Fund	
Russell Investments Long-Term Growth	
Russell Investments Long-Term Growth Class	
Russell Investments Money Market Class	
Russell Investments Money Market Pool	
Russell Investments Multi-Factor Canadian Equity Pool	
Russell Investments Multi-Factor International Equity Pool	
Russell Investments Multi-Factor US Equity Pool	
Russell Investments Overseas Equity Class	
Russell Investments Overseas Equity Fund	
Russell Investments Overseas Equity Pool	
Russell Investments Real Assets	
Russell Investments Short Term Income Class	
Russell Investments Short Term Income Pool	
Russell Investments US Equity Class	
Russell Investments US Equity Fund	
Russell Investments US Equity Pool	
RYU Apparel Inc.	
S Split Corp.	
Sabina Gold & Silver Corp.	
Saifish Royalty Corp.	
Samco Gold Limited	
Samena Resources Corp.	Cease Traded
Sanatana Resources Inc.	
Sandspring Resources Ltd.	
SANDSTORM GOLD LTD.	
Sandy Lake Gold Inc.	
Santacruz Silver Mining Ltd.	
Saputo Inc.	
Sarama Resources Ltd.	
Saratoga Limited Partnership, The	Cease Traded
Sarment Holding Limited	
Saskatoon Square Limited Partnership	Cease Traded
Saskatoon Tower	Cease Traded

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Saturn (Solutions) Inc.	Cease Traded
Savaria Corporation	
Scaffold Connection Corporation	Cease Traded
Scotia Aria Conservative Build Portfolio	
Scotia Aria Conservative Defend Portfolio	
Scotia Aria Conservative Pay Portfolio	
Scotia Aria Moderate Build Portfolio	
Scotia Aria Moderate Defend Portfolio	
Scotia Aria Moderate Pay Portfolio	
Scotia Aria Progressive Build Portfolio	
Scotia Aria Progressive Defend Portfolio	
Scotia Aria Progressive Pay Portfolio	
Scotia Balanced Opportunities Fund	
Scotia Bond Fund	
Scotia Canadian Balanced Fund	
Scotia Canadian Blue Chip Fund	
Scotia Canadian Bond Index Fund	
Scotia Canadian Dividend Class	
Scotia Canadian Dividend Fund	
Scotia Canadian Equity Blend Class	
Scotia Canadian Growth Fund	
Scotia Canadian Income Fund	
Scotia Canadian Index Fund	
Scotia Canadian Small Cap Fund	
Scotia CanAm Index Fund	
Scotia Conservative Government Bond Capital Yield Class	
Scotia Conservative Income Fund	
Scotia Diversified Monthly Income Fund	
Scotia Dividend Balanced Fund	
Scotia European Fund	
Scotia Fixed Income Blend Class	
Scotia Floating Rate Income Fund	
Scotia Global Balanced Fund	
Scotia Global Bond Fund	
Scotia Global Dividend Class	
Scotia Global Dividend Fund	
Scotia Global Growth Fund	
Scotia Global Opportunities Fund	
Scotia Global Small Cap Fund	
Scotia Income Advantage Fund	
Scotia INNOVA Balanced Growth Portfolio	
Scotia INNOVA Balanced Growth Portfolio Class	
Scotia INNOVA Balanced Income Portfolio	
Scotia INNOVA Balanced Income Portfolio Class	
Scotia INNOVA Growth Portfolio	
Scotia INNOVA Growth Portfolio Class	
Scotia INNOVA Income Portfolio	
Scotia INNOVA Income Portfolio Class	
Scotia INNOVA Maximum Growth Portfolio	
Scotia INNOVA Maximum Growth Portfolio Class	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Scotia International Equity Blend Class	
Scotia International Index Fund	
Scotia International Value Fund	
Scotia Latin American Fund	
Scotia Money Market Fund	
Scotia Mortgage Income Fund	
Scotia Nasdaq Index Fund	
Scotia Pacific Rim Fund	
Scotia Partners Balanced Growth Portfolio	
Scotia Partners Balanced Growth Portfolio Class	
Scotia Partners Balanced Income Portfolio	
Scotia Partners Balanced Income Portfolio Class	
Scotia Partners Growth Portfolio	
Scotia Partners Growth Portfolio Class	
Scotia Partners Income Portfolio	
Scotia Partners Maximum Growth Portfolio	
Scotia Partners Maximum Growth Portfolio Class	
Scotia Premium T-Bill Fund	
Scotia Private American Core-Plus Bond Pool	
Scotia Private Canadian All Cap Equity Pool	
Scotia Private Canadian Corporate Bond Pool	
Scotia Private Canadian Equity Pool	
Scotia Private Canadian Growth Pool	
Scotia Private Canadian Mid Cap Pool	
Scotia Private Canadian Preferred Share Pool	
Scotia Private Canadian Small Cap Pool	
Scotia Private Canadian Value Pool	
Scotia Private Emerging Markets Pool	
Scotia Private Fundamental Canadian Equity Pool	
Scotia Private Global Credit Pool	
Scotia Private Global Equity Pool	
Scotia Private Global High Yield Pool	
Scotia Private Global Infrastructure Pool	
Scotia Private Global Low Volatility Equity Pool	
Scotia Private Global Real Estate Pool	
Scotia Private High Yield Income Pool	
Scotia Private Income Pool	
Scotia Private International Core Equity Pool	
Scotia Private International Equity Pool	
Scotia Private International Small to Mid Cap Value Pool	
Scotia Private North American Dividend Pool	
Scotia Private Options Income Pool	
Scotia Private Real Estate Income Pool	
Scotia Private Short Term Income Pool	
Scotia Private Short-Mid Government Bond Pool	
Scotia Private Strategic Balanced Pool	
Scotia Private Total Return Bond Pool	
Scotia Private U.S. Dividend Pool	
Scotia Private U.S. Large Cap Growth Pool	
Scotia Private U.S. Mid Cap Value Pool	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Scotia Private U.S. Value Pool	
Scotia Resource Fund	
Scotia Schools Trust	1a, 1b, 1c, 1e
Scotia Selected Balanced Growth Portfolio	
Scotia Selected Balanced Income Portfolio	
Scotia Selected Growth Portfolio	
Scotia Selected Income Portfolio	
Scotia Selected Maximum Growth Portfolio	
Scotia Short Term Bond Fund	
Scotia Strategic Canadian Equity ETF Portfolio	
Scotia Strategic Fixed Income ETF Portfolio	
Scotia Strategic International Equity ETF Portfolio	
Scotia Strategic U.S. Equity ETF Portfolio	
Scotia T-Bill Fund	
Scotia U.S. \$ Balanced Fund	
Scotia U.S. \$ Bond Fund	
Scotia U.S. \$ Money Market Fund	
Scotia U.S. Blue Chip Fund	
Scotia U.S. Dividend Fund	
Scotia U.S. Equity Blend Class	
Scotia U.S. Index Fund	
Scotia U.S. Opportunities Fund	
Scotiabank Capital Trust	
Scotiabank Tier 1 Trust	
SDX Energy Inc.	
Seabridge Gold Inc.	
Sears Canada Inc.	Cease Traded
Second Cup Ltd., The	
Secure Energy Services Inc.	
Sedibelo Platinum Mines Limited	Cease Traded
Seil Acquisition Corporation	Cease Traded
Select 100e Managed Portfolio Corporate Class	
Select 20i80e Managed Portfolio Corporate Class	
Select 30i70e Managed Portfolio Corporate Class	
Select 40i60e Managed Portfolio Corporate Class	
Select 50i50e Managed Portfolio Corporate Class	
Select 60i40e Managed Portfolio Corporate Class	
Select 70i30e Managed Portfolio Corporate Class	
Select 80i20e Managed Portfolio Corporate Class	
Select Canadian Equity Managed Corporate Class	
Select Canadian Equity Managed Fund	
Select Income Managed Corporate Class	
Select International Equity Managed Corporate Class	
Select International Equity Managed Fund	
Select Staging Fund	
Select U.S Equity Managed Fund	
Select U.S. Equity Managed Corporate Class	
SemBioSys Genetics Inc.	Cease Traded
Semi-Tech Corporation	Cease Traded
Sendero Mining Corp.	Cease Traded

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Senior Secured Floating Rate Loan Fund	
Sentry All Cap Income Fund	
Sentry Alternative Asset Income Fund	
Sentry Balanced Income Portfolio	
Sentry Balanced Yield Private Pool Class	
Sentry Canadian Bond Fund	
Sentry Canadian Core Fixed Income Private Trust	
Sentry Canadian Equity Income Private Pool Class	
Sentry Canadian Equity Income Private Trust	
Sentry Canadian Fixed Income Private Pool	
Sentry Canadian Income Class	
Sentry Canadian Income Fund	
Sentry Conservative Balanced Income Class	
Sentry Conservative Balanced Income Fund	
Sentry Conservative Income Portfolio	
Sentry Conservative Monthly Income Fund	
Sentry Corporate Bond Class	
Sentry Corporate Bond Fund	
Sentry Defensive Income Portfolio	
Sentry Diversified Equity Class	
Sentry Diversified Equity Fund	
Sentry Energy Fund	
Sentry Energy Private Trust	
Sentry Global Balanced Yield Private Pool Class	
Sentry Global Core Fixed Income Private Trust	
Sentry Global Equity Income Private Pool Class	
Sentry Global Growth and Income Class	
Sentry Global Growth and Income Fund	
Sentry Global High Yield Bond Class	
Sentry Global High Yield Bond Fund	
Sentry Global High Yield Fixed Income Private Trust	
Sentry Global Infrastructure Fund	
Sentry Global Infrastructure Private Trust	
Sentry Global Investment Grade Private Pool Class	
Sentry Global Mid Cap Income Fund	
Sentry Global Monthly Income Fund	
Sentry Global Real Estate Private Trust	
Sentry Global REIT Class	
Sentry Global REIT Fund	
Sentry Global Tactical Fixed Income Private Pool	
Sentry Growth and Income Fund	
Sentry Growth and Income Portfolio	
Sentry Growth Portfolio	
Sentry International Equity Income Private Pool Class	
Sentry International Equity Income Private Trust	
Sentry Money Market Class	
Sentry Money Market Fund	
Sentry Precious Metals Class	
Sentry Precious Metals Fund	
Sentry Precious Metals Private Trust	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Sentry Real Growth Pool Class	
Sentry Real Income 1941-45 Class	
Sentry Real Income 1946-50 Class	
Sentry Real Income 1951-55 Class	
Sentry Real Long Term Income Pool Class	
Sentry Real Long Term Income Trust	
Sentry Real Mid Term Income Pool Class	
Sentry Real Mid Term Income Trust	
Sentry Real Short Term Income Pool Class	
Sentry Real Short Term Income Trust	
Sentry Resource Opportunities Class	
Sentry Select Primary Metals Corp.	
Sentry Small/Mid Cap Income Class	
Sentry Small/Mid Cap Income Fund	
Sentry U.S. Equity Income Currency Neutral Private Pool Class	
Sentry U.S. Equity Income Private Pool Class	
Sentry U.S. Equity Income Private Trust	
Sentry U.S. Growth and Income Class	
Sentry U.S. Growth and Income Currency Neutral Class	
Sentry U.S. Growth and Income Fund	
Sentry U.S. Monthly Income Fund	
Serica Energy PLC	
Serinus Energy plc	
Sernova Corp.	
Serval Integrated Energy Services	Cease Traded
Seven Evergreen Apartment Project	Cease Traded
Seven Generations Energy Ltd.	
Seven Seas Petroleum Inc.	Cease Traded
ShaMaran Petroleum Corp.	
Sharpe Resources Corporation	Cease Traded
SHAW COMMUNICATIONS INC.	
ShawCor Ltd.	
Shelter Films Ltd. - "If You Could See What I Hear"	Cease Traded
Shelter Films Ltd. - "The Tom Jones Show"	Cease Traded
Sherobee Glen Limited Partnership	
Sherritt International Corporation	
Shopify Inc.	
Shoreline Energy Corp.	Cease Traded
Short Term Bond Fund	
Short Term Bond Fund (Portico)	
Short Term Income Corporate Class	
Short Term Income Pool	
Short Term Investment Fund	
Sienna Senior Living Inc.	
Sierra Wireless, Inc.	
Siganture Floating Rate Income Pool	
Signature Canadian Balanced Fund	
Signature Canadian Bond Corporate Class	
Signature Canadian Bond Fund	
Signature Cash Management Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Signature Corporate Bond Corporate Class	
Signature Corporate Bond Fund	
Signature Diversified Yield Corporate Class	
Signature Diversified Yield II Fund	
Signature Dividend Corporate Class	
Signature Dividend Fund	
Signature Emerging Markets Corporate Class	
Signature Emerging Markets Fund	
Signature Global Bond Corporate Class	
Signature Global Bond Fund	
Signature Global Dividend Corporate Class	
Signature Global Dividend Fund	
Signature Global Energy Corporate Class	
Signature Global Equity Corporate Class	
Signature Global Equity Fund	
Signature Global Income & Growth Corporate Class	
Signature Global Income & Growth Fund	
Signature Global Resource Corporate Class	
Signature Global Resource Fund	
Signature Global Technology Corporate Class	
Signature Gold Corporate Class	
Signature High Income Corporate Class	
Signature High Income Fund	
Signature High Yield Bond Corporate Class	
Signature High Yield Bond II Fund	
Signature Income & Growth Corporate Class	
Signature Income & Growth Fund	
Signature Preferred Share Pool	
Signature Real Estate Pool	
Signature Select Canadian Corporate Class	
Signature Select Canadian Fund	
Signature Short-Term Bond Fund	
Signature Tactical Bond Pool	
Silence Of The North - Universal Studios Canada Ltd.	Cease Traded
Silver Bear Resources Plc	
Silver Bullion Trust	
Silver Phoenix Resources Inc.	
SILVERADO GOLD MINES LTD.	Cease Traded
SILVERCORP METALS INC.	
SilverCrest Metals Inc.	
Sintana Energy Inc.	
Sionna Canadian Balanced Fund	
Sionna Canadian Equity Fund	
Sionna Canadian Small Cap Equity Fund	
Sionna Diversified Income Fund	
Sionna Monthly Income Fund	
Sionna Opportunities Fund	
SIR Royalty Income Fund	
Sirius XM Holdings Inc.	
SKG INTERACTIVE INC.	Cease Traded

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Skylon Growth & Income Trust	
Slate Office REIT	
Slate Retail REIT	
Slater Steel Inc.	Cease Traded
Sleep Country Canada Holdings Inc.	
SLMsoft Inc.	Cease Traded
SmartCentres Real Estate Investment Trust	
SNC-Lavalin Group Inc.	
Snow Leopard Resources Inc.	Cease Traded
Sobeys Inc.	
Social Housing Canadian Bond Fund	
Social Housing Canadian Equity Fund	
Social Housing Canadian Short-Term Bond Fund	
SocieTerra Balanced Portfolio	
SocieTerra Conservative Portfolio	
SocieTerra Growth Portfolio	
SocieTerra Maximum Growth Portfolio	
Softimage Inc.	Cease Traded
Solaris Copper Inc.	
SOLITARIO ZINC CORP.	
Solium Capital Inc.	
Sona Nanotech Inc.	
Sonde Resources Corp.	Cease Traded
Song Corporation, The	Cease Traded
Sonor Investments Limited	
Sonora Diamond Corp. Ltd.	Cease Traded
SOPerior Fertilizer Corp.	
Sophiris Bio Inc.	
Soundvest Split Trust	
Source Energy Services Ltd.	
Source Life Sciences Inc.	Cease Traded
Southampton Apartments Limited Partnership	Cease Traded
Southern Pacific Resource Corp.	Cease Traded
SouthGobi Resources Ltd.	
Southland Estates Limited Partnership	Cease Traded
Southland Mall Limited Partnership	Cease Traded
Spackman Equities Group Inc.	
Specialty Foods Group Income Fund	Cease Traded
Spectra7 Microsystems Inc.	
Spectral Medical Inc.	
Spey Resources Corp.	
Sphere FTSE Canada Sustainable Yield Index ETF	
Sphere FTSE Emerging Markets Sustainable Yield Index ETF	
Sphere FTSE Europe Sustainable Yield Index ETF	
Spin Master Corp.	
Spinlogic Technologies Inc.	Cease Traded
Sprott 2017 Flow-Through Limited Partnership	
Sprott 2017-II Flow-Through Limited Partnership	
Sprott Inc.	
Sprott Physical Gold and Silver Trust	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Sprott Physical Gold Trust	
Sprott Physical Platinum and Palladium Trust	
Sprott Physical Silver Trust	
Sprott Resource Holdings Inc.	
Spyglass Resources Corp.	Cease Traded
SRAI Capital Corp.	
SRG Graphite Inc.	
SSR Mining Inc.	
St. Augustine Gold and Copper Limited	
St. Clair Paint & Wallpaper Corporation	Cease Traded
St. James Square Limited Partnership	
Stall Lake Mines Limited	Cease Traded
Stamper Exploration Ltd.	Cease Traded
Stantec Inc.	
Star Diamond Corporation	
Starlight U.S. Multi-Family (No. 1) Value-Add Fund	
Starlight U.S. Multi-Family (No. 5) Core Fund	
Stars Group Inc., The	
Steadyhand Equity Fund	
Steadyhand Founders Fund	
Steadyhand Global Equity Fund	
Steadyhand Income Fund	
Steadyhand Savings Fund	
Steadyhand Small-Cap Equity Fund	
Stelco Holdings Inc.	
Stella-Jones Inc.	
STEP Energy Services Ltd.	
Steppe Gold Ltd.	
Sterling Shoes Inc.	Cease Traded
Stingray Digital Group Inc.	
Stone Dividend Growth Class	
Stone Europlus Fund	
Stone Global Balanced Fund	
Stone Global Growth Fund	
Stone Growth Fund	
Stone Investment Group Limited	
Stone Mountain Holdings Inc.	Cease Traded
Stone Select Growth Class	
StorageVault Canada Inc.	
Storm Resources Ltd.	
Stornoway Diamond Corporation	
Strad Energy Services Ltd.	
Strategic Oil & Gas Ltd.	
Strathearn House Group Limited	Cease Traded
Street Capital Group Inc.	
Strongco Corporation	
Stuart Olson Inc.	
Sulliden Mining Capital Inc.	
Summit 2-B Limited Partnership, The	Cease Traded
Summit Industrial Income REIT	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Sun Life Assurance Company of Canada	
Sun Life BlackRock Canadian Balanced Class	
Sun Life BlackRock Canadian Balanced Fund	
Sun Life BlackRock Canadian Composite Equity Class	
Sun Life BlackRock Canadian Composite Equity Fund	
Sun Life BlackRock Canadian Equity Class	
Sun Life BlackRock Canadian Equity Fund	
Sun Life BlackRock Canadian Universe Bond Fund	
Sun Life Capital Trust	
Sun Life Capital Trust II	
Sun Life Dynamic American Fund	
Sun Life Dynamic Energy Fund	
Sun Life Dynamic Equity Income Class	
Sun Life Dynamic Equity Income Fund	
Sun Life Dynamic Strategic Yield Class	
Sun Life Dynamic Strategic Yield Fund	
Sun Life Excel China Fund	
Sun Life Excel Emerging Markets Balanced Fund	
Sun Life Excel Emerging Markets Fund	
Sun Life Excel High Income Fund	
Sun Life Excel India Balanced Fund	
Sun Life Excel India Fund	
Sun Life Excel New India Leaders Fund	
Sun Life Financial Inc.	
Sun Life Franklin Bissett Canadian Equity Class	
Sun Life Granite Balanced Class	
Sun Life Granite Balanced Growth Class	
Sun Life Granite Balanced Growth Portfolio	
Sun Life Granite Balanced Portfolio	
Sun Life Granite Conservative Class	
Sun Life Granite Conservative Portfolio	
Sun Life Granite Enhanced Income Portfolio	
Sun Life Granite Growth Class	
Sun Life Granite Growth Portfolio	
Sun Life Granite Income Portfolio	
Sun Life Granite Moderate Class	
Sun Life Granite Moderate Portfolio	
Sun Life Granite Tactical Completion Fund	
Sun Life Infrastructure Fund	
Sun Life JPMorgan International Equity Fund	
Sun Life MFS Canadian Bond Fund	
Sun Life MFS Canadian Equity Growth Class	
Sun Life MFS Canadian Equity Growth Fund	
Sun Life MFS Dividend Income Class	
Sun Life MFS Dividend Income Fund	
Sun Life MFS Global Growth Class	
Sun Life MFS Global Growth Fund	
Sun Life MFS Global Total Return Fund	
Sun Life MFS Global Value Fund	
Sun Life MFS International Growth Class	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Sun Life MFS International Growth Fund	
Sun Life MFS International Value Fund	
Sun Life MFS Low Volatility Global Equity Fund	
Sun Life MFS Low Volatility International Equity Fund	
Sun Life MFS Monthly Income Fund	
Sun Life MFS U.S. Equity Fund	
Sun Life MFS U.S. Growth Class	
Sun Life MFS U.S. Growth Fund	
Sun Life MFS U.S. Value Fund	
Sun Life Milestone 2020 Fund	
Sun Life Milestone 2025 Fund	
Sun Life Milestone 2030 Fund	
Sun Life Milestone 2035 Fund	
Sun Life Milestone Global Equity Fund	
Sun Life Money Market Class	
Sun Life Money Market Fund	
Sun Life Multi-Strategy Bond Fund	
Sun Life Multi-Strategy Target Return Fund	
Sun Life NWQ Flexible Income Fund	
Sun Life Ryan Labs U.S. Core Fixed Income Fund	
Sun Life Schroder Global Mid Cap Fund	
Sun Life Sentry Value Class	
Sun Life Sentry Value Fund	
Sun Life Sionna Canadian Small Cap Equity Class	
Sun Life Templeton Global Bond Fund	
Sun Life Trimark Canadian Class	
Sun-Times Media Group, Inc.	1a, 1b, 1c, 1e
Suncor Energy Inc.	
SunOpta Inc.	
Sunstone Opportunity (2006) Debenture Fund	
Sunstone Opportunity (2006) Realty Trust	
Sunstone Opportunity (2007) Mortgage Fund	
Sunstone Opportunity (2007) Realty Trust	
Sunstone Opportunity Fund (2005) Limited Partnership	
Sunstone U.S. (No. 2) L.P.	
Superior Gold Inc.	
Superior Plus Corp.	
Supremex Inc.	
Surge Energy Inc.	
Surrey Place Limited Partnership, The	Cease Traded
Sylvan Park Estates Limited Partnership	Cease Traded
Symbility Solutions Inc.	
Symmetry Balanced Portfolio	
Symmetry Balanced Portfolio Class	
Symmetry Canadian Bond Fund	
Symmetry Canadian Equity Fund	
Symmetry Comprehensive Equity Fund	
Symmetry Conservative Income Portfolio	
Symmetry Conservative Income Portfolio Class	
Symmetry Conservative Portfolio	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Symmetry Conservative Portfolio Class	
Symmetry EAFE Equity Fund	
Symmetry Equity Portfolio Class	
Symmetry Fixed Income Portfolio	
Symmetry Global Bond Fund	
Symmetry Growth Portfolio	
Symmetry Growth Portfolio Class	
Symmetry Low Volatility Fund	
Symmetry Moderate Growth Portfolio	
Symmetry Moderate Growth Portfolio Class	
Symmetry US Equity Fund	
Symphony Floating Rate Senior Loan Fund	
Synergy American Corporate Class	
Synergy American Fund	
Synergy Canadian Corporate Class	
Synergy Global Corporate Class	
Synodon Inc.	Cease Traded
Tactical Sovereign Bond Fund	
TAG Oil Ltd	
Tahera Diamond Corporation	Cease Traded
Tahoe Resources Inc.	
Taiga Building Products Ltd.	
Talborne Capital Corporation	Cease Traded
Tamarack Valley Energy Ltd.	
Tanbridge Corporation, The	Cease Traded
Tangelo Games Corp.	
Tangerine Balanced Growth Portfolio	
Tangerine Balanced Income Portfolio	
Tangerine Balanced Portfolio	
Tangerine Dividend Portfolio	
Tangerine Equity Growth Portfolio	
Tap Capital Corp.	Cease Traded
Taseko Mines Limited	
Taylor North American Equity Opportunities Fund	
TCT Logistics Inc.	Cease Traded
TD Advantage Aggressive Growth Portfolio	
TD Advantage Balanced Growth Portfolio	
TD Advantage Balanced Income Portfolio	
TD Advantage Balanced Portfolio	
TD Advantage Growth Portfolio	
TD Asian Growth Fund	
TD Balanced Growth Fund	
TD Balanced Income Fund	
TD Balanced Index Fund	
TD Canadian Aggregate Bond Index ETF	
TD Canadian Blue Chip Dividend Fund	
TD Canadian Bond Fund	
TD Canadian Bond Index Fund	
TD Canadian Core Plus Bond Fund	
TD Canadian Corporate Bond Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
TD Canadian Diversified Yield Fund	
TD Canadian Equity Class	
TD Canadian Equity Fund	
TD Canadian Equity Pool	
TD Canadian Equity Pool Class	
TD Canadian Index Fund	
TD Canadian Large-Cap Equity Fund	
TD Canadian Low Volatility Class	
TD Canadian Low Volatility Fund	
TD Canadian Low Volatility Pool	
TD Canadian Money Market Fund	
TD Canadian Small-Cap Equity Class	
TD Canadian Small-Cap Equity Fund	
TD Canadian Value Class	
TD Canadian Value Fund	
TD Capital Trust III	
TD Capital Trust IV	
TD Comfort Aggressive Growth Portfolio	
TD Comfort Balanced Growth Portfolio	
TD Comfort Balanced Income Portfolio	
TD Comfort Balanced Portfolio	
TD Comfort Conservative Income Portfolio	
TD Comfort Growth Portfolio	
TD Core Canadian Value Fund	
TD Corporate Bond Plus Fund	
TD Diversified Monthly Income Fund	
TD Dividend Growth Class	
TD Dividend Growth Fund	
TD Dividend Income Class	
TD Dividend Income Fund	
TD Dow Jones Industrial Average Index Fund	
TD Emerald Balanced Fund	
TD Emerald Canadian Bond Index Fund	
TD Emerald Canadian Equity Index Fund	
TD Emerald Canadian Short Term Investment Fund	
TD Emerald Canadian Treasury Management - Government of Canada Fund	
TD Emerald Canadian Treasury Management Fund	
TD Emerald International Equity Index Fund	
TD Emerald U.S. Market Index Fund	
TD Emerging Markets Class	
TD Emerging Markets Fund	
TD Emerging Markets Low Volatility Fund	
TD Entertainment & Communications Fund	
TD European Index Fund	
TD Fixed Income Pool	
TD FundSmart Managed Aggressive Growth Portfolio	
TD FundSmart Managed Balanced Growth Portfolio	
TD FundSmart Managed Income & Moderate Growth Portfolio	
TD Global Balanced Opportunities Fund	
TD Global Conservative Opportunities Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
TD Global Core Plus Bond Fund	
TD Global Equity Focused Fund	
TD Global Equity Pool	
TD Global Equity Pool Class	
TD Global Income Fund	
TD Global Low Volatility Class	
TD Global Low Volatility Fund	
TD Global Risk Managed Equity Class	
TD Global Risk Managed Equity Fund	
TD Global Unconstrained Bond Fund	
TD Health Sciences Fund	
TD High Yield Bond Fund	
TD Income Advantage Portfolio	
TD Income Opportunities Pool	
TD International Equity CAD Hedged Index ETF	
TD International Equity Index ETF	
TD International Growth Class	
TD International Growth Fund	
TD International Index Currency Neutral Fund	
TD International Index Fund	
TD International Stock Fund	
TD Managed Aggressive Growth ETF Portfolio	
TD Managed Aggressive Growth Portfolio	
TD Managed Balanced Growth ETF Portfolio	
TD Managed Balanced Growth Portfolio	
TD Managed Income & Moderate Growth ETF Portfolio	
TD Managed Income & Moderate Growth Portfolio	
TD Managed Income ETF Portfolio	
TD Managed Income Portfolio	
TD Managed Index Aggressive Growth Portfolio	
TD Managed Index Balanced Growth Portfolio	
TD Managed Index Income & Moderate Growth Portfolio	
TD Managed Index Income Portfolio	
TD Managed Index Maximum Equity Growth Portfolio	
TD Managed Maximum Equity Growth ETF Portfolio	
TD Managed Maximum Equity Growth Portfolio	
TD Monthly Income Fund	
TD Nasdaq Index Fund	
TD North American Dividend Fund	
TD North American Small-Cap Equity Fund	
TD Opportunities Pool	
TD Precious Metals Fund	
TD Premium Money Market Fund	
TD Real Return Bond Fund	
TD Resource Fund	
TD Retirement Balanced Portfolio	
TD Retirement Conservative Portfolio	
TD Risk Management Pool	
TD Risk Reduction Pool	
TD Risk Reduction Pool - US\$	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
TD S&P 500 CAD Hedged Index ETF	
TD S&P 500 Index ETF	
TD S&P/TSX Capped Composite Index ETF	
TD Science & Technology Fund	
TD Short Term Bond Fund	
TD Short Term Investment Class	
TD Strategic Yield Fund	
TD Tactical Monthly Income Class	
TD Tactical Monthly Income Fund	
TD Tactical Pool	
TD Tactical Pool Class	
TD U.S. Blue Chip Equity Fund	
TD U.S. Corporate Bond Fund	
TD U.S. Dividend Growth Fund	
TD U.S. Equity Portfolio	
TD U.S. Index Currency Neutral Fund	
TD U.S. Index Fund	
TD U.S. Low Volatility Currency Neutral Fund	
TD U.S. Low Volatility Fund	
TD U.S. Mid-Cap Growth Class	
TD U.S. Mid-Cap Growth Fund	
TD U.S. Money Market Fund	
TD U.S. Monthly Income Fund	
TD U.S. Monthly Income Fund - C\$	
TD U.S. Quantitative Equity Fund	
TD U.S. Risk Managed Equity Class	
TD U.S. Risk Managed Equity Fund	
TD U.S. Small-Cap Equity Fund	
TD Ultra Short Term Bond Fund	
TD US\$ Retirement Portfolio	
TDb Split Corp.	
TDL Group Corp., The	
TearLab Corporation	
Tech Leaders Income ETF	
Teck Resources Limited	
TECSYS Inc.	
Tee-Comm Electronics Inc.	Cease Traded
Teleglobe Inc.	Cease Traded
Telescene Film Group Inc.	Cease Traded
TELUS Communications Inc.	
TELUS Corporation	
Temple Green Apartment Project	
Temple Hotels Inc.	
Templeton Asian Growth Corporate Class	
Templeton Asian Growth Fund	
Templeton EAFE Developed Markets Fund	
Templeton Emerging Markets Corporate Class	
Templeton Emerging Markets Fund	
Templeton Frontier Markets Corporate Class	
Templeton Frontier Markets Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Templeton Global Balanced Fund	
Templeton Global Bond Fund	
Templeton Global Bond Fund (Hedged)	
Templeton Global Smaller Companies Corporate Class	
Templeton Global Smaller Companies Fund	
Templeton Growth Corporate Class	
Templeton Growth Fund, Ltd.	
Templeton International Stock Corporate Class	
Templeton International Stock Fund	
Ten Peaks Coffee Company Inc.	
TeraGo Inc.	
Teranga Gold Corporation	
Teras Resources Inc.	
Terra Energy Corp.	Cease Traded
Terra Firma Capital Corporation	
TerraVest Industries Inc.	
Tervita Corporation	
Tethys Petroleum Limited	1a, 1b, 1c, 1d, 1e
Tetra Bio-Pharma Inc.	
Tetratel Inc.	Cease Traded
TFI International Inc.	
TG Residential Value Properties Ltd.	Cease Traded
Theratechnologies Inc.	
Thermal Energy International Inc.	
theScore, Inc.	
Thomson Reuters Corporation	
Threegold Resources Inc.	Cease Traded
THUNDER RIVER ENTERPRISES INC.	
Tidewater Midstream and Infrastructure Ltd.	
Tilray, Inc.	
TIM S.p.A.	
Timbercreek Financial Corp.	
Timbercreek Global Real Estate Income Fund	
Times Three Wireless Inc.	Cease Traded
Timminco Limited	Cease Traded
Tinka Resources Limited	
Titan Mining Corporation	
TitanStar Properties Inc.	
TLC Vision Corporation	Cease Traded
TMAC Resources Inc.	
TMX Group Limited	
Top 10 Canadian Financial Trust	
Top 10 Split Trust	
Top 20 U.S. Dividend Trust	
TORC Oil & Gas Ltd.	
Torex Gold Resources Inc.	
Torino Power Solutions Inc.	
Toromont Industries Ltd.	
Toronto Hydro Corporation	
Toronto-Dominion Bank, The	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Torstar Corporation	
Toscana Energy Income Corporation	
Total Energy Services Inc.	
Touchstone Exploration Inc.	
Tourmaline Oil Corp.	
Tradex Bond Fund	
Tradex Equity Fund Limited	
Tradex Global Equity Fund	
TransAlta Corporation	
TransAlta Renewables Inc.	
Transat A.T. inc.	
TransCanada Corporation	
TransCanada PipeLines Limited	
TransCanada Trust	
Transcontinental Inc.	
TransGlobe Energy Corporation	
Tree Island Steel Ltd.	
Trenchant Capital Corp.	
Trevali Mining Corporation	
Trez Capital Mortgage Investment Corporation	
Trez Capital Senior Mortgage Investment Corporation	
Trican Well Service Ltd.	
Tricon Capital Group Inc.	
Trillium Credit Card Trust II	
Trillium Therapeutics Inc.	
Trilogy International Partners Inc.	
Trilogy Metals Inc.	
Trimark Canadian Class	
Trimark Canadian Endeavour Fund	
Trimark Canadian Fund	
Trimark Canadian Opportunity Class	
Trimark Canadian Opportunity Fund	
Trimark Canadian Plus Dividend Class	
Trimark Canadian Small Companies Fund	
Trimark Diversified Yield Class	
Trimark Emerging Markets Class	
Trimark Energy Class	
Trimark Europlus Fund	
Trimark Fund	
Trimark Global Balanced Class	
Trimark Global Balanced Fund	
Trimark Global Diversified Income Fund	
Trimark Global Dividend Class	
Trimark Global Endeavour Class	
Trimark Global Endeavour Fund	
Trimark Global Fundamental Equity Class	
Trimark Global Fundamental Equity Fund	
Trimark Global Small Companies Class	
Trimark Income Growth Fund	
Trimark Interest Fund	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Trimark International Companies Class	
Trimark International Companies Fund	
Trimark Resources Fund	
Trimark Select Balanced Fund	
Trimark U.S. Companies Class	
Trimark U.S. Companies Fund	
Trimark U.S. Money Market Fund	
Trimark U.S. Small Companies Class	
TriMetals Mining Inc.	
Trinidad Drilling Ltd.	
Trisura Group Ltd.	
Triumph Ventures II Corporation	Cease Traded
True North Commercial Real Estate Investment Trust	
TSO3 inc.	
Tucows Inc.	
Tudale Exploration Ltd.	Cease Traded
Tudor Corporation Ltd.	Cease Traded
TURBODYNE TECHNOLOGIES INC.	Cease Traded
TURNKEY E&P INC.	Cease Traded
Turquoise Hill Resources Ltd.	
TVA Group Inc.	
TVI Pacific Inc.	
TWC Enterprises Limited	
Twin Butte Energy Ltd.	Cease Traded
U.S. and International Equity Class	
U.S. and International Specialty Class	
U.S. Banks Income & Growth Fund	
U.S. Dividend Class (GWLIM)	
U.S. Dividend Fund (GWLIM)	
U.S. Dollar Cash Management Fund	
U.S. Financials Income Fund	
U.S. Global Canadian Energy ETF	
U.S. Global GO GOLD and Precious Metal Miners ETF	
U.S. High Yield Bond Fund	
U.S. Large Cap Index Fund	
U.S. Large Company Equity Fund	
U.S. Low Volatility Fund (Putnam)	
U.S. Small Company Equity Fund	
U.S. Value Class (Putnam)	
U.S. Value Fund (London Capital)	
U.S. Value Fund (Putnam)	
U3O8 Corp.	
Ucore Rare Metals Inc.	
UEX Corporation	
UIT Alternative Health Fund	
Ultra Petroleum Corp.	1b, 1c, 1e
Uni-Sélect Inc.	
Union Gas Limited	
Unirom Technologies Inc.	Cease Traded
UNISYNC Corp.	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
United Keno Hill Mines Limited	Cease Traded
United Tire & Rubber Co. Limited	Cease Traded
Ur-Energy Inc.	
Uranium Energy Corp.	
Uranium Participation Corporation	
Urbana Corporation	
UrtheCast Corp.	
US Equity Alpha Corporate Class	
US Equity Growth Corporate Class	
US Equity Growth Pool	
US Equity Small Cap Corporate Class	
US Equity Small Cap Pool	
US Equity Value Corporate Class	
US Equity Value Currency Hedged Corporate Class	
US Equity Value Pool	
US Financial 15 Split Corp.	
UTILITY CORP.	
Vail Resorts, Inc.	
Valencia Ventures Inc.	
Valeura Energy Inc.	
ValGold Resources Ltd.	
Valley Oil & Gas Corp.	Cease Traded
ValOro Resources Inc.	
Vanguard Balanced ETF Portfolio	
Vanguard Canadian Aggregate Bond Index ETF	
Vanguard Canadian Corporate Bond Index ETF	
Vanguard Canadian Government Bond Index ETF	
Vanguard Canadian Long-Term Bond Index ETF	
Vanguard Canadian Short-Term Bond Index ETF	
Vanguard Canadian Short-Term Corporate Bond Index ETF	
Vanguard Canadian Short-Term Government Bond Index ETF	
Vanguard Conservative ETF Portfolio	
Vanguard FTSE Canada All Cap Index ETF	
Vanguard FTSE Canada Index ETF	
Vanguard FTSE Canadian Capped REIT Index ETF	
Vanguard FTSE Canadian High Dividend Yield Index ETF	
Vanguard FTSE Developed All Cap ex North America Index ETF	
Vanguard FTSE Developed All Cap ex North America Index ETF (CAD-hedged)	
Vanguard FTSE Developed All Cap ex U.S. Index ETF	
Vanguard FTSE Developed All Cap ex U.S. Index ETF (CAD hedged)	
Vanguard FTSE Developed Asia Pacific All Cap Index ETF	
Vanguard FTSE Developed Asia Pacific All Cap Index ETF (CAD-hedged)	
Vanguard FTSE Developed Europe All Cap Index ETF	
Vanguard FTSE Developed Europe All Cap Index ETF (CAD-hedged)	
Vanguard FTSE Developed ex North America High Dividend Yield Index ETF	
Vanguard FTSE Emerging Markets All Cap Index ETF	
Vanguard FTSE Global All Cap ex Canada Index ETF	
Vanguard Global Balanced Fund	
Vanguard Global Dividend Fund	
Vanguard Global ex-U.S. Aggregate Bond Index ETF (CAD-hedged)	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Vanguard Global Liquidity Factor ETF	
Vanguard Global Minimum Volatility ETF	
Vanguard Global Momentum Factor ETF	
Vanguard Global Value Factor ETF	
Vanguard Growth ETF Portfolio	
Vanguard International Growth Fund	
Vanguard S&P 500 Index ETF	
Vanguard S&P 500 Index ETF (CAD-hedged)	
Vanguard U.S. Aggregate Bond Index ETF (CAD-hedged)	
Vanguard U.S. Dividend Appreciation Index ETF	
Vanguard U.S. Dividend Appreciation Index ETF (CAD-hedged)	
Vanguard U.S. Total Market Index ETF	
Vanguard U.S. Total Market Index ETF (CAD-hedged)	
Vanguard Windsor U.S. Value Fund	
VBI Vaccines Inc.	
Vecima Networks Inc.	
Velan Inc.	
VentureLink Innovation Fund Inc.	
Verde Agritech Plc	
Veritas Canadian Equity Fund	
Vermilion Energy Inc.	
VersaBank	
VersaPay Corporation	
Versatech Group Inc., The	Cease Traded
Vertex Enhanced Income Fund	
Vertex Growth Fund	
Vertex Value Fund	
Veta Resources Inc.	
Viavi Solutions Inc.	
Victoria Gold Corp.	
Victory Nickel Inc.	
Vietnam: "The Ten Thousand Day War"	Cease Traded
Vigil Health Solutions Inc.	
Village Farms International, Inc.	
Virginia Hills Oil Corp.	Cease Traded
Virtutone Networks Inc.	Cease Traded
Visa Inc.	
Visible Gold Mines Inc.	
Vista Gold Corp.	
Vivione Biosciences Inc.	
VIVO Cannabis Inc.	
VPI Canadian Balanced Pool	
VPI Canadian Equity Pool	
VPI Foreign Equity Pool	
VPI Income Pool	
VPI Mortgage Pool	
VPI Value Pool	
Vuzix Corporation	
W.A.M. Collins Income Pool	
Wainoco 77 Canada	Cease Traded

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
Wainoco 80 Canada	Cease Traded
Wajax Corporation	
Waldron Energy Corporation	Cease Traded
Walter Energy, Inc.	
Walton Big Lake Development L.P.	
Walton Edgemont Development Corporation	Cease Traded
Walton Ontario Land L.P. 1	Cease Traded
Walton Westphalia Development Corporation	
Waste Connections, Inc.	
WAVERLEY PHARMA INC.	
Wavve Telecommunications, Inc.	Cease Traded
WeedMD Inc.	
WELL Health Technologies Corp.	
Wells Fargo Canada Corporation	
Wescan Goldfields Inc.	
Wesdome Gold Mines Ltd.	
Wespac Mining Corp.	Cease Traded
West Fraser Timber Co. Ltd.	
West Kirkland Mining Inc.	
Westaim Corporation, The	
Westcan Ontario (6) Limited Partnership	Cease Traded
Westcan Properties Saskatchewan (1) Limited Partnership	Cease Traded
Westcoast Energy Inc.	
Western Copper and Gold Corporation	
Western Energy Services Corp.	
Western Forest Products Inc.	
Western Investment Company of Canada Limited, The	
Western Plains Petroleum Ltd.	Cease Traded
Western Resources Corp.	
WesternOne Inc.	
WestJet Airlines Ltd.	
Westport Fuel Systems Inc.	
WestRock Company	
Westshore Terminals Investment Corporation	
Wheaton Precious Metals Corp.	
Whistler Blackcomb Holdings Inc.	
Whitecap Resources Inc.	
Whitemud Resources Inc.	
Williston Wildcatters Oil Corporation	Cease Traded
Willoughby Investment Pool	
Wilmington Capital Management Inc.	
Winnipeg Commercial Properties Limited Partnership	Cease Traded
Winpak Ltd.	
Winston Gold Corp.	
WisdomTree Canada Quality Dividend Growth Index ETF	
WisdomTree Emerging Markets Dividend Index ETF	
WisdomTree Europe Hedged Equity Index ETF	
WisdomTree ICBCCS S&P China 500 Index ETF	
WisdomTree International Quality Dividend Growth Index ETF	
WisdomTree International Quality Dividend Growth Variably Hedged Index ETF	

Issuer Name Nom d'émetteur	Nature of Default Nature du défaut
WisdomTree Japan Equity Index ETF	
WisdomTree U.S. High Dividend Index ETF	
WisdomTree U.S. MidCap Dividend Index ETF	
WisdomTree U.S. Quality Dividend Growth Index ETF	
WisdomTree U.S. Quality Dividend Growth Variably Hedged Index ETF	
WisdomTree Yield Enhanced Canada Aggregate Bond Index ETF	
WisdomTree Yield Enhanced Canada Short-Term Aggregate Bond Index ETF	
Wolfden Resources Corporation	
Woodfine Professional Centres Limited Partnership	Cease Traded
Woodward's Limited	Cease Traded
World Financial Split Corp.	
World Wide Minerals Ltd.	Cease Traded
WPT Industrial Real Estate Investment Trust	
WSP Global Inc.	
Wysdom Inc.	Cease Traded
X-Terra Resources Inc.	
Xebec Adsorption Inc.	
Xerox Corporation	
Xinergy Ltd.	Cease Traded
XPEL, Inc.	
Xplore Technologies Corp.	1b, 1c, 1e
Xtreme Drilling Corp.	
XXL Energy Corp.	
Yamana Gold Inc.	
Yellow Pages Digital & Media Solutions Limited	
Yellow Pages Limited	
Ynvisible Interactive Inc.	
Yorkville American QVR Enhanced Protection Class	
Yorkville Canadian QVR Enhanced Protection Class	
Yorkville Enhanced Protection Class	
Yorkville Global Opportunities Class	
Yorkville Health Care Opportunities Class	
Yorkville International QVR Enhanced Protection Class	
Yorkville Optimal Return Bond Class	
Young Australian Mines Ltd.	
Zargon Oil & Gas Ltd.	
Zedcor Energy Inc.	
Zenith Capital Corp.	
Zenyatta Ventures Ltd.	
Zephyr Minerals Ltd.	
Zincore Metals Inc.	
Zungui Haixi Corporation	Cease Traded
Zymeworks Inc.	